



## Week 1. Lec 1.

def: Absolute values:

on a field  $\iota: \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}$

$$\left. \begin{array}{l} |x| = 0 \Leftrightarrow x = 0 \\ |xy| = (x|y|) \\ |x+y| \leq |x| + |y|. \end{array} \right\}$$

def: p-adic abs value

abs val on  $\mathbb{Q}$ .

If  $x=0$   $|x|_p = 0$

If  $x = p^n \frac{a}{b}$ ,  $\gcd(p, a) = \gcd(p, b) = 1$ , then  $|x|_p = p^{-n}$

lem. p-adic abs val is an abs val

1)  $x=0 \rightarrow |x|_p = 0$

$|x|_p = 0 \rightarrow x=0$

2)  $x = p^n \frac{a_1}{b_1}$   $y = p^m \frac{a_2}{b_2}$

$|x|_p |y|_p = p^{-m} p^{-n}$

$xy = p^{m+n} \frac{a_1 a_2}{b_1 b_2}$  but  $\gcd(a_1 a_2, p) = \gcd(b_1 b_2, p) = 1$   
so  $|xy|_p = p^{-(m+n)}$

3)  $x = p^n \frac{a_1}{b_1}$   $y = p^m \frac{a_2}{b_2}$  wlog  $n \geq m$

$x+y = p^m \left( \frac{a_2}{b_2} + p^{n-m} \frac{a_1}{b_1} \right) = p^m \frac{a_2 b_1 + p^{n-m} a_1 b_2}{b_1 b_2}$  but  $\gcd(b_1 b_2, p) = 1$   
and  $v_p(a_2 b_1 + p^{n-m} a_1 b_2) \geq 1$

$\geq p^{-m}$

def: equivalent absolute values

$| \cdot |_1$  is equivalent to  $| \cdot |_2$  if they induce the same top.

def: place absolute values /  $\sim$

Prop 3 equivalent conditions for equivalent absolute values.

1)  $|x|, |x|'$  are equivalent.

2)  $|x| < 1 \Leftrightarrow |x|' < 1 \quad \forall x \in K$ . remember  $<$  vs  $\leq$ . It's the weaker one.

3) there exists  $s \in \mathbb{R}_{>0}$  s.t.  $\forall x \in K$

$$|x|^s = |x|'$$

Proof.

$$\begin{aligned}
 1) \rightarrow 2) \quad |x| < 1 &\Rightarrow \lim_{n \rightarrow \infty} |x|^n = 0 && \lim |x|^n \rightarrow 0 \text{ w.r.t. } |x| \\
 &\Rightarrow \lim_{n \rightarrow \infty} |x|^{-n} = 0 \text{ (Same top)} && \lim |x|^{-n} \rightarrow 0 \\
 &\Rightarrow |x|^{-1} < 1
 \end{aligned}$$

2)  $\rightarrow$  3)

If  $\exists$  true, get

$$S \log |x| = \log |x|^S \quad \forall x$$

$$s = \frac{\log |x|^S}{\log |x|} \quad \forall x$$

get constant  $s$ .

We show contradiction. Say  $\exists$  false. then get contradiction.

let  $a, x \in K$  be elements s.t.

$$\frac{\log |x|^S}{\log |x|} < \frac{\log |a|^S}{\log |a|}$$

$$\text{so } \frac{\log |a|}{\log |x|} < \frac{\log |a|^S}{\log |x|^S} \quad \text{so exists } \frac{m}{n} \in \mathbb{Q}, \quad \frac{\log |a|}{\log |x|} < \frac{m}{n} < \frac{\log |a|^S}{\log |x|^S}$$

$$\begin{aligned}
 \text{so } n \log |a| &< m \log |x| && m \log |x|^S < n \log |a|^S \\
 |a|^n &< |x|^m && |x|^{mS} < |a|^{nS} \\
 \frac{1}{|a|^n} &< \frac{1}{|x|^m} && \left( \frac{a^n}{x^m} \right)^S < 1 \\
 &&& 1 < \left( \frac{a^n}{x^m} \right)^S \quad \#
 \end{aligned}$$

3)  $\rightarrow$  1) they have same open balls  $\Rightarrow$  form same topology.

2)  $\rightarrow$  3) proof scheme.

1,  $\exists$  true  $\rightarrow$  same log ratio

2, By contradiction, say diff log ratio

3, Squeeze  $\frac{m}{n}$  in middle, multiply out

4, back to exponent

5, get  $< 1$  and  $> 1$ .

def. non-archimedean

An absolute value is non-arch if  $|a+b| \leq \max(|a|, |b|) \quad \forall a, b$

lem all triangles are isosceles.

(long edges)



wlog  $|y| > |x|$

$$|x-y| = |y| \quad |x-y| \leq \max(|x|, |y|) = |y|$$

$$|x-y| = |x-y+y| \geq \max(|x-y+y|, |y|) = |y|$$

lem. Condition to be Cauchy

let  $x_n \in \mathbb{K}$ . If  $\lim_{n \rightarrow \infty} |x_n - x_{n+1}| \rightarrow 0$  then it's Cauchy

let  $\epsilon > 0$ . Pick  $N$  s.t.  $\forall n > N, |x_n - x_{n+1}| < \epsilon$

$$\text{then } \forall m, m_2 > N, |x_{m_1} - x_{m_2}| = |x_{m_1} - x_{m_1+1} + x_{m_1+1} - \dots + x_{m_2-1} - x_{m_2}|$$

$$\leq \max[|x_{m_1} - x_{m_1+1}|, \dots, |x_{m_2-1} - x_{m_2}|]$$

$$< \epsilon.$$

ex. a Cauchy seq  $\rightarrow -\frac{1}{5}$ .

$$a_1 = 3, a_2 = 33, a_3 = 333, \dots$$

$$|a_n - a_{n+1}|_5 \rightarrow 0 \quad \text{so Cauchy}$$

$$a_i = \frac{10^i - 1}{9}$$

$$39a_i - 1 = 10^i \quad \text{in } 5\text{-adic}, |39a_i - 1|_5 \rightarrow 0 \quad a_i \rightarrow \frac{1}{5} \text{ in } 5\text{-adic}.$$

ex.  $(\mathbb{Q}, |\cdot|_5)$  not complete.

make a Cauchy seq but not convergent to anything in  $\mathbb{Q}$ .

$$1) \quad a_n^2 + 1 \equiv 0 \pmod{5^n}$$

$$2) \quad a_n \equiv a_{n+1} \pmod{5^n}$$

$$a_1 = 2. \quad \text{Say } a_n \text{ picked. Write } a_n^2 + 1 = 5^n \cdot c$$

$$\text{want to make } a_{n+1} \text{ s.t. } (a_{n+1})^2 + 1 \equiv 5^{n+1} \quad \text{set } a_{n+1} = a_n + b \cdot 5^n$$

$$\begin{aligned} \text{so } (a_{n+1}^2 + 1) &= (a_n + b5^n)^2 + 1 = \cancel{a_n^2} + b^2 5^{2n} + 2ba_n 5^n + 1 \\ &= 5^n \cdot c + b^2 5^{2n} + 2abn5^n \\ &= b^2 5^{2n} + 5^n (c + 2ab) \end{aligned}$$

want this  $\equiv 0 \pmod{5}$ .

$$c \equiv 0 \rightarrow b \equiv 0$$

$c \not\equiv 0$  but  $\gcd(2ab, 5) = 1$   
can pick a b.

$$\text{as } a_n^2 + 1 \equiv 0 \pmod{5^n} \Rightarrow a_n^2 + 1 \equiv 0 \pmod{5}$$

now 1), 2) satisfied. an Cauchy in 5-adic

But say if  $\lim x_n = L \in \mathbb{R} \quad \lim x_n^2 = L^2 \quad \neq L^2 \quad \times$ .

$$|L^2 - 1| = |a_n^2 + 1| = 0 \Rightarrow L^2 = -1$$



Proof scheme: ①  $\left. \begin{array}{l} a_i \equiv a_{i+1} \pmod{5^n} \\ a_i^2 + 1 \equiv 0 \pmod{5^n} \end{array} \right\}$

② try to make it  $a_1 = 2$

③ write  $a_i^2 + 1 = 5^n c$

④ say  $a_{i+1} = a_i + 5^n b$ , try to satisfy  $(a_i + 5^n b)^2 + 1 \equiv 0 \pmod{5^{n+1}}$

def. p-adic #  $\mathbb{Q}_p$  is completion of  $\mathbb{Q}$  w.r.t. l.p.

## lecture 2

lemma 1.9. 4 properties of non-arch val fields.

- 1)  $B(x, r) = B(y, r)$  if  $y \in B(x, r)$
- 2)  $\overline{B(x, r)} = \overline{B(y, r)}$  if  $y \in B(x, r)$
- 3)  $B(x, r)$  is closed
- 4)  $\overline{B(x, r)}$  is open

- 1) let  $z \in B(x, r)$  then  $|y - z| = |y - x + x - z| \leq \max(|y - x|, |x - z|) < r$  so  $z \in B(y, r)$
- 2) same let  $z \in \overline{B(x, r)}$ ,  $|y - z| = |y - x + x - z| \leq \max(|y - x|, |x - z|) \leq r$  so  $z \in \overline{B(y, r)}$ .
- 3)  $B(x, r)$  is closed

want to show  $B(x, r)^c$  is open.

let  $z \in B(x, r)^c$

claim  $B(z, r) \subset B(x, r)^c$

suppose not. then  $y \in B(z, r) \cap B(x, r)$

then  $|x - z| = |x - y + y - z| \leq \max(|x - y|, |y - z|) < r$ .  $\times$

4) want to show  $\overline{B(x, r)}$  is open.

let  $y \in \overline{B(x, r)}$  claim  $B(y, r) \subset \overline{B(x, r)}$

let  $z \in B(y, r)$  then  $z \in B(y, r) \subseteq B(x, r) \subseteq \overline{B(x, r)}$

Proof scheme:  $\overline{B}$  open: Show  $\subseteq$

$B$  closed: Show  $B^c$  open.

# valuation rings

## def valuation

$K$  field. a valuation on  $K$  is  $v: K \rightarrow \mathbb{R} \cup \{0\}$

- 1)  $v(xy) \geq \min\{v(x), v(y)\}$ .
- 2)  $v(xy) = v(x) + v(y)$

counting powers of  $p$  in element.

Valuation  $\rightarrow$  abs value:

$$\text{fix } \alpha \in (0, 1). \quad |x| = \begin{cases} 0 & \text{if } x=0 \\ v(x) & \\ \alpha & \text{o.w.} \end{cases}$$

abs val  $\rightarrow$  valuation:

$$v(x) = \begin{cases} \text{undefined} & x=0 \\ \log_{\alpha}|x| & \text{o.w.} \end{cases}$$

why? think:  $|p^n|_p = p^{-n}$   
 $\log_{1/p}(|p^n|) = \log_{1/p}(p^{-n}) = n$

note:  $v_1, v_2$  are equiv if  $v_1 = cv_2, c \in \mathbb{R} \cup \{0\}$

$p$ -adic valuation  $v_p(x) = -\log_p |x|_p$

defn: the  $p$ -adic valuation on formal Laurent series.

defn: the valuation ring

given  $K$  a field. then

$$\begin{aligned} \mathcal{O}_K &= \{x \in K \mid v_p(x) \geq 0\} \cup \{0\} \\ &= \{x \in K \mid |x| \leq 1\} \\ &= \overline{\mathbb{B}(0, 1)} \end{aligned}$$

$\mathcal{O}_K$  is a ring!

it has a unique max ideal  $\{x \in \mathcal{O}_K \mid |x| = 1\}$ .  $\mathcal{O}_K/\mathfrak{m} = k \leftarrow$  residue field.

Prop. Properties of  $\mathcal{O}_K$ . (subring, units, ideals).

- 1)  $\mathcal{O}_K$  is an open subring of  $K$ .
- 2) for  $r \leq 1$ ,  $\{x \in K \mid |x| < r\}$  and  $\{x \in K \mid |x| \leq r\}$  are open ideals of  $\mathcal{O}_K$
- 3)  $\mathcal{O}_K^\times = \{x \in K \mid |x| = 1\}$ .

Proof: 1)  $\mathcal{O}_K$  is open B/C it's closed.

a)  $0, 1 \in \mathcal{O}_K$

b)  $a \in \mathcal{O}_K \quad |a| = |-1||a| = |a| \leq 1$

c)  $a, b \in \mathcal{O}_K, \quad |ab| = |a||b| \leq 1$

d)  $a, b \in \mathcal{O}_K \quad |a+b| \leq \max(|a|, |b|) \leq 1$

2) open close some thing again.

let  $a \in \mathcal{O}_K, x \in \mathbb{I}$  then  $|ax| = |a||x| < r$

3)  $\mathcal{O}_K^\times = \{x \in \mathcal{O}_K \mid |x| = 1\}$

$\Leftrightarrow$  let  $x \in \mathcal{O}_K^\times$ . then  $x^{-1} \in \mathcal{O}_K^\times$ .  $|x||x^{-1}| = 1 \Rightarrow |x| = 1$

$|x| \leq 1, |x^{-1}| \leq 1$

$\geq |x| = 1, |x^{-1}| = 1$ , so  $x, x^{-1} \in \mathcal{O}_K \Rightarrow x \in \mathcal{O}_K^\times$ .

Prop  $M = \{x \in K \mid |x| < 1\}$  is the max ideal

and let  $\mathcal{K} = \mathcal{O}_K/M$  be the res field.

$(\mathcal{K} \hookrightarrow \mathcal{O}_K \hookrightarrow K)$

Cor  $\mathcal{O}_K$ 's unique max ideal is  $M$ . hence  $\mathcal{O}_K$  is a local ring

proof

why  $M$  is max ideal: if some element in  $M$  with  $|x| > 1, |x|/|x^{-1}| = 1$ . get whole thing.

let  $m' \neq M$  be another max ideal.

let  $x = m' \setminus M$  so its abs  $\geq 1$  ~~is~~.

example p-adic integers:

$K = \mathbb{Q}$ . with  $|\cdot|_p$ .

$\mathcal{O}_K = \{x \in \mathbb{Q}, |x|_p \leq 1\}$

$= \{p^n \frac{a}{b}, n \geq 0\}$

$= \mathbb{Z}_{(p)} = \{\frac{a}{b} \mid p \nmid b\}$

$M = \{x \in \mathbb{Q}, |x|_p < 1\} = p\mathbb{Z}_{(p)}$

$K = \mathcal{O}_K/M = \mathbb{F}_p$

$\mathbb{F}_p \hookrightarrow \mathbb{Z}_{(p)} \hookrightarrow \mathbb{Q}$

defn: discrete valuation

let  $v: K^\times \rightarrow \mathbb{R}_{\geq 0}$  be a valuation. Then  $V$  is discrete if

$v(K^\times) \cong \mathbb{Z}$

defn: uniformizer

$\pi \in \mathcal{O}_K$  is unif if  $v(\pi) > 0$  and  $v(\pi)$  generates  $v(\mathcal{O}_K^\times)$   
 for any discrete valued ring, can always replace the valuation  
 s.t.  $v(\mathcal{O}_K^\times) \cong \mathbb{Z}$ .

lemma: 4 equivalent conditions of  $v$  discrete ( $v$  dis,  $\mathcal{O}_K$  PID, Noe, M prin).

- 1)  $v$  is discrete
- 2)  $\mathcal{O}_K$  is a PID
- 3)  $\mathcal{O}_K$  is a Noetherian ring
- 4)  $\mathfrak{m}$  is principal

1)  $\Rightarrow$  2)  $\mathcal{O}_K$  is ID.  $\checkmark$

$\mathcal{O}_K$  is PID: let  $I \subseteq \mathcal{O}_K$  be an ideal.

let  $x \in I$  s.t.  $v(x) = \min \{v(a) \mid a \in I\}$ . existence b/c unique.

claim  $x\mathcal{O}_K = I$ .

$\subseteq$   $x \in I$ ,  $I$  is an ideal, so any  $y \in \mathcal{O}_K$ ,  $xy \in I$ .

$\supseteq$ . let  $y \in I$ . claim  $x^{-1}y \in \mathcal{O}_K$ . why?  $v(x^{-1}y)$   
 so  $y = x(x^{-1}y) \in x\mathcal{O}_K$   $= v(x^{-1}) + v(y)$   
 $= v(y) - v(x) \geq 0$

2)  $\Rightarrow$  3) By ring theory

3)  $\Rightarrow$  4)  $\mathcal{O}_K$  Noetherian  $\Rightarrow$  all ideals finitely generated, so  $\mathfrak{m} = (x_1, \dots, x_n)$ .

wlog say  $v(x_1) = \min v(x_i)$ . claim  $\mathfrak{m} = x_1\mathcal{O}_K$ . This is true

as  $x_i \in x_1\mathcal{O}_K$ .

4)  $\Rightarrow$  1) say  $\mathfrak{m} = \pi\mathcal{O}_K$

let  $c = v(\pi)$

if  $v(x) > 0$ ,  $\exists \pi^{-1}x$ .  $v(x) = v(\pi\pi^{-1}x) = c + v(\pi^{-1}x) \geq c$ .

so  $v(\mathcal{O}_K^\times) \cap (0, c) = \emptyset$

$v(\mathcal{O}_K^\times) \not\subseteq (\mathbb{R}, +) \Rightarrow v(\mathcal{O}_K^\times) = \mathbb{Z}$ .

$v(\pi^{-1}x) = v(x) - v(\pi)$   $\left\{ \begin{array}{l} \text{if } v(\pi) > v(x) \\ \text{then } x \notin \pi\mathcal{O}_K \\ \text{valuation } > c. \end{array} \right.$

rewrite 4)  $\Rightarrow$  1)

$\mathfrak{m} = \pi\mathcal{O}_K$ . claim  $v(\mathcal{O}_K^\times) \cap (0, c) = \emptyset$ . if  $v(x) > 0$ ,  $x \in \mathfrak{m}$ . then  $x \in \pi\mathcal{O}_K \Rightarrow$

$v(x) \geq v(\pi) = c$ . so claim prov.

have  $v(\mathcal{O}_K^\times) \not\subseteq (\mathbb{R}, +) \Rightarrow v(\mathcal{O}_K^\times) \cong \mathbb{Z}$ .

## Proof scheme

- 1)  $\Rightarrow$  2) Show ideal is generated by smallest value element.  
one dir is fine inverse.
- 3)  $\Rightarrow$  4) noetherian rings's ideals are f.g.
- 4)  $\Rightarrow$  1)  $v(K^* \cap (0, c]) = \emptyset$ .

## lecture 3

note:  $\text{Frac}(\mathcal{O}_K) = K$

and that  $\mathcal{O}_K[\frac{1}{x}]$  for any  $x \in \mathcal{O}_K$ .

def DVR: a PID w/ exactly 1 nonzero prime ideal.

lem field to DVR & DVR to  $K$  to  $\mathcal{O}_K$ .

- 1) let  $v$  be a discrete valuation on a field  $K$ . then,  $\mathcal{O}_K$  is a DVR.
- 2) Given DVR  $R$ ,  $\exists$  valuation  $v$  s.t.  $K = \text{Frac}(R)$  &  $\mathcal{O}_K = R$ .

(field + discrete valuation)  $\rightarrow$  DVR  $\mathcal{O}_K$

(DVR)  $\rightarrow$  valuation s.t. get  $K$  and  $\mathcal{O}_K$

Proof 1)  $K$  a field,  $v$  a discrete valuation. want to show  $\mathcal{O}_K = \{x \in K \mid v(x) \geq 0\}$  is DVR. Need to show PID & has one prime ideal.

PID:  $v$  discrete so  $\mathcal{O}_K$  PID.  $\checkmark$

One prime ideal: PID is where primes = max. But by previous thm.  $\mathcal{O}_K$  has only max ideal.

- 2). let  $R$  be a DVR let  $m$  be its max id. let  $M = (m)$ . DVR are UFDs, so write  $x \in R \setminus \{0\}$  uniquely as  $\pi^n \cdot u$ ,  $u \in R^*$ ,  $n \geq 0$   
for any  $x \in K \setminus \{0\}$ , write uniquely as  $x = \pi^n \cdot u$ ,  $u \in R^*$ ,  $n \in \mathbb{Z}$ .  
define  $v(\pi^n \cdot u) = n$  it's a valuation &  $\mathcal{O}_K = R$ .

## Proof scheme.

1. max = prime in PID
2.  $\pi$  be the element for PID, so write things uniquely

Def. Ring of p-adic integers why exist?

$$\mathbb{Z}_p = \{x \in \mathbb{Q}_p \mid |x|_p \leq 1\}.$$

$v_p: \mathbb{Q} \rightarrow \mathbb{R}_{\geq 0}$   
extends to  $\mathbb{Q}_p$  discretely.

$\mathbb{Z}_p$  is  $\mathcal{O}_K = \mathcal{O}_{\mathbb{Q}_p}$  and  $p\mathbb{Z}_p$  is max ideal. nonzero ideals are  $p^n \mathbb{Z}_p$ .  $n > 0$ .

Prop relationship between  $\mathbb{Z}$ ,  $\mathbb{Z}_p$ ,  $\mathbb{Q}_p$

$\hookrightarrow \mathbb{Z}_p$  is the closure of  $\mathbb{Z}$  inside  $\mathbb{Q}_p$

So  $\mathbb{Z}_p$  is complete!

$\hookrightarrow$  " " "  $\mathbb{Z}$  w.r.t.  $v_p$ .

Proof: want to show  $\mathbb{Z}$  dense inside  $\mathbb{Z}_p$

$$\mathbb{Z} \stackrel{\text{dense}}{\subseteq} \mathbb{Z}_{(p)} = (\mathbb{Q} \cap \mathbb{Z}_p) \stackrel{\text{dense}}{\subseteq} \mathbb{Z}_p.$$

$$\mathbb{Z} \stackrel{\text{dense}}{\subseteq} \mathbb{Z}_{(p)}$$

let  $\frac{a}{b}$ ,  $pb \in m\mathbb{Z}_{(p)}$ .

want to find  $x_i \in \mathbb{Z}$ , s.t.  $bx_i \rightarrow a$  in p-adic.  $bx_i = a \pmod{p^n}$

we can pick  $x_i = a \cdot b^{-1} \pmod{p^n}$  as  $x^{-1}$  exists in each  $p^n$ .

$\mathbb{Q} \cap \mathbb{Z}_p \stackrel{\text{dense}}{\subseteq} \mathbb{Z}_p$   $\mathbb{Q}$  dense in  $\mathbb{Q}_p$  but  $\mathbb{Z}_p \subseteq \mathbb{Q}_p$  is open, so  $\mathbb{Q} \cap \mathbb{Z}_p$  dense in  $\mathbb{Z}_p$ .

defn inverse limits

gives  $(A_n)_{n=1}^{\infty}$  sequence of sets/groups/rings

$$\varphi_n: A_{n+1} \rightarrow A_n$$

$\hookrightarrow$  sequences s.t. if gives a big  $A_k$ ,  $k$  big, you'll know all the  $a_1, a_2, \dots, a_{k-1}$ .

$$\text{then } \varprojlim_n A_n = \{ (a_n) \in \prod A_n \mid \varphi_n(a_{n+1}) = a_n \} = \prod_{i=1}^{\infty} A_i$$

defn proj map in  $\varprojlim_n A_n$ :

$$\mathcal{O}_m \left( \varprojlim_n A_n \right) = A_m.$$

Prop universal property from a SGR to an inverse limit

let  $\mathcal{B}$  be a SGR, with hom  $\varphi_n: \mathcal{B} \rightarrow A_n$ . s.t. follow commute for all  $n$

$$\begin{array}{ccc} \mathcal{B} & \xrightarrow{\varphi_{n+1}} & A_{n+1} \\ & \searrow \varphi_n & \downarrow \varphi_n \\ & & A_n \end{array}$$

there exist unique hom  $\psi: \mathcal{B} \rightarrow \varprojlim_n A_n$

s.t.

$$\mathcal{B} \xrightarrow{\varphi_n} A_n \xrightarrow{\varphi_n} A_n$$

$$\mathcal{O}_n \circ \psi = \varphi_n.$$

this commutes

proof define  $\psi: \mathcal{B} \rightarrow \prod_{i=1}^{\infty} A_i$  by  $\psi(b) = (\varphi_i(b))_{i=1}^{\infty}$

wanna show = 1)  $\mathcal{O}_n \psi = \varphi_n$   $\checkmark$

2) unique  $\varphi_n$  must be  $\varphi_n$  and  $\varphi_n$  determines what  $\varphi$  is at  $a_n$

3) satisfy inverse limit rule:  $\varphi_n(\varphi_{n+1}(b)) = \varphi_n(b)$

Def I-adic completion, I-adic complete.

Given  $R$  and  $I$  on ideal of  $R$ , the I-adic completion of  $R$  is  $\varprojlim_n R/I^n$  By  $R/I^{n+1} \rightarrow R/I^n$  By natural projection.

By universal property, exist  $\hat{\varphi}: R \rightarrow \varprojlim_n R/I^n$ .

a ring  $R$  is I-adically complete if  $\hat{\varphi}$  is an iso.

$$\ker \hat{\varphi} = \bigcap_{n=1}^{\infty} I^n$$

Prop let  $(K, |\cdot|)$  be non-arch valued field. let  $\pi \in \mathcal{O}_K$  be s.t.  $|\pi| < 1$ . Assume  $K$  is complete

w.r.t.  $|\cdot|$ . then,

1)  $\mathcal{O}_K \cong \varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$  ( $\mathcal{O}_K$  is  $\pi$ -adically complete.)

2) every  $x \in \mathcal{O}_K$  can be written as  $\sum_{i=0}^{\infty} a_i \pi^i$  each  $a_i \in A \subseteq \mathcal{O}_K$  is a set of equivalence class mod  $\mathcal{O}_K / \pi \mathcal{O}_K$

more over, any such  $\sum_{i=0}^{\infty} a_i \pi^i$ ,  $a_i \in A$  converges.

$\mathcal{O}_K$  complete:  $\mathcal{O}_K$  closed and  $K$  complete, so  $\mathcal{O}_K \subset K$  is complete.

Show that  $\hat{\varphi}: \mathcal{O}_K \rightarrow \varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$  is an iso.

injectivity: let  $x \in \ker \hat{\varphi}$ . so  $x \in \bigcap \pi^n \mathcal{O}_K$ .  $v(x) > v(\pi^n)$  for all  $n$ . so  $x=0$  b/c valuation is only  $\mathbb{N}$ -valued in  $\mathcal{O}$ .

Surjectivity: let  $(x_n)_{n=1}^{\infty} \in \varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$ . for each  $n$ , let  $y_n$  be a lift of  $x_n$ . then  $y_{n+1} - y_n \in \pi^n \mathcal{O}_K$  so  $v(y_n - y_{n+1}) = v(\pi^n)$ . so Cauchy. let  $y = \lim y_n$ .  $y$  maps to  $(x_n)_{n=1}^{\infty}$  in  $\varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$

hence surjective.

Proof second part: ex sheet 2

note: not discrete valued  $\Rightarrow$  not always m-adically complete.

For every  $x \in K$  can be written uniquely as  $\sum_{i=n}^{\infty} a_i \pi^i$ ,  $a_i \in A$ .  
Conversely, any such sequence converges & defines an element in  $K$ .

$K$  is free  $\mathcal{O}_K$  so  $\exists n \geq 0$  s.t.  $\pi^n x \in \mathcal{O}_K$ . then write  $\pi^n x = \sum_{i=0}^{\infty} a_i \pi^i$   
then write  $x = \sum_{i=0}^{\infty} a_i \pi^{i-n}$

end of week 1

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Week 2 Lec 1

$$\mathbb{Z} \subseteq_{\text{dense}} \mathbb{Z}_p = \mathbb{Q} \cap \mathbb{Z}_p \subseteq_{\text{dense}} \mathbb{Z}_p$$

Cor }  $\mathbb{Z}_p = \varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$

all  $x \in \mathbb{Q}_p$  can be written as  $\sum_{i=-\infty}^{\infty} a_i p^i, a_i \in \{0, \dots, p-1\}$

Pf 1: note: we know  $\mathbb{Q}_p$  is complete, so we get  $\mathbb{Z}_p \cong \varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$

so it suffices to show  $\varprojlim_n \mathbb{Z}/p^n\mathbb{Z} = \varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$ .

we'll show that  $\mathbb{Z}/p^n\mathbb{Z} \cong \mathbb{Z}/p^n\mathbb{Z}$  for a fixed  $n$ .

let  $f: \mathbb{Z} \rightarrow \mathbb{Z}/p^n\mathbb{Z}$  be the natural map.

then  $\ker(f) = \{x \in \mathbb{Z} \mid f(x) \in p^n\mathbb{Z}/p^n\mathbb{Z}\} = \{x \in \mathbb{Z} \mid p^n \mid x\} = p^n\mathbb{Z}$

and  $f$  is surjective, as if we pick  $y \in \mathbb{Z}/p^n\mathbb{Z}$ . let  $\bar{y} \in \mathbb{Z}$  be lift of  $y$ .

since  $\mathbb{Z}$  is dense in  $\mathbb{Z}_p$ , pick  $x \in \mathbb{Z}$  s.t.  $|x - \bar{y}| < \frac{1}{p^n}$ . so  $f(x) = \bar{y} \pmod{p^n}$

Pf 2: By prop every  $x \in K$  can be written uniquely as  $\sum_{i=-\infty}^{\infty} a_i \pi^i$  if  $a \in \mathcal{O}_K/\mathfrak{m}_K$ . so we take  $a \in \mathbb{Z}/p\mathbb{Z}$ .

Thm Hensel's lemma

let  $K$  be complete, discrete valued field. let  $f \in \mathcal{O}_K[x]$ . Assume  $\exists a \in \mathcal{O}_K$  s.t.  $|f(a)| < |f'(a)|^2$ . Then there exists unique  $x \in \mathcal{O}_K$  s.t.  $f(x) = 0$  and

that  $|x - a| < |f'(a)|$ .

remember condition:  $|f(a)| < |f'(a)|^2$  answer:  $|x - a| < |f'(a)|$

Proof: let  $\pi \in \mathcal{O}_K$  be the uniformizer.

let  $r = v(f'(a))$  where  $v$  is normalized ( $v(\pi) = 1$ )

we construct a sequence  $(x_n)_{n=1}^{\infty} \in \mathcal{O}_K$  such that

$$\left\{ \begin{array}{l} f(x_n) \equiv 0 \pmod{\pi^{n+2r}} \\ x_n \equiv x_{n+1} \pmod{\pi^{n+r}} \end{array} \right.$$

base construction take  $x_1 = a$ . WTS  $f(x_1) \equiv 0 \pmod{\pi^{1+2r}}$ .

$|f(a)| < |f'(a)|^2$  implies that  $v(f(a)) > 2v(f'(a)) = 2r$ .

so  $v(f(x_1)) = v(f(a)) > 2r+1$  so  $f(x_1) \equiv 0 \pmod{\pi^{1+2r}}$ .

inductive construction

given  $x_n$ , let  $x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$

- need to show
- ① Prop 2 holds
  - ② prop 1 holds
  - ③ fraction lies in  $\mathcal{O}_K$

① want  $x_{n+1} \equiv x_n \pmod{\pi^{n+r}}$

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$$

consider  $v(f'(x_n))$ . note that  $x_1 = x_2 \pmod{\pi^{r+1}}$  and  $x_2 = x_3 \dots$  so  $x_i \equiv x_n \pmod{\pi^{r+1}}$   
 so  $f'(x_i) = f'(x_2) = f'(x_n) \pmod{\pi^{r+1}}$  but  $r = v(f'(a))$  so  $f'(x_n) \not\equiv 0 \pmod{\pi^{r+1}}$ . so  $v(f'(x_n)) = r$ .  
 $v(f(x_n)) \geq n+r$ . so  $v\left(\frac{f(x_n)}{f'(x_n)}\right) \geq n+r - r = n$ .

② want:  $f(x_{n+1}) \equiv 0 \pmod{\pi^{n+r+1}}$

$$f(x_{n+1}) = f\left(x_n + \frac{-f(x_n)}{f'(x_n)}\right)$$

$$= \underbrace{f(x_n) + f'(x_n) \cdot \frac{-f(x_n)}{f'(x_n)}}_{=0} + \underbrace{g\left(f(x_n), \frac{-f(x_n)}{f'(x_n)}\right)}_{\text{By prev, know } \frac{f(x_n)}{f'(x_n)} \text{ has val } \geq n+r+1} \left(\frac{f(x_n)}{f'(x_n)}\right)^2$$

$v\left(\frac{f(x_n)}{f'(x_n)}\right) \geq n+r$   $2v(\dots) \geq 2n+2r$

$$\equiv 0 \pmod{\pi^{n+r+1}}$$

so now: done induction.

complete thm.  $x_n$  is Cauchy so  $x_n \rightarrow x$ .  $f(x_n) \rightarrow 0$ .  $f$  continuous  $\Rightarrow f(x) = \lim f(x_n) = 0$ .

and show  $|x-a| < |f'(a)|$

$$a \equiv x \pmod{\pi^{r+1}} \quad x-a \equiv 0 \pmod{\pi^{r+1}} \quad \text{so } v(x-a) \geq r+1 > r = v(f'(a)).$$

### Uniqueness

let  $x' \in \mathcal{O}_K$  be another  $f(x') = 0$  and  $|x'-a| < |f'(a)|$ . let  $S = x' - x \neq 0$ .

$$\begin{cases} |x'-a| < |f'(a)| \\ |x-a| < |f'(a)| \end{cases} \Rightarrow |S| = |x'-a - (x-a)| \leq \max(|x'-a|, |x-a|) = |f'(a)|$$

on the other hand,  $0 = f(x') = f(x+S) = f(x) + S f'(x) + \underbrace{S^2 + \dots}_{|1 \leq k \leq 2}$  so  $0 = S f'(x) + \dots$   $|S f'(x)| \leq |S^2|$   
 $\Rightarrow |f'(x)| \leq |S|$

but  $a = x_1 \equiv x \pmod{\pi^{r+1}}$  so  $f'(a) \equiv f'(x) \pmod{\pi^{r+1}} \neq 0$  so  $|f'(a)| < |S|$ .

## Hensel proof scheme

Statement: ① have  $|f(a)| < |f'(a)|^2$  ② get  $|x-a| < |f'(a)|$

Proof: Set  $r = v(f'(a))$ . then setup is  $\begin{cases} \textcircled{1} f(x_n) \equiv 0 \pmod{\pi^{2r+n}} \\ \textcircled{2} x_n \equiv x_{n+1} \pmod{\pi^{n+r}} \end{cases}$

induction  $\left\{ \begin{array}{l} \textcircled{1} \text{ Base: } x_1 = a \text{ show } \textcircled{1} \text{ hold.} \\ \textcircled{2} \text{ Inductive: construct. } x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)} \\ \textcircled{3} \text{ show } \textcircled{2} \text{ holds, using } v(f'(a)) = v(f'(x_n)) \\ \textcircled{4} \text{ show } \textcircled{1} \text{ hold } f(x_{n+1}) = f(x_n + c) \text{ using pow. ser. expansion.} \\ \text{It cancels out.} \end{array} \right.$

## finishing theorem

- Cauchy'sness
- show  $|x-a| < |f'(a)|$

## uniqueness

- set  $S = x - x'$ 
  - use  $>$  &  $<$  argument on  $|S|$  and  $|f'(a)|$
  - $|x-a| < |f'(a)|$  &  $|x'-a| < |f'(a)| \Rightarrow$  one side.
  - $0 = f(x) - f(x')$  pow series expansion.

key take away:  $f'(x) = f'(x_n) = f'(a)$  with valuation  $r$ .

## Cor lifting root version of Hensel's lemma.

let  $(K, |\cdot|)$  be complete, discretely valued field. let  $f(x) \in \mathcal{O}_K[x]$ . let  $\bar{c} \in K$  be a simple root of  $\bar{f} \in K[x]$ . then,  $\exists x \in \mathcal{O}_K$  s.t.  $f(x) \equiv 0$  and that  $x \equiv \bar{c} \pmod{K}$ .

proof: let  $c \in \mathcal{O}_K$  be any lift of  $\bar{c}$ . then  $|f(c)| < |f'(c)|^2$  b/c  $|f'(c)| \equiv 1$  (simple root) b/c  $f(c) \equiv 0 \pmod{\pi}$ . So Hensel gives us a root  $x \in \mathcal{O}_K$   $f(x) = 0$ .

## Proof scheme

Take a lift. That lift plays  $a$ . use Hensel.

Cor. Multiplicative structure of p-adic integers

$$\mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2 = \begin{cases} (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } p=2 \\ (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } p \neq 2. \end{cases}$$

✳️ Chris's notes

pf:  $p \neq 2$ .

consider  $f(x) = x^2 - b$ .

$$b \in (\mathbb{Z}_p^\times)^2 \Leftrightarrow \bar{b} \in (\mathbb{F}_p^\times)^2$$

$\longrightarrow$   $b$  is square in  $\mathbb{Z}_p$ . reducing  $\rightarrow \bar{b}$  sq in  $(\mathbb{F}_p^\times)^2$

$\longleftarrow$  lifting simple root.

$$\mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 \cong \mathbb{F}_p^\times / (\mathbb{F}_p^\times)^2$$

why?  $f: \mathbb{Z}_p^\times \rightarrow \mathbb{F}_p^\times / (\mathbb{F}_p^\times)^2$  is surj & has  $\ker (\mathbb{Z}_p^\times)^2$

But  $\mathbb{F}_p^\times / (\mathbb{F}_p^\times)^2 \cong \mathbb{Z}/2\mathbb{Z}$ .

But  $\begin{cases} \mathbb{Z}_p^\times / \mathbb{Z} \cong \mathbb{Q}_p^\times \\ (u, n) \mapsto p^n u. \end{cases}$

$$(\mathbb{Q}_p^\times)^2 \cong (\mathbb{Z}_p^\times)^2 \times 2\mathbb{Z}$$

$$\begin{aligned} \text{so } (\mathbb{Q}_p^\times) / (\mathbb{Q}_p^\times)^2 &\cong (\mathbb{Z}_p^\times) / (\mathbb{Z}_p^\times)^2 \oplus \mathbb{Z}/2\mathbb{Z} \\ &\cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \end{aligned}$$

$p=2$ . no simple roots  $x^2 - b$

let  $b \in \mathbb{Z}_2^\times$ . consider  $f(x) = x^2 - b$ .

$b \equiv 1 \pmod{8}$ .  $f(x) = x^2 - b$ .

$$|f(0)|_2 = |b|_2 \leq 2^{-3} \Rightarrow f(x) \text{ has root in } \mathbb{Z}_2.$$

$$|f'(0)|_2 = |2x|_2 = 2^{-2}$$

so  $b \in (\mathbb{Z}_2^\times)^2 \Rightarrow b \equiv 1 \pmod{8}$ .

note:  $x$  is sq root in  $\mathbb{Z}_2$  iff  $x \equiv 1 \pmod{8}$ .

$$\mathbb{Q}_2^\times \cong \mathbb{Z}_2^\times \times \mathbb{Z}$$

$$\mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2 \cong (\mathbb{Z}/8\mathbb{Z})^\times$$

$$(\mathbb{Q}_2^\times)^2 \cong (\mathbb{Z}_2^\times)^2 \times 2\mathbb{Z}$$

reduction mod 8  $\phi: \mathbb{Z}_2^\times \rightarrow (\mathbb{Z}/8\mathbb{Z})^\times$ .  $\ker(\phi) = (\mathbb{Z}_2^\times)^2$

now, retry on your own.

$$\mathbb{Q}_p^{\times} / (\mathbb{Q}_p^{\times})^2 \cong \begin{cases} (\mathbb{Z}/2\mathbb{Z})^2 & p \neq 2 \\ (\mathbb{Z}/2\mathbb{Z})^3 & p = 2 \end{cases}$$

Proof:  $p > 2$ .

① show  $\mathbb{Z}_p^{\times} / (\mathbb{Z}_p^{\times})^2 \cong \mathbb{F}_p^{\times} / (\mathbb{F}_p^{\times})^2$

consider  $\mathbb{Z}_p^{\times} \rightarrow \mathbb{F}_p^{\times} / (\mathbb{F}_p^{\times})^2$

· surjective ✓

· kernel: let  $b \in \mathbb{Z}_p^{\times}$ , s.t.  $x^2 - b = 0$  in  $\mathbb{F}_p$ .  $\Leftrightarrow x^2 - b$  has root in  $\mathbb{Z}_p^{\times}$   
 $\Leftrightarrow b \in (\mathbb{Z}_p^{\times})^2$

But  $\mathbb{Q}_p^{\times} \cong \mathbb{Z}_p^{\times} \times \mathbb{Z}$   $\Rightarrow \mathbb{Q}_p^{\times} / (\mathbb{Q}_p^{\times})^2 \cong (\mathbb{Z}_p^{\times})^2 / (\mathbb{Z}_p^{\times})^2 \oplus \mathbb{Z}/2\mathbb{Z} \cong (\mathbb{Z}/2\mathbb{Z})^2$   
 $(\mathbb{Q}_p^{\times})^2 \cong (\mathbb{Z}_p^{\times})^2 \times 2\mathbb{Z}$

②  $\mathbb{Z}_2^{\times} \xrightarrow{\phi} (\mathbb{Z}/8\mathbb{Z})^{\times}$   $\star$  is the id in ring  $(\mathbb{Z}/8\mathbb{Z})^{\times}$ : 1, 3, 5, 7.

$\ker(\phi) = ?$

claim  $\ker(\phi) = (\mathbb{Z}_2^{\times})^2$

$\ker(\phi) \subseteq (\mathbb{Z}_2^{\times})^2$ . if  $b \in \ker(\phi)$ , then  $x^2 - b$  has root by Hensel using 1.

$(\mathbb{Z}_2^{\times})^2 \subseteq \ker(\phi)$ . odd  $\#$  in  $(\mathbb{Z}_2^{\times})^2$  sq must be 1.

$b \in (\mathbb{Z}_2^{\times})^2 \Rightarrow b \equiv 1 \pmod{8}$ .

Proof scheme

note  $\mathbb{Q}_p^{\times} \cong \mathbb{Z}_p^{\times} \times \mathbb{Z}$   $\Rightarrow \mathbb{Q}_p^{\times} / (\mathbb{Q}_p^{\times})^2 \cong \mathbb{Z}_p^{\times} / (\mathbb{Z}_p^{\times})^2 \times \mathbb{Z}/2\mathbb{Z}$ .  
 $(\mathbb{Q}_p^{\times})^2 \cong (\mathbb{Z}_p^{\times})^2 \times 2\mathbb{Z}$ .

then: in  $p \neq 2$ :  $\mathbb{Z}_p^{\times} \rightarrow \mathbb{F}_p^{\times} / (\mathbb{F}_p^{\times})^2$  with  $\ker = (\mathbb{Z}_p^{\times})^2$

then: in  $p = 2$ :  $\mathbb{Z}_p^{\times} \rightarrow (\mathbb{Z}/8\mathbb{Z})^{\times}$  with  $\ker = (\mathbb{Z}_p^{\times})^2$ .

thin Hensel version 2

let  $(K, |\cdot|)$  be a complete discrete valued field. let  $f(x) \in \mathcal{O}_K[x]$ . let  $\bar{f} \in k[x]$  be  $f$  reduce modulo  $m$ . if  $\exists \bar{g}, \bar{h} \in k[x]$ ,  $\bar{g}(x)\bar{h}(x) = \bar{f}(x)$ . Then exists  $g(x), h(x) \in \mathcal{O}_K[x]$  s.t.  $f(x) = g(x)h(x)$  and  $g \equiv \bar{g} \pmod{m}$ ,  $h \equiv \bar{h} \pmod{m}$ .

## Week 2 Lec 2

Cor: a cor of 2<sup>nd</sup> ver of Hensel.

let  $(K, | \cdot |)$  be a CVF. Then, let  $f(x) \in K[x]$  write  $f(x) = a_n x^n + \dots + a_0$  s.t.  $a_0, a_n \neq 0$ .

if  $f(x)$  irred,  $|a_i| \in \max\{|a_0|, |a_n|\} \forall i$

Proof: Spare not-scale  $f(x) \in \mathcal{O}_K[x]$  s.t.  $\max_i |a_i| = 1$ . Then, let  $r$  be the minimal value s.t.  $|a_r| = 1, 0 \leq r < n$ .

modulo  $m$ , all terms with  $|i| < 1$  disappear.

$$\overline{f(x)} = \overline{a_n x^n + a_{n-1} x^{n-1} + \dots + a_r x^r + a_{r-1} x^{r-1} + \dots + a_0}$$

no disappear

$$\stackrel{\deg < r}{\sim} x^r (a_n x^{n-r} + \dots + a_r)$$

mod  $m$ . Two poly factors coprime.

then we get lift to  $\mathcal{O}_K[x]$ . ✖

Proof Scheme. WLOG to  $\mathcal{O}_K[x]$  s.t.  $\max |a_i| = 1$ . Then set for  $\mathbb{K}$ , then mod  $m$  & factor.

Teichmüller lift ↗

defn a ring  $R$  with  $\text{char}(R) = p$  is perfect if  $f_r: x \mapsto x^p$  is bijection.  
 $\hookrightarrow \mathbb{F}_p, \overline{\mathbb{F}_p}$  perfect fields.

thm Teichmüller lift thm

let  $K$  be complete DVF. let  $\mathcal{O}_K := \mathcal{O}_K/m$ . if  $\mathcal{O}_K$  has char  $p$  and  $\mathcal{O}_K$  is perfect, then,  $\exists$  map  $[\cdot]: K \rightarrow \mathcal{O}_K$  s.t.

$$1) [a] \equiv a \pmod{m} \quad \forall a$$

$$2) [ab] = [a][b] \pmod{m} \quad \forall a, b \in \mathcal{O}_K$$

furthermore if  $K$  has char  $p$ , then  $[\cdot]$  is a homomorphism.

lem.  $(K, | \cdot |)$  as theorem, fix  $\pi \in \mathcal{O}_K$  a uniformizer. then if  $x, y \in \mathcal{O}_K$  and  $k \geq 1$

$$\text{if } \begin{cases} x \equiv y \pmod{\pi^k} \\ x^p \equiv y^p \pmod{\pi^{k+1}} \end{cases}$$

Proof of lem.

write  $x = y + \pi^k u$  for  $u \in \mathcal{O}_K$ .

then 
$$x^p = (y + \pi^k u)^p$$

$$= y^p + \binom{p}{1} y^{p-1} (\pi^k u) + \dots + \binom{p}{p} (\pi^k u)^p$$
 but  $p \in \pi \mathcal{O}_K$ . So all  $\equiv 0 \pmod{\pi^{k+1}}$

$$\equiv y^p \pmod{\pi^{k+1}}$$

Proof of theorem

construct 1). let  $a \in K$ . define  $y_i \in \mathcal{O}_K$  to be a lift of  $a^{\frac{1}{p^i}}$ . define  $x_i = y_i^{p^i}$ .

claim  $x_i$  is Cauchy &  $x_i \rightarrow x$  &  $x$  do not depend on  $y_i$ 's choices.

$\hookrightarrow$  Cauchy:  $y_i \equiv y_{i+1}^p \pmod{\pi}$   $(a^{\frac{1}{p^i}} = (a^{\frac{1}{p^{i+1}}})^p)$

so by lemma,  $y_i^{p^i} \equiv y_{i+1}^{p^{i+1}} \pmod{\pi^i}$

$r=i \Rightarrow y_i^{p^i} \equiv y_{i+1}^{p^{i+1}} \pmod{\pi^i}$

$\parallel$   $\parallel$

$x_i$   $x_{i+1}$

So Cauchy

$\hookrightarrow$  independent of lift  $y_i$ .

Say  $(x_i)_{i \geq 1}$  arise from another choice of  $y_i$  lifting  $a^{\frac{1}{p^i}}$ . say  $x_i' \rightarrow x'$ .

consider  $x_i'' = \begin{cases} x_i & i \text{ even} \\ x_i' & i \text{ odd} \end{cases}$   $x_i''$  arise by lifting  $y_i'' = \begin{cases} y_i & \\ y_i' & \end{cases}$  " " " "

apply argument again, w.r.t.  $y_i, y_{i+1}'$  get that  $x_i''$  is Cauchy.

But  $x_i'' \rightarrow x', x_i' \rightarrow x$ . So  $x' = x$ .

satisfy 1):  $x_i = y_i^{p^i} \equiv (a^{\frac{1}{p^i}})^{p^i} = a \pmod{\pi^m}$

satisfy 2): let  $b \in K$ ,  $u_i \in \mathcal{O}_K$  be lifts of  $b^{\frac{1}{p^i}}$  let  $z_i = u_i^{p^i} \rightarrow z = [b]$

$u_i y_i$  is lift of  $a^{\frac{1}{p^i}} \cdot b^{\frac{1}{p^i}} = (ab)^{\frac{1}{p^i}}$

$$[ab] = \lim_{i \rightarrow \infty} (z_i x_i) = \lim_{i \rightarrow \infty} x_i \lim_{i \rightarrow \infty} z_i = [a][b].$$

If  $K$  has char  $p$ , get that  $[ ]$  is hom.

$$(x+y)^p = x^p + y^p \text{ by } \text{binom.}$$

If char  $K = p$ ,  $y_i + u_i$  is a lift of  $a^{\frac{1}{p^i}} + b^{\frac{1}{p^i}} = (a+b)^{\frac{1}{p^i}}$

then  $[a+b] = \lim (y_i + u_i)^{p^i} = \lim (y_i^{p^i}) + \lim (u_i^{p^i}) = \lim x_i + \lim z_i = [a] + [b].$

$[0] = 0, [1] = 1. \checkmark$

uniqueness of the  $[J]$ .

let  $\phi: K \rightarrow \mathcal{O}_K$  then  $a \in K$ ,  $\phi(a^{1/p^i})$  is lift of  $a^{1/p^i}$  then

$$[a] = \lim_{\nrightarrow} \phi(a^{1/p^i})^{p^i} = \lim_{\nrightarrow} \phi(a) = \phi(a)$$

By prev arg  $\# \rightarrow a$

### Proof Scheme

↳ construct  $[J] : a \in \mathcal{O}_K$ ,  $y_i \in \mathcal{O}_K$  lift of  $a^{1/p^i}$ ,  $x_i = y_i^{p^i}$

show  $x$  is Cauchy using  $y_i = y_{i+1}^p \pmod{\pi}$  & lemma

show do not depend on choice of  $y_i$ . (alternating sequence)

↳ satisfy 1

↳ satisfy 2

↳ if  $\text{char } K = p$ ,  $[J]$  is a hom.  $\rightarrow y_i + u_i$  is lift of  $a_i^{1/p^i} + b_i^{1/p^i} = (a+b)^{1/p^i}$

↳  $[J]$  unique: using property of  $\phi$ .

### example root of unity:

If  $K = \mathbb{O}_p$ ,  $[J]: \mathbb{F}_p \rightarrow \mathbb{Z}_p$ .  $a \in \mathbb{F}_p^\times$  then  $[a]^{p-1} = [a^{p-1}] = [1] = 1 \rightarrow [a]$  is a root of unity

### lem. roots of unity in CDVR

let  $(K, v)$  be a CDVR. if  $K := \mathcal{O}_K/\mathfrak{m} \cong \mathbb{F}_p$  then  $[a] \in \mathcal{O}_K^\times$  are roots of unit.

Proof.  $a \in \mathcal{O}_K \Rightarrow a \in \mathbb{F}_p^n$  for some  $n$ .

$$[a]^{p^n-1} = [a^{(p^n-1)}] = [1] = 1$$

Idea: If residue field is subfield of  $\mathbb{F}_p$  then all lifts of  $a$  are roots of unity.



Thm  $(K, | \cdot |)$  a CDVR w/ char  $K \neq p > 0$ . If  $K$  is perf then  $K \cong k((t))$

Idea  $K$  CDVR with char  $p$ .  $K$  perf.  $\cong$  Laurent series

Pf. Since  $\mathcal{O}_K = \text{frac } F$

Suffice to show  $\mathcal{O}_K \cong k[[t]]$  let  $\pi \in \mathcal{O}_K$  be a uniformizer.

let  $L: \mathbb{R} \rightarrow \mathcal{O}_K$  be technical lift.

define  $\phi: k[[t]] \rightarrow \mathcal{O}_K$  be  $\phi(\sum_{i=0}^{\infty} a_i t^i) = \sum_{i=0}^{\infty} [a_i] \pi^i$

$\phi$  is ring hom b/c  $K$  has char  $p$ .

$\phi$  is a bijection b/c ( $\mathcal{O}_K$  uniquely written as).

## Week 2 Lec 3

Big theorem for field extensions

Thm gives  $(K, | \cdot |)$ . CDVR, LF finite extension of degree  $n$ . Then,

i)  $| \cdot |$  extends uniquely to an absolute value on  $L$ .  $| \cdot |_L$ , defined by

$$| \cdot |_L: L \rightarrow \mathbb{R}$$

$$|y|_L = |N_{L/K}(y)|^{1/n} \quad \forall y \in L$$

ii)  $L$  is complete w.r.t.  $| \cdot |_L$ .

def  $N_{L/K}(y) = \det(\text{mult}_y)$  where  $\text{mult}_y$  is linear map  $L \rightarrow L$  by mult by  $y$ .

$N_{L/K}(y) = \pm \alpha^n$  where  $\alpha$  is constant term of min. poly and  $n \geq 1$

def let  $(K, | \cdot |)$  be non arch field. Then a norm on  $V$ , a vs of  $K$  can also be defined.

def. equivalent norms: two norms are equivalent if  $\exists c, d$  s.t.

$$c \|x\|_1 \leq \|x\|_2 \leq d \|x\|_1 \quad \forall x \in V.$$

Note that equivalent norms induce same topology.

def Sup norm that arises from abs value.

let  $V$  be a fid vs. of  $K$ ,  $e_1, \dots, e_n$  a basis of  $V$ . Then define  $\|x\|_{\text{sup}} = \max_i |x_i|$

where  $x = \sum_i x_i e_i$

Prop. let  $(K, |\cdot|)$  be complete, non-arch,  $V$  a f.d.v.s over  $K$ , then  $V$  is complete w.r.t.  $\|\cdot\|_{\text{sup}}$ .

Proof: let  $(v_i)_{i=1}^{\infty}$  be Cauchy in  $V$ . write  $v_i = \sum_{j=1}^n x_j^i e_j$  then, by  $\|\cdot\|_{\infty}$ , we have  $(\|x_j^i\|_{j=1}^n)_{i=1}^{\infty}$  is Cauchy for each  $j$ . let  $x_j^i \rightarrow x_j$  as  $K$  is complete. then  $\sum_{j=1}^n x_j e_j$  is  $\lim v_i$ .

Thm. let  $(K, |\cdot|)$  be complete, non-arch and  $V$  a f.d.v.s over  $K$ . Then, any two norms on  $V$  is equivalent. also,  $V$  is complete w.r.t any norms as  $K$  is complete & any norm  $\sim$  to supnorm.

Proof. norm  $\sim$  is an  $\sim$  relation. suffice to show  $\|\cdot\| \sim \|\cdot\|_{\infty}$ .

let  $e_1, \dots, e_n$  be basis for  $V$ .

show that  $\|x\| \leq D \|x\|_{\infty}$ , set  $D = \max_i \|e_i\|$

$$\begin{aligned} \text{then } \|x\| &= \left\| \sum_{i=1}^n x_i e_i \right\| \\ &\leq \max_i \|x_i e_i\| \\ &\leq \max_i |x_i| \|e_i\| \\ &\leq D \|x\|_{\infty} \end{aligned}$$

now want to show  $C \|x\|_{\infty} \leq \|x\|$

this needs induction on  $n = \dim V$ .

when  $n=1$ ,  $\|x\| = \|x_1 e_1\| = |x_1| \|e_1\|$  set  $C = \|e_1\|$ .

when  $n > 1$ . suppose that all dim  $v.s.$  are complete. Then, we set each  $V_i = \text{span}\langle e_1, \dots, e_i \rangle$ .

By induction,  $V_i$  is complete w.r.t.  $\|\cdot\|$ . so each  $e_i + V_i$  is closed  $\forall i$ . Set  $S = \bigcup_{i=1}^n e_i + V_i$  is a <sup>closed</sup> subset not containing 0. so  $S$ 's complement is open and contain 0. So  $\exists c > 0$ .  $B(0, c) \subset S^{\text{comp}}$ .

now, write  $x = \sum x_i e_i$ . let  $j$  be index where  $|x_j| = \|x\|_{\infty}$ . then  $\frac{x}{|x_j|} \in e_j + V_j \in S$  so  $\left\| \frac{x}{|x_j|} \right\| > C$   
 $\|x\| > C \|x\|_{\infty}$   
 $= C \|x\|_{\infty}$

completeness follows since  $V$  complete w.r.t.  $\|\cdot\|_{\text{sup}}$ .

Proof scheme.

one side: set  $\max_i \|e_i\|$ .

other side: induction. set  $V_i = \text{span}\langle e_1^i \rangle$   $S = \bigcup e_i + V_i$ .  $\forall \emptyset$  closed. find some  $B(0, c) \in S^c$ . examine  $\frac{x}{|x_j|}$  where  $\|x_j\| = \|x\|_{\infty}$ .

def  $\mathcal{O}_L$

gives field extension and abs value on  $L$ , define  $\mathcal{O}_L = \{x \in L \mid |x|_L \leq 1\}$ .

def  $R \subseteq S$  rings then  $S$  is integral over  $R$  if  $\exists f(x) \in R[x]$  monic,  $f(S) = 0$

def integral closure  $R^{\text{int}(S)} = \{s \in S \mid s \text{ integral over } R\}$ .

example: int closure of  $\mathbb{Z}$  inside  $\mathbb{Q}[i]$  is  $\mathbb{Z}[i]$

def  $R \subseteq S$  is integrally closed if integral closure of  $R^{\text{int}(S)} = R$ .

Prop.  $R^{\text{int}(S)}$  is a subring of  $S$ , and it's integrally closed

lem.  $(K, |\cdot|)$  is non-arch valued field. then  $\mathcal{O}_K$  is int closed in  $K$ .

Pf let  $x \in K, x \neq 0$ . let  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 \in \mathcal{O}_K[x]$

if  $|x| > 1$ , then

$$|x^n| = |a_{n-1}x^{n-1} + \dots + a_1x + a_0|$$

$$|x|^n = |a_{n-1}| |x|^{n-1} + \dots + |a_1| |x| + |a_0| \leq \max\{|a_i| |x|^{n-1}\}$$

$$\leq 1 \quad \text{b/c} \quad |x| > 1, |a_i| < 1.$$

Claim  $\mathcal{O}_L = \mathcal{O}_K^{\text{cl}(L)}$  (prove later).

Proof of big thing

WTS  $|y|_L = |N_{L/K}(y)|^{1/n}$  satisfy 3 axioms of abs value.

1)  $|y|_L = 0 \Leftrightarrow |N_{L/K}(y)|^{1/n} = 0$

$\Leftrightarrow |N_{L/K}(y)| = 0$

fact from bit

$\Leftrightarrow y = 0$

2)  $|y_1 y_2|_L = |N_{L/K}(y_1 y_2)|^{1/n}$

$= |N_{L/K}(y_1) N_{L/K}(y_2)|^{1/n}$

$= |N_{L/K}(y_1)|^{1/n} |N_{L/K}(y_2)|^{1/n}$

$= |y_1|_L |y_2|_L$

3) let  $x, y \in L$ . wlog,  $|x|_L \leq |y|_L$  so  $|\frac{x}{y}|_L \leq 1 \Rightarrow \frac{x}{y} \in \mathcal{O}_L$ . By claim  $\mathcal{O}_L$  is a ring,

so  $\frac{x}{y} \in \mathcal{O}_L$ .  $\| \frac{x}{y} \|_L \leq 1 \Rightarrow |xy|_L \leq |y|_L = \max\{|x|_L, |y|_L\}$ .

## Week 3 Lec 1

lem  $\mathcal{O}_K^{\text{int}(L)} = \mathcal{O}_L$ .

proof

first show claim:

let  $0 \neq y \in L$ . let  $f(x) \in K[x]$  be the min poly of  $y$ .

then, show claim

subclaim:  $y$  integral over  $\mathcal{O}_K \Leftrightarrow f(x) \in \mathcal{O}_K[x]$ .

pf of subclaim:

$\Leftarrow$  clear

$\Rightarrow$  let  $g(x) \in \mathcal{O}_K[x]$  be poly s.t.  $g(y) = 0$ . But  $f$  is min poly,  $f|g$ . all roots of  $f$  are roots of  $g$ .

$\Rightarrow$  all root of  $f$  in  $\bar{K}$  is integral over  $\mathcal{O}_K$ . But coefficients can be written as a root of the same poly. So  $a_i$  integral over  $\mathcal{O}_K$ .  $\mathcal{O}_K$  int closed  $\Rightarrow a_i \in \mathcal{O}_K$ .

now, having shown the subclaim, note:  $|a_i| \leq \max(1, |a_0|)$

and  $N_{L/K}(y) = \pm a_0^m \in \mathcal{O}_K$

$y \in \mathcal{O}_L$

$\Leftrightarrow |y|_L \leq 1$

$\Leftrightarrow |N_{L/K}(y)| \leq 1$

$\Leftrightarrow |a_0| \leq 1$

$\Leftrightarrow |a_i| \leq 1 \forall i$  (by prev cor)

$\Leftrightarrow f(x) \in \mathcal{O}_K[x]$

$\Leftrightarrow y$  is integral over  $\mathcal{O}_K$ .

so  $\mathcal{O}_K^{\text{int}(L)} = \mathcal{O}_L$ .

## Proof scheme

subclaim:  $y \in L$ , then  $y$  integral over  $\mathcal{O}_K \Leftrightarrow f(x) \in \mathcal{O}_K[x]$

using subclaim,  $y \in L$ , then  $y \in \mathcal{O}_L \Leftrightarrow y$  int over  $\mathcal{O}_K \Leftrightarrow f(x) \in \mathcal{O}_K[x]$

$\uparrow$   
min poly stuff

$\uparrow$   
subclaim.

min poly  
 $\downarrow$

Prop: uniqueness of extension of  $\nu$ .

let  $\nu'$  be another abs extn of  $\nu$  on  $L$ . viewed as norms, same top, so eq. abs values. then  $\nu' = c\nu$   $c \in \mathbb{R} > 0$ . But agree on  $K$ , so  $c=1$ .  
completeness follow by vector space claim.

Now, write  $(K, \nu)$  CDF non-arch, discrete valued.

Cor let  $L/K$  be a finite extension.

i)  $L$  is discretely valued w.r.t.  $\nu|_L$ .

ii)  $\mathcal{O}_L$  is integral closure of  $\mathcal{O}_K$  in  $L$ .

Pf ii) shown earlier

i)  $n = [L:K]$ .

let  $y \in L^\times$ ,  $|y|_L = |N_{L/K}(y)|^{\frac{1}{n}}$

$$|y|_L = \frac{1}{n} \nu(N_{L/K}(y))$$

$$\nu_L(L^\times) \subseteq \frac{1}{n} \nu(K^\times) \subseteq \mathbb{Z}$$

so  $\nu_L(L^\times)$  is discrete.

Cor let  $\bar{K}/K$  be alg closure of  $K$ . Then  $\nu$  extends uniquely to an abs val on  $\bar{K}$ .

Proof: let  $x \in \bar{K}/K$  let  $L$  be a finite extension of  $K$  that contains  $x$ .

let  $|x|_L = |x|_L$ . uniqueness of  $|x|_L$  is true by uniqueness from prop. fml.

uniqueness for  $|x|_L$  follow from uniqueness again.

note:  $\nu|_K$  is never discrete.

"downstairs is simple implies upstairs is simple"

Prop  $L/K$  finite field extension, CDF if

1.  $\mathcal{O}_K$  compact

2.  $L/K$  is finite & separable

then  $\exists \alpha \in \mathcal{O}_K$  s.t.  $\mathcal{O}_L = \mathcal{O}_K[\alpha]$ .

das upstairs  
finite inty? ↓

condition: the fields are CDVF, finite extension then }  $K \neq K$  sep & finite  
then  $\bar{K}[a] = \bar{L}$  for some  $a \in \bar{L}$ .  
 $\bar{K}$  compact.

Proof:  $K \neq K$  finite and separable implies simple, so  $K \neq K[\bar{a}]$ .  $\bar{a} \in K \neq K$ . pick  $\alpha \in \bar{L}$  to be a lift of  $\bar{a}$ . let  $\bar{g}$  be min poly of  $\bar{a}$  in  $K[\bar{a}]$ . Then take  $g \in \bar{K}[x]$  be lift of  $\bar{g}$ .

fix  $\pi \in \bar{L}$  a uniformizer.  $\bar{g}(x) \in K[x]$  is irred & separable.

$$g(\alpha) \equiv 0 \pmod{\pi} \Rightarrow g(\bar{a}) \equiv 0 \pmod{\pi}$$

separability  $\Rightarrow g'(\bar{a}) \not\equiv 0 \pmod{\pi} \Rightarrow g'(\alpha) \not\equiv 0 \pmod{\pi}$ .

now, can pick a set  $v(g(\alpha)) = 1$ . i.e. if  $g(\alpha) \equiv 0 \pmod{\pi^2}$  then consider

$$g(\alpha + \pi u) = g(\alpha) + \pi g'(\alpha) + \pi^2 \dots \quad \text{so } v(g(\alpha + \pi u)) = 1$$

$\downarrow \pmod{\pi^2}$      $\pi \downarrow \pmod{\pi^2}$      $\downarrow \pmod{\pi^2}$

This implies that can pick a s.t.  $v(g(\alpha)) = 1$ . let  $\beta = g(\alpha)$ . so  $\beta$  is a uniformizer in  $L$ .  $\beta \in \bar{K}[a]$ .

let  $\psi: \bar{K} \rightarrow L(x_0, \dots, x_{n-1})$

$$(x_0, \dots, x_n) \mapsto \sum_{i=0}^{n-1} x_i \alpha^i \quad n = [K(\bar{a}) : K]$$

$\text{Im}(\psi) = \bar{K}[a]$  is compact. so  $\bar{K}[a]$  closed.

now,  $K \neq K[\bar{a}]$ ,  $\bar{K}[a]$  contains a set of coset reps for  $K \neq \bar{L}/\pi \bar{L} = \bar{L}/\beta \bar{L}$ .

so any  $y \in \bar{L}$  can be written as  $y = \sum_i \eta_i \beta^i$   $\eta_i \in \bar{K}[a]$ . each partial sum in  $\bar{K}[a]$ , closedness implies that  $y \in \bar{L}$ .

Proof scheme

- set  $K \neq K[\bar{a}]$ , HFT  $\bar{a}$  and its min poly  $\bar{g}(x)$ .
- pick  $\pi \in \bar{L}$  a unif have  $g(\alpha) \equiv 0 \pmod{\pi}$   $g'(\alpha) \not\equiv 0 \pmod{\pi}$
- pick  $\pi \in \bar{L}$  if needed s.t.  $g(\alpha) \not\equiv 0 \pmod{\pi^2}$
- set  $\beta = g(\alpha) \in \bar{K}[a]$ .
- ↳  $\bar{K}[a] \subseteq \bar{L}$  clear
- ↳  $\bar{L} \subseteq \bar{K}[a]$

use  $\psi: \bar{K} \rightarrow L(x_0, \dots, x_{n-1})$  to show  $\text{Im} \psi = \bar{K}[a]$

↳  $\bar{K}[a]$  closed

$K \neq K[\bar{a}] \Rightarrow$  can find reps for  $\bar{L}/\pi \bar{L} = \bar{L}/\beta \bar{L}$

so  $y = \sum \eta_i \beta^i$   $\eta_i \in \bar{K}[a] \Rightarrow y \in \bar{K}[a]$ .

Proof scheme again

- Set  $R_L = \mathbb{Z}[\alpha]$  let  $\alpha, g(\alpha)$  be lift of  $\alpha$ , and <sup>monic</sup> lift of min poly of  $\alpha$ .
- properties of  $g$ :  $g(\alpha) \neq 0$  mod  $R_L$ ,  $g'(\alpha) \neq 0$  mod  $R_L$ , pick  $\alpha$  st.  $g(\alpha) \neq 0$  mod  $R_L^2$ ,  $Ng(\alpha) = 1$ .
- Set  $\beta = g(\alpha) \in \mathcal{O}_K[\alpha]$ .
- $\mathcal{O}_K[\alpha] \subseteq \mathcal{O}_L$ : clear
- $\mathcal{O}_L \subseteq \mathcal{O}_K[\alpha]$ :
  - use  $\theta: \mathcal{O}_K \rightarrow L(x_0, \dots, x_{n-1})$  to show  $m \in \mathcal{O}_K[\alpha]$ ,  $\mathcal{O}_K[\alpha]$  closed.
  - show  $\mathcal{O}_L/m = \mathcal{O}_L/\beta \mathcal{O}_L$  has repr in  $\mathcal{O}_K[\alpha]$  using  $R_L = \mathbb{Z}[\alpha]$ .
  - so  $g =$  partial sum in  $\mathcal{O}_L[\alpha]$  so done.

### Week 3 lecture 2

local fields & global fields.

def. let  $(K, |\cdot|)$  be a valued field.

$K$  is local if it's complete & locally compact.

locally cpt:  $\forall x \in K, \exists U$  open, s.t.  $x \in U \subseteq Z$  compact. i.e. all  $x \in K$  has a cpt nbd.

e.g.  $\mathbb{R}, \mathbb{C}$  are local fields.

Prop 2.2 let  $(K, |\cdot|)$  be a non-arch complete valued field. TFAE:

- $K$  is locally compact
- $\mathcal{O}_K$  is compact
- $v$  is discretely valued,  $\mathcal{O}_K/m$  is finite.

pf: 1)  $\rightarrow$  2) since  $K$  is locally compact, let  $0 \in U$  be a compact nbd of 0. Then,

$\exists x \in \mathcal{O}_K$  s.t.  $x\mathcal{O}_K \subseteq U$ .  $\mathcal{O}_K$  closed,  $x\mathcal{O}_K$  closed.  $\text{bd} \rightarrow$  compact.

but  $x\mathcal{O}_K \xrightarrow{x^{-1}} \mathcal{O}_K$  is a homeo. so  $\mathcal{O}_K$  compact.

2)  $\rightarrow$  1) let  $a \in K$ . then  $a + \mathcal{O}_K$  is a compact nbd of  $a$ .

2)  $\rightarrow$  3)  $\mathcal{O}_K$  compact.

want unif?

$\mathcal{O}_K/m$  finite: let  $x \in \mathcal{O}_K$ . Then let  $A_x$  be set of representatives of

$\mathcal{O}_K/x\mathcal{O}_K$ . Then  $\mathcal{O}_K = \bigcup_{y \in A_x} y + x\mathcal{O}_K$  But  $\mathcal{O}_K$  compact so  $A_x$  finite.

$\mathcal{O}_K/m = \mathcal{O}_K/x\mathcal{O}_K$  is finite.

$v$  discrete: Suppose not, then  $\exists x_1, x_2, x_3, \dots$   $v(x_1) > v(x_2) > v(x_3) > \dots > 0$

so  $x_1\mathcal{O}_K \not\subseteq x_2\mathcal{O}_K \not\subseteq \dots \not\subseteq \mathcal{O}_K$  infinite seq. All subgroups of  $\mathcal{O}_K/x_1\mathcal{O}_K$ .

But as we showed it's a finite group. so  $x_i$ .

3)  $\rightarrow$  2).  $\mathcal{O}_K$  metric space, suffice to show sequentially compact.

let  $(x_n)_{n=1}^{\infty}$  be a seq in  $\mathcal{O}_K$  fix  $\pi \in \mathcal{O}_K$  a uniformiser.

note  $\pi^i \mathcal{O}_K / \pi^{i+1} \mathcal{O}_K \cong k$ . so each  $\mathcal{O}_K / \pi^i \mathcal{O}_K$  is finite.

now, since  $\mathcal{O}_K / \pi \mathcal{O}_K$  finite,  $\exists a_1 \in \mathcal{O}_K / \pi \mathcal{O}_K$  & subseq  $X_i = (x_n)_{n=1}^{\infty}$  s.t.  $x_n \equiv a \pmod{\pi}$   $\forall n$ .

"  $\mathcal{O}_K / \pi^2 \mathcal{O}_K$  finite  $\exists a_2 \in \mathcal{O}_K / \pi^2 \mathcal{O}_K$  subseq of  $x_{n_1}, x_{n_2}, \dots$  s.t.  $x_{n_i} \equiv a_2 \pmod{\pi^2}$   $\forall n_i$ .

By this fashion, construct subseq  $(x_{i_n})_{n=1}^{\infty}$ , s.t.

1)  $(x_{i_{i+n}})_{n=1}^{\infty}$  is subseq of  $(x_{i_n})_{n=1}^{\infty}$

2)  $\forall i, \exists a_i \in \mathcal{O}_K / \pi^i \mathcal{O}_K$  s.t.  $x_{i_n} \equiv a_i \pmod{\pi^i}$   $\forall n$ .

so  $a_i \equiv a_{i+1} \pmod{\pi^i}, \forall i$ .

pick  $y_i = x_{i_{i+1}}$ . This is a subseq of  $(x_n)_{n=1}^{\infty}$

$$y_i \equiv a_i \pmod{\pi^i}$$

$$\equiv a_{i+1} \pmod{\pi^i}$$

$$\equiv y_{i+1} \pmod{\pi^i}$$

$y_i$  Cauchy. so  $y_i \rightarrow y$ .

### Proof scheme.

1)  $K$  is locally compact

2)  $\mathcal{O}_K$  compact

3)  $\mathcal{O}_K / \mathfrak{m}$  is finite &  $V$  discrete.

1)  $\rightarrow$  2) find mod of  $\mathcal{O}_K$  scale by  $x$  s.t.  $x \mathcal{O}_K \subset U$ .

2)  $\rightarrow$  1)  $\forall \alpha \in K, \alpha \in \mathcal{O}_K$  satisfy local compactness.

2)  $\rightarrow$  3) finite:  $m \in \mathcal{O}_K$ , let  $\mathfrak{A}_x$  be repn of  $\mathcal{O}_K / x \mathcal{O}_K$ . Then find cover to show  $\{x\} < \infty$  discrete: if  $v(x_1) \geq \dots \geq v(x_n) \geq -\infty > 0$ , get strict chain subgroups. But

$\mathcal{O}_K / x_1 \mathcal{O}_K$  is finite.

3)  $\rightarrow$  2) w/seq. compact.

fix a uniformiser  $\pi$ . notice  $\mathcal{O}_K / \pi \mathcal{O}_K$  finite so is  $\mathcal{O}_K / \pi^i \mathcal{O}_K$ .

given any  $(x_n)_{n=1}^{\infty}$ , pick  $(x_{i_n})_{n=1}^{\infty}$  s.t.

1)  $(x_{i_{i+n}})_{n=1}^{\infty}$  is subseq of  $(x_{i_n})_{n=1}^{\infty}$

2)  $\forall i, \exists a_i \in \mathcal{O}_K / \pi^i \mathcal{O}_K$  s.t.  $x_{i_n} \equiv a_i \pmod{\pi^i}$ .

$$\Rightarrow a_i \equiv a_{i+1} \pmod{\pi^i}$$

pick  $y_i = x_{i_{i+1}} \Rightarrow y_i$  Cauchy. done.



More on inverse limits.

let  $(A_n)_{n \in \mathbb{N}}$  seq of SGR.  $f_{n+1}: A_{n+1} \rightarrow A_n$  homs.

def Profinite topology on  $A = \varprojlim_n A_n$  is the weakest top on A

s.t. proj maps  $A \rightarrow A_n$  is cts  $\forall n$ .  $A_n$  is equipped w/ discrete topology.

i.e. weakest on A s.t. proj maps are cts. An finite w/ discrete top.

note A w/ profinite top is compact, totally disconnected, Hausdorff.

Prop  $K$  be a nonarch local field. Recall  $\sigma_K \cong \varprojlim_n \sigma_K / \pi^n \sigma_K$  is ISO.

We actually have its on iso of topological spaces.

Proof: claim  $B = \{a + \pi^n \sigma_K \mid a \in \sigma_K, n \in \mathbb{Z}_{\geq 1}\}$  is basis for  $\sigma_K$  &  $\varprojlim_n \sigma_K / \pi^n \sigma_K$ .

for 1.1: clear w.r.t. 1.1

for profinite top:

$a \in \sigma_K / \pi^n \sigma_K$  is a basis b/c discrete top.

$\mathcal{O}_K^{-1} a = a + \pi^n \sigma_K$ . So  $\mathcal{O}_n$  cts  $\Leftrightarrow a + \pi^n \sigma_K$  open.

lem  $L$  a nonarch local field.  $L/K$  finite, then  $L$  is also local.

Proof need to show  $L$  complete & locally compact. Complete shown. to show locally compact,

need to show  $L$  is discretely valued & finite.

shown.

It remains to show  $\mathcal{O}_L = \mathcal{O}_L / \mathfrak{m}$  is finite.

let  $a_1, \dots, a_n$  be a basis of  $L$  over  $K$ . note since  $\|\cdot\|_{\text{sup}}$  n.r.d. this basis is equivalent to the obs on  $L$ . here, by equivalence of norms,  $\exists r > 0$  s.t

$\mathcal{O}_L = \{x \in L \mid \|x\|_{\text{sup}} \leq r\}$ . take  $a \in K$ ,  $|a| > r$ , then

$$\{ \|x\|_{\text{sup}} \leq r \} \subseteq \bigoplus a_i \sigma_K.$$

each component  $\leq r \Rightarrow$

$$\subseteq \bigoplus a_i \sigma_K$$

$$\mathcal{O}_L \subseteq \bigoplus_{i=1}^n a_i \sigma_K$$

$\downarrow$

$$|L| \leq$$

$\uparrow$   
basis

$$|a_i \sigma_K| = |a_i| |\sigma_K| > r \cdot 1 = r$$

write  $\mathcal{O}_L$  is a f.g.  $\sigma_K$  module.  $\sigma_K$  Noetherian  $\Rightarrow \mathcal{O}_L$  finitely generated  $\sigma_K$ -module.

so  $\mathcal{O}_L$  is finite.

## Proof scheme.

- remains to show  $d_L$  is finite.
- let  $a_1, \dots, a_n$  be basis
- note by = of norm,  $\mathcal{O}_L = \{x \in L \mid \|x\|_{\text{sup}} < r\} \subseteq \bigoplus a_i \cdot a \cdot \mathcal{O}_K$

$\downarrow$   
 each component of  $x$   
 is at most  $r$   
 as sup.

$a \in K$  be  $|a| > r$   
 $\uparrow$   
 sup norm.

So  $\mathcal{O}_L$  f.g.  $\mathcal{O}_K$  mod,  $d_L$  f.g.  $K$  mod  $\rightarrow d_L$  finite

def a nonarch valued field  $(K, |\cdot|)$  has  $\text{char} = \text{char} K = \text{char } k$

mixed o.w.

Thm  $K$  a nonarch real field of  $\text{char } p > 0$ . then  $K \cong \mathbb{F}_p((t))$

$K$  complete  $\checkmark$  DVR  $\checkmark$  of  $\text{char } p$

$K$  char  $p$   $d_L$  is perfect because  $d_L$  is finite. Since  $d_L$  char  $p$ ,  $K \cong \mathbb{F}_p((t))$   $m \geq 1$ .

So by the thm of Teichmüller lift,  $K \cong \mathbb{F}_p((t))$

lem absolute values on  $K$  is nonarch  $\Leftrightarrow |n|$  is odd  $\forall n \in \mathbb{Z}$

pf  $\Rightarrow |n| = |1+1+\dots+1| \leq \max\{|1|, \dots, |1|\}$  bounded.

$\Leftarrow$  say  $|n| \leq B \quad \forall n \in \mathbb{Z}$ .

let  $x, y \in K$  be arbitrary. wlog,  $|x| \leq |y|$

$$\begin{aligned}
 \text{then } |x+y|^m &= |(x+y)^m| \\
 &= \left| \sum_{i=0}^m \binom{m}{i} x^i y^{m-i} \right| \\
 &\leq \sum_{i=0}^m \left| \binom{m}{i} x^i y^{m-i} \right| \\
 &\leq \sum_{i=0}^m \binom{m}{i} |y|^m \leq (m+1) B |y|^m
 \end{aligned}$$

But taking root,  $|x+y| \leq (m+1)^{1/m} B |y| \rightarrow |y|$  as  $m \rightarrow \infty$ .

$\Rightarrow |x+y| \leq |y|$

## Proof scheme

- one side is clear.
- another side:  $\hookrightarrow$  let  $B \geq |n|, \forall n \in \mathbb{Z}$

$\hookrightarrow$  compute  $|(x+y)^m|$  and take roots.

Scratch:

$$(\mathbb{Q}_p^\times) / (\mathbb{Q}_p^\times)^2 \cong (\mathbb{Z}/2\mathbb{Z})^2$$

$$\mathbb{Q}_p^\times \cong \mathbb{Z}_p^\times \times \mathbb{Z}$$

$$u^n \leftrightarrow (u, n)$$

$$\mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 \cong \mathbb{F}_p^\times \quad p \neq 2.$$

$$\mathbb{Z}_p^\times \rightarrow \mathbb{F}_p^\times / (\mathbb{F}_p^\times)^2 \text{ has Kernel } (\mathbb{Z}_p^\times)^2$$

$$\text{so } \mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 \cong \mathbb{F}_p^\times / (\mathbb{F}_p^\times)^2 \cong \mathbb{Z}/2\mathbb{Z}$$

$$(\mathbb{Q}_p^\times) / (\mathbb{Q}_p^\times)^2 \cong (\mathbb{Z}_p^\times \times \mathbb{Z}) / ((\mathbb{Z}_p^\times)^2 \times 2\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}.$$

### Week 3 Lec 3

#### Thm Ostrawski's Thm

Any nontrivial absolute value on  $\mathbb{Q}$  is equivalent to either  $|\cdot|_{\infty}$  or  $p$ -adic absolute value for some prime  $p$ .

Proof:

Case I:  $|\cdot|$  is archimedean.

$\hookrightarrow |\cdot|$  unbounded, find  $b \in \mathbb{Z}$  s.t.  $|b| > 1$ . let  $a \in \mathbb{Z}$ , let  $a$  be s.t.  $a > 1$

$\hookrightarrow$  write  $b^n$  in base  $a$ .

$$b^n = c_m a^m + c_{m-1} a^{m-1} + \dots + c_1 a^1 + c_0 \quad \text{each } c_i \text{ has } 0 \leq c_i < a, c_m \neq 0.$$

$\hookrightarrow$  take bounds

write  $B = \max_i |c_i|$  then  $|b^n| \leq (m+1) \cdot B \cdot \max(1, |a|^m)$

$\hookrightarrow$  take  $n^{\text{th}}$  roots and logs.

$$a^m \leq b^n$$

$$m \leq n \log_a b$$

so  $|b^n| \leq (n \log_a b + 1) \cdot B \cdot \max(1, |a|^{n \log_a b})$

$$|b| \leq \underbrace{(n \log_a b + 1)^{1/n}}_{n \rightarrow \infty, \rightarrow 1} \cdot \underbrace{B^{1/n}}_{\rightarrow 1} \cdot \max(1, |a|^{\log_a b})$$

So  $|b| \leq \max(1, |a|^{\log_a b})$  but  $|b| > 1$  so

$$|b| \leq |a|^{\log_a b}, \text{ switching roles, } |a| \leq |b|^{\log_b a}$$

$$\frac{\log |b|}{\log |a|} = \log_{|a|} |b| \leq \log_a b = \frac{\log b}{\log a}, \quad \frac{\log |a|}{\log |b|} = \log_{|b|} |a| \leq \log_b a = \frac{\log a}{\log b}$$

get  $\frac{\log |a|}{\log a} = \frac{\log |b|}{\log b} = 1 \quad |a| = a^n \quad \forall n \in \mathbb{Z}, \rightarrow |x| = x^n \quad \forall x \in \mathbb{Q}$ .

so  $|\cdot|$  equiv to  $|\cdot|_{\infty}$ .

Case II:  $|\cdot|$  is nonarchimedean.

We have  $\forall n \in \mathbb{Z}, |n| \leq 1$ . But  $\mathbb{Q}$  is not discrete,  $\exists n \in \mathbb{Z}, |n| < 1$ .

write  $n = p_1^{e_1} \dots p_r^{e_r}$  then, exists some  $p$ , s.t.  $|p| < 1, p \nmid p_1, \dots, p_r$ .

$p$  is the only prime  $\sim |\cdot| < 1$ . if there are two of them,  $p, q$ , s.t.  $p \nmid q$ ,

$|p| < 1, |q| < 1$  then  $1 = |p+q| \leq \max(|p|, |q|) < 1$ .  $\times$ .

So  $|p| = \alpha < 1$ ,  $|q| = 1 \neq$  other prime  $q$ . So  $|\cdot|$  equiv  $|\cdot|_p$ .

### Proof scheme

Case I: archimedean:  $\hookrightarrow$  pick integers  $a, b > 1$ ,  $|b| > 1$

$\hookrightarrow$  write  $b^n$  in base  $a$ . bound coefficients with  $C$ .

$\hookrightarrow a^m \leq b^n$ ,  $m \leq n \log_a b$ .

$\hookrightarrow$  rewrite bound, take  $1/n$ th root

$\hookrightarrow$  take  $n \rightarrow \infty$   $|b| \leq |a|^{1/n \log_a b}$  swap roles, take  $\log$ ,

so same ratio, extend to  $\mathbb{Q}$ , so  $\cong$  metric.

Case II: non-archimedean

$\hookrightarrow$  all  $n \in \mathbb{Z}$ ,  $|n| \leq 1$  pick  $n$ ,  $|n| < 1$

$\hookrightarrow$  write  $n = p_1^{a_1} \dots p_k^{a_k}$

$\hookrightarrow$  one  $p_i$  has  $|\cdot| < 1$ . if two  $p_i$  has  $|\cdot| < 1$  then contradiction

$\hookrightarrow$  so  $|p_i| < 1$ ,  $|p_j| = 1, \forall j \neq i$ .

$\hookrightarrow \cup$  to  $p$ -adic.

Thm let  $(K, |\cdot|)$  be a non-arch, local field, of mixed char, then  $K$  is a finite extension of  $\mathbb{Q}_p$  for some  $p$  prime.

$K$  mixed character  $\Rightarrow$  char  $K = 0$ ,  $\mathbb{Q} \subseteq K$ .

$K$  non-arch  $\Rightarrow |\cdot| \sim |\cdot|_p$  for some  $p$  prime

$K$  complete  $\Rightarrow \mathbb{Q}_p \subseteq K$ .

so need to show  $\mathcal{O}_K$  is finitely generated as a  $\mathbb{Z}_p$ -module.

let  $\pi \in \mathcal{O}_K$  be unif, let  $v$  be normalized valuation on  $K$ .  $v(\pi) = 1$ . let  $v(p) = e$  then

$\mathcal{O}_K/p\mathcal{O}_K = \mathcal{O}_K/\pi^e \mathcal{O}_K$  is finite since each  $\pi^i \mathcal{O}_K / \pi^{i+1} \mathcal{O}_K$  is.

$\mathcal{O}_K/p\mathcal{O}_K$  is a f.d.  $\mathbb{F}_p$  vector space. let  $x_1, \dots, x_n \in \mathcal{O}_K$  be set of coset repn for  $\mathbb{F}_p$  basis  $\mathcal{O}_K/p\mathcal{O}_K$ . Then,  $\sum a_i x_i$ ,  $a_i \in \{0, \dots, p-1\}$  is a set of coset repn for  $\mathcal{O}_K/p\mathcal{O}_K$ .

any  $y \in \mathcal{O}_K$  has power series

$$y = \sum_{i=0}^{\infty} \sum_{j=1}^n a_{ij} x_j p^i = \sum b_j x_j \quad \text{so } x_j \text{ form } \mathbb{Z}_p \text{ basis of } \mathcal{O}_K.$$

↑ Continue from above: show  $\mathcal{O}_K$  is finite as a  $\mathbb{Z}_p$  module.

$\pi \in \mathcal{O}_K$  uniformizer.  $v$  a normalized valuation on  $K$ .  $v(p) = e$ .

$\mathcal{O}_K / p\mathcal{O}_K = \mathcal{O}_K / \pi^{e/e} \mathcal{O}_K$  is finite, since  $\pi^i \mathcal{O}_K / \pi^{i+1} \mathcal{O}_K \cong \mathcal{O}_K / \pi \mathcal{O}_K$  finite.

injects into

$\mathbb{F}_p = \mathbb{Z}_p / p\mathbb{Z}_p \hookrightarrow \mathcal{O}_K / p\mathcal{O}_K$  so  $\mathcal{O}_K / p\mathcal{O}_K$  is a  $\mathbb{F}_p$  vector space.

It's finite as a group, so it's a finite dim  $\mathbb{F}_p$  vec space.

let  $x_1, \dots, x_n \in \mathcal{O}_K$  be coset repr of  $\mathbb{F}_p$  basis for  $\mathcal{O}_K / p\mathcal{O}_K$ .

then  $\{ \sum_{j=1}^n a_j x_j \mid a_i \in \{0, 1, \dots, p-1\} \}$  is a set of coset reprs for  $\mathcal{O}_K / p\mathcal{O}_K$ .

let  $y \in \mathcal{O}_K$ . By 3.5 again,

$$y = \sum_{i=0}^{\infty} \left( \sum_{j=1}^n a_{ij} x_j \right) p^i \quad a_i \in \{0, \dots, p-1\}.$$

$$= \sum_{j=1}^n \underbrace{\left( \sum_{i=0}^{\infty} a_{ij} p^i \right)}_{\in \mathbb{Z}_p} x_j$$

$\Rightarrow \mathcal{O}_K$  finite over  $\mathbb{Z}_p$ .

Example Sheet 2:  $K$  complex  $\Rightarrow K \cong \mathbb{Q}$  or  $\mathbb{R}$ .

## Proof scheme

1. WTS  $\mathcal{O}_K$  is f.g. as  $\mathbb{Z}_p$  module.
2.  $\mathbb{F}_p \hookrightarrow \mathcal{O}_K/p\mathcal{O}_K$  &  $\mathcal{O}_K/p\mathcal{O}_K$  is finite  $\Rightarrow$  f.d.  $\mathbb{F}_p$  vector space.
3. use power series rearrangement.

Summary Any local fields are isomorphic to

- 1)  $\mathbb{R}, \mathbb{C}$  (arch)
- 2)  $\mathbb{F}_p((t))$  (non-arch, = char)
- 3) finite ext of  $\mathbb{F}_p$  (non arch, mixed char).

## § Global fields

def Global field.

A global field is either

- i) an algebraic number field. (finite extension of  $\mathbb{Q}$ )
- ii) a global function field, i.e. a finite extension of  $\mathbb{F}_p(t)$  (rational functions in variable  $t$  over  $\mathbb{F}_p$ ).

lem Some absolute value under the image of the Galois group.

$(K, |\cdot|)$  be complete DVR.  $L/K$  finite Galois extension with  $|\cdot|_L$  extending  $|\cdot|$ .

then, for  $x \in L$ ,  $\sigma \in \text{Gal}(L/K)$   $|\sigma(x)|_L = |x|_L$ .

Proof: note that  $|x|^p = |\sigma(x)|_L$  is another absolute value on  $L$  extending  $K$ . using uniqueness of  $|\cdot|_L$ , we have  $|x| = |\sigma(x)|_L$ .

## lem. Krasner's lemma

$(K, |\cdot|)$  a complete DVR. let  $f(x) \in \mathbb{F}_K[x]$ , be a separable, irreducible polynomial, with  $\alpha_1, \dots, \alpha_n \in \bar{K}$  (separable closure of  $K$ )

Suppose  $\beta \in \bar{K}$  with  $|\beta - \alpha_i| < |\beta - \alpha_j|$  for  $i=2, \dots, n$  then  $K(\alpha_1) \subseteq K(\beta)$

**Proof** let  $L = K(\beta)$ ,  $L^2 = L(\alpha_1, \dots, \alpha_n)$  then,  $L^2/L$  is galois. let  $\sigma \in \text{Gal}(L^2/L)$ .

$$\text{have } |\beta - \sigma(\alpha_1)| = |\sigma(\beta) - \sigma(\alpha_1)| = |\sigma(\beta - \alpha_1)| = |\beta - \alpha_1|$$

Since  $\beta \in L$

must have  $\forall \sigma \in \text{Gal}$ ,  $\sigma$  fix  $\alpha_1 \Rightarrow \alpha_1 \in L = K(\beta)$ .

**Proof Scheme:** look at  $K(\beta)(\alpha_1, \dots, \alpha_n)$

**Prop.** Nearby polynomials define some extensions

$(K, v)$  complete discrete valuation fields. let  $f(x) = \sum_{i=0}^m a_i x^i \in \mathcal{O}_K[x]$  be sep, irred, monic.

fix  $\alpha \in \bar{K}$  a root of  $K$ .

then  $\exists \epsilon > 0$  s.t.  $\forall b_0, \dots, b_m$ ,  $g(x) = \sum_{i=0}^m b_i x^i \in \mathcal{O}_K[x]$ , monic, with  $|a_i - b_i| < \epsilon$ ,  $\exists$  root  $\beta$  of  $g$

s.t.  $K(\alpha) = K(\beta)$ .

**Proof:** let  $\alpha = \alpha_1, \alpha_2, \dots, \alpha_n \in \bar{K}$  be root of  $f$ . they're distinct b/c separable. so  $f'(\alpha_1) \neq 0$ .

pick  $\epsilon$  sufficiently small s.t. for  $|\beta_i - \alpha_i| < \epsilon$ ,  $|g(\alpha_1)| < |f'(\alpha_1)|^2$  and  $|f'(\alpha_1) - g'(\alpha_1)| < |f'(\alpha_1)|$

defined by  $\beta$  nonzero.  $g$  sufficiently close to  $f(\alpha_1)$  & have same sign.  $f(\alpha) = 0$ , so  $g$  has some freedom if nonzero.

to see positive, expand on  $\epsilon$

claim  $|f'(\alpha_1)| = |g'(\alpha_1)|$ . if not,

$$\begin{cases} |g'(\alpha_1) - f'(\alpha_1)| \leq \max(|f'(\alpha_1)|, |g'(\alpha_1)|) \text{ is true with equality} \\ \text{so } |g'(\alpha_1) - f'(\alpha_1)| = \max(|f'(\alpha_1)|, |g'(\alpha_1)|) \geq |f'(\alpha_1)| \text{ but contradicts} \end{cases}$$

$$\text{so } |g(\alpha_1)| < |f'(\alpha_1)|^2 = |g'(\alpha_1)|^2$$

$$\text{non-arch: } \begin{cases} |x| < |y| \Rightarrow |x \pm y| = |y| \\ |x \pm y| \leq \max(|x|, |y|) = |y| \\ |y| \leq \max(|x \pm y|, |x|) = |x \pm y| \end{cases}$$

now,  $|x \pm y| \leq |y|$  with = if  $|x| < |y|$

if  $|x| = |y|$ ,  $|z| = |y| \Rightarrow |z| = 1 \neq$  nontrivial. so, = hold iff strictly less.

now, have  $|g(\alpha_1)| < |f'(\alpha_1)|^2$ ,  $|f'(\alpha_1) - g'(\alpha_1)| < |f'(\alpha_1)|$ ,  $|g(\alpha_1)| < |g'(\alpha_1)|^2$

apply hensel to  $K(\alpha_1)$ ,  $\exists \beta \in K(\alpha_1)$  s.t.  $g(\beta) = 0$ ,  $|\beta - \alpha_1| < |f'(\alpha_1)|$

$$\text{but } |g(\alpha_1)| = |f'(\alpha_1)| = \prod_{i=2}^n |\alpha_1 - \alpha_i| \leq |\alpha_1 - \alpha_i| \text{ for each } i=2, \dots, n.$$

each  $|\alpha_1 - \alpha_i| \leq 1$   
each  $\alpha_i$  is integral.



$$f(x) = \prod_{i=1}^n (x - a_i)$$

$$\ln f(x) = \sum_{i=1}^n \ln(x - a_i) \quad \text{apply } \frac{d}{dx} \text{ both sides.}$$

$$\frac{f'(x)}{f(x)} = \sum_{i=1}^n \frac{1}{x - a_i}$$

$$f'(x) = f(x) \sum_{i=1}^n \frac{1}{x - a_i}$$

$$= \sum_{i=1}^n \frac{f(x)}{x - a_i}$$

$$= \sum_{i=1}^n \prod_{j \neq i} (x - a_j)$$

$$f'(a_i) = \prod_{j \neq i} (x - a_j)$$

$$\boxed{|x| < |y| \Rightarrow |y+x| = |y|}$$

Since  $|\underbrace{\beta - a_i}_x| < |\underbrace{a_i - a_i}_y| = |\beta - a_i|$

Krasner's lemma gives  $a_i \in K(\beta)$ .

So  $K(a_i) \subseteq K(\beta)$  ✓

Why  $K(\beta) \subseteq K(a_i)$ ? (by Hensel,  $\beta \in K(a_i)$ .)

Proof scheme.

□

↳ pick  $\varepsilon$  s.t.  $|g(a_i)| < |f'(a_i)|$   
 $|f'(a_i) - g'(a_i)| < |f'(a_i)|$

↳  $|g(a_i)| \leq |g'(a_i)|^2$

↳ apply Hensel to  $K(a_i)$ , get  $\beta \in K(a_i)$

↳  $|\beta - a_i| < |g'(a_i)| = |f'(a_i)| = \pi \dots$  use Krasner to show  $K(a_i) \subseteq K(\beta)$ .

## Week 4 Lec 1

thm. local fields are completion of global fields.

let  $K$  be a local field. Then it's the completion of a global field.

(case 1: 1-1 archimedean)

$\mathbb{R}$  is the completion of  $\mathbb{Q}$  w.r.t. 1-norm

$\mathbb{C}$  is the completion of  $\mathbb{Q}(i)$ .

(case 2: 1-1, non-arch and equal char.)

$K \cong \mathbb{F}_q((t))$  where  $K$  is the completion of  $\mathbb{F}_q(t)$  w.r.t. p-adic abs value.

(case 3: 1-1 non-arch, mixed char.)

not charp,

$K$  is finite extension of  $\mathbb{Q}_p$ . It's separable,  $\wedge$  So  $K = \mathbb{Q}_p(\alpha)$  for some  $\alpha \in K$ . Max of

integral over  $\mathbb{Q}_p$ . let  $f(x) \in \mathbb{Z}_p[x]$  be its min. poly.  $\mathbb{Z}$  is dense in  $\mathbb{Z}_p$ , so

$\exists \varepsilon$  (nearby poly define same extension) pick  $g(x) \in \mathbb{Z}[x]$  as the rep.

So  $K = \mathbb{Q}_p(\beta)$ .  $\mathbb{Q}(\beta)$  is dense in  $\mathbb{Q}_p(\beta)$ ,  $K$  is the completion of it.

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↳  $k = \mathbb{Q}_p(\alpha)$ , let  $f$  be  $\alpha$ 's min poly.

↳ Use nearby poly define some ext.

↳  $g \in \mathbb{Z}[X]$ ,  $f \in \mathbb{Z}_p[X]$ ,  $\mathbb{Q}_p(\alpha) = \mathbb{Q}_p(\beta)$  is completion of  $\mathbb{Q}(\beta)$ , a  $\neq$  field.

## § Dedekind domains

### defn. Dedekind domains

They're rings s.f.

- 1)  $R$  is Noetherian integral domain
- 2)  $R$  is integrally closed in  $\text{Frac}(R)$
- 3) every nonzero prime ideal is maximal

ex:  $\mathbb{Z}$  field of integers in number field is int. closed.

↳ Any PID / DVR is Dedekind domains

### thm (main thm of lecture)

A ring is a DVR  $\Leftrightarrow$  it's DDK & has exactly one nonzero prime ideal.

### lem prime ideals with product subset of ideal

let  $R$  be a Noetherian ring. let  $I$  be an ideal. (nonzero). Then  $\exists$  nonzero  $p_1, p_2, \dots, p_n \in R$  prime ideals s.t.  $p_1 p_2 \dots p_n \subseteq I$ .

pf: Suppose not. let  $I$  be a maximal such ideal.

$I$  is not prime. so  $\exists xy \in R$ ,  $x \notin I, y \notin I$ , but  $xy \in I$ .

then  $I+(x)$ ,  $I+(y)$  are ideals. but  $I \subsetneq I+(x)$ ,  $I \subsetneq I+(y)$  so by maximality,

$$I+(x) \supseteq p_1 p_2 \dots p_n, \quad I+(y) \supseteq q_1 q_2 \dots q_m.$$

$$\text{but } p_1 p_2 \dots p_n q_1 q_2 \dots q_m \in (I+(x))(I+(y)) \subseteq I. \quad \text{✗}$$

pf scheme maximality, cook up two new ideals

lem. If  $X \in I$ , then  $x \in R$ .

let  $R$  be an ID. let  $R$  be integrally closed in  $K = \text{Frac}(R)$ .

let  $I \subseteq R$  be an nonzero f.g. ideal. let  $x \in K$ . If  $X \in I$  then  $x \in R$ .

pf: let  $I = (c_1, \dots, c_n)$  each  $xc_i \in I$  so write  $xc_i = \sum_{j=1}^n a_{ij} c_j$ ,  $a_{ij} \in R$ .

let  $A$  be the matrix  $(a_{ij})_{i,j \in \{1, \dots, n\}}$  set  $B = X \text{Id}_n - A \in M_n(K)$ .

let  $\text{adj}(B)$  be adjugate matrix of  $B$ .

$$B \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$

$X \text{adj}(B)$  both sides

$$\text{det}(B) \text{Id}_n \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$

so  $\text{det}(B) = 0$  but  $\text{det}(B)$  is a mono polynomial in  $x$ .  $\Rightarrow x$  is integral with coefficients in  $R$ . so  $x$  is integral over  $R \Rightarrow R$  is int closed,  $x \in R$ .

Proof scheme set  $B = X \text{Id}_n - A \in M_n(K)$

pf of thm 9.2

$\text{DVR} \Leftrightarrow \text{DID} \text{ dom w/ 1 prime ideal.}$

$\Rightarrow$  clear.

$\Leftarrow$  need to show  $R$  is a PID.

let  $m \subseteq R$  be its unique prime ideal.  $(\dagger)$  necessarily maximal.

WTS: all ideals are principal

Step 1:  $m$  is principal (to help step 2).

let  $0 \neq x \in m$ . then  $\exists n$  minimal, s.t.  $(x) \cong m^n$  (by prev lemma)

so  $(x) \not\cong m^{n-1}$  so,  $\exists y \in m^{n-1} \setminus (x)$ .

set  $\pi = \frac{x}{y}$ .

WTS:  $(\pi^{-1})m = R$

} have  $ym \subseteq m^n \subseteq (x)$ .  $\pi^{-1}m = \left(\frac{y}{x}\right)m \subseteq R$  maximal ideal.  
to show  $\subseteq$ , suppose  $\pi^{-1}m \subsetneq R$ , i.e.  $\pi^{-1}m$  is a proper ideal, then  $\pi^{-1}m \subseteq m$  then by  
prev. lemma,  $\pi^{-1} = \frac{y}{x} \in R$ , by prev. lemma.  $y(x^{-1}) \in R \Rightarrow y(x^{-1}) \cdot x \in (x) \Rightarrow y \in (x) \times$ .

so  $(\pi^{-1})m = R$

$m = (\pi)$

Step d: using step 1 to show  $R$  is a PID.

let  $I \subseteq R$  be any nonzero ideal.

consider the sequence of fractional ideals

$$I \subseteq \pi^{-1}I \subseteq \pi^{-2}I \subseteq \dots \subseteq K \text{ in } K$$

since  $\pi^{-1} \notin R$ , each containment is strict by prev. lemma.

$R$  noetherian, ascending chain condition, so it eventually contains  $R$ .

pick  $n$  maximal s.t.  $\pi^{-n}I \subseteq R$ . → why is this possible?

$$\begin{matrix} \pi^{-n}I = \pi^{-n}I \\ I = \pi I \end{matrix}$$

We claim  $\pi^{-n}I = R$

If  $\pi^{-n}I \neq R$ ,  $\pi^{-n}I \subsetneq m = (\pi)R$

$\pi^{-(n+1)}I \subseteq R$  contradicting maximality of  $n$ .

$$\Rightarrow \pi^{-n}I = R \text{ so } I = (\pi)^n$$

If all  $\pi^{-n}I \subseteq R$  then you get an ascending ideal of  $R$ . This is impossible so at one point must contain smth not in  $R$ . then it's in field, so get 1. then get  $R$ .

### Proof scheme

Step 1:  $m$  is principal.

set  $y \in m^{n-1} \setminus (k)$

claim  $\langle y \rangle = m$ ,  $(\pi^{-1})m = R$

Step 2: all ideals are principal.

$$I \subseteq \pi^{-1}I \subseteq \pi^{-2}I \subseteq \dots \subseteq K$$

eventually contains  $R$ . pick max  $n$ ,  $\pi^{-n}I \subseteq R$  claim =.

$$\{s\}, x, y \in S \Rightarrow xy \in S.$$

### Def: localization

let  $R$  be an ID,  $S \subseteq R$ , mult.-closed set.

then, localization is

$$S^{-1}R = \left\{ \frac{x}{y} \mid x \in R, y \in S \right\} \subseteq \text{Frac}(R)$$

i.e. if  $P$  is a prime ideal of  $S$ ,  $S \setminus P$  is a mult. set.

$R_P$  is localization of  $S = R \setminus P$ .

fact:  $\cdot R$  noetherian  $\Rightarrow S^{-1}R$  noetherian.

$\exists$  bijection  $\{ \text{prime ideals in } S^{-1}R \} \leftrightarrow \{ \text{prime ideals } \mathfrak{p} \in R \mid S \not\subseteq \mathfrak{p} \}$ .

Cor DDK domains localised is DVR

let  $R$  be DDK. let  $\mathfrak{p} \in R$  be prime ideal. then  $R_{(\mathfrak{p})}$  is a DVR.

Pf.

By properties of localisation,  $R_{(\mathfrak{p})}$  is a noetherian ID with unique non-zero ideal given by  $\mathfrak{p}R_{(\mathfrak{p})}$ . By thm (DVR  $\Leftrightarrow$  DDK w/ one non-zero prime ideal) & defn of DDK, wts  $R_{(\mathfrak{p})}$  is DDK. So suffice to show it's integrally closed in  $K = \text{frac}(R)$ .

let  $x \in \text{frac}(R)$  be integral over  $R_{(\mathfrak{p})}$ .

let  $f$  be a monic poly satisfied by  $x$ , multiply denoms, get

$$Sx^n + a_{n-1}x^{n-1} + \dots + a_0 = 0 \quad a_i \in R, \quad S \in S = R \setminus \mathfrak{p}$$

(i.e. get  $x^n + b_{n-1}x^{n-1} + \dots + b_0 = 0$  each  $b_i$  has some  $s$  in denom.

so multiply it out)

multiply by  $s^{n-1} \Rightarrow xs$  integral over  $R$ .  $xs \in R \quad x \in R_{(\mathfrak{p})}$

□

Proof sketch:

↳ main goal show  $R_{(\mathfrak{p})}$  is DDK

↳ show integral

↳ multiply out the denoms in the coefficients.

## Week 4 lecture 2

def  $v_p$

let  $R$  be a DOK.  $P \in R$  a prime ideal  $\neq 0$ .  $v_p$  is the <sup>normalized</sup> valuation on  $\text{Frac}(R) = \text{Frac}(R_{(P)})$  corresponding to the DVR  $R_{(P)}$ .

e.g.  $R = \mathbb{Z}$ ,  $P = (p)$ .  $v_p$  is the p-adic valuation.

thm. Dedekind domain's ideals factors.

let  $R$  be a DOK. Then every nonzero ideal  $I \subseteq R$  can be written uniquely as a product of prime ideals. i.e.  $I = p_1^{e_1} p_2^{e_2} \dots p_r^{e_r}$ ,  $p_i$  distinct.

Proof:

needs two properties of localisation.

(i)  $I, J$  ideals  $\Leftrightarrow I R_{(P)} = J R_{(P)}$   $\forall P$  prime ideal.

(ii)  $R$  is DOK.  $P_1, P_2$  nonzero prime ideals.

$P_1 R_{(P_2)} = \begin{cases} R_{(P_2)} & \text{if } P_1 \neq P_2 \\ P_2 R_{(P_2)} & \text{if } P_1 = P_2. \end{cases} \rightarrow R_{(P_2)}$ 's ideals avoid  $R \setminus P_2$  so its only ideal is  $R_2$ .  
hence  $P_1 R_{(P_2)}$  is whole ring.  
 $R_{(P_2)} = \frac{R}{R \setminus P_2}$

Chris Williams pg 65.

Back to the proof.

let  $I \subseteq R$  be nonzero prime ideal. By prev lemma,  $\exists$  distinct prime ideals  $P_1, P_2, \dots, P_r$   
 $p_1^{B_1} \dots p_r^{B_r} \subseteq I$ .  $B_i > 0$ .

(existence then uniqueness.)

existence proof.

let  $p$  be a prime ideal,  $p \in \{p_1, \dots, p_r\}$ .

then fact 1  $\Rightarrow p_i R_{(p)} = R_{(p)}$

$\Rightarrow p_1^{B_1} \dots p_r^{B_r} R_{(p)} = R_{(p)}$

$\Rightarrow I R_{(p)} = R_{(p)}$

$\left. \begin{array}{l} \subseteq \text{ is true} \\ \supseteq \text{ w/L } R_{(p)} \subseteq p_1^{B_1} \dots p_r^{B_r} R_{(p)} \subseteq I R_{(p)}. \end{array} \right\}$

prev corollary:  
 $R_{(p)}$  is DVR  $\Rightarrow$

$I R_{(p_i)} = (p_i R_{(p_i)})^{\alpha_i} = p_i^{\alpha_i} R_{(p_i)}$

for some  $0 \leq \alpha_i \leq B_i$

so each ideal is a power of max ideal.

then all non-zero ideals of a DVR is power of its maximal ideal.

So by prop property,  $I = p_1^{\alpha_1} \dots p_n^{\alpha_n}$  (B/C localised at  $p$  or  $p_i$  are same).

now, show uniqueness. Say

$$I = p_1^{\alpha_1} \dots p_n^{\alpha_n} = p_1^{\beta_1} \dots p_n^{\beta_n}$$

then  $p_i^{\alpha_i} R(p_i) = p_i^{\beta_i} R(p_i)$  so  $\alpha_i = \beta_i \forall i$ .

So we're done.

Proof scheme:

↳ Two important facts about localisation of ideals

↳ Show existence,  $\left\{ \begin{array}{l} IR(p_i) = p_i R(p_i) \quad \forall p_i \in \{p_1, \dots, p_n\} \\ IR(p_i) = p_i^{\alpha_i} R(p_i) \quad \forall i \end{array} \right.$

$$\Rightarrow I = p_i^{\alpha_i}$$

↳ Show uniqueness. Same base, diff power.

## § Dedekind domains & extensions.

fact: trace written as sum of where embeddings send it.

let  $L/K$  be a finite extension.

For  $x \in L$ , write  $\text{Tr}_{L/K}(x) \in K$ , for trace of  $K$  linear map  $L \rightarrow L$   $y \mapsto xy$ .

If  $L/K$  is separable of degree  $n$ ,  $\underbrace{\sigma_1, \dots, \sigma_n}_{\text{distinct}}: L \rightarrow \bar{K}$  denote the set of embeddings of  $L$  into alg closure of  $K$ ,  $\text{Tr}_{L/K}(x) = \sum_{i=1}^n \sigma_i(x)$

lem trace form is non-degenerate.

let  $L/K$  be a finite separable extension of fields.

then the symmetric bilinear pairing

$$(\_, \_) : L \times L \rightarrow K$$

$$x, y \mapsto \text{Tr}_{L/K}(xy)$$

} trace form

is degenerate.

Proof.  $L/K$  separable  $\Rightarrow L = K(\alpha)$  and  $L/K$  as a vector space has basis  $1, \alpha, \dots, \alpha^{n-1}$ .

Then  $\text{Tr}_{L/K}(\alpha^{i+j}) = A_{ij} = [BB^T]$

where  $B = \begin{bmatrix} 1 & & & & \\ \sigma_1(\alpha) & \sigma_2(\alpha) & & & \\ \vdots & \vdots & \ddots & & \\ \sigma_1(\alpha^n) & \sigma_2(\alpha^n) & & & \sigma_n(\alpha^n) \end{bmatrix}$   $B^T = \begin{bmatrix} \sigma_1(\alpha) & & & & \sigma_1(\alpha^n) \\ \vdots & \ddots & & & \vdots \\ \sigma_n(\alpha) & & & & \sigma_n(\alpha^n) \end{bmatrix}$

$\det(A) = \det(BB^T) = (\det(B))^2 = \prod_{i \neq j} (\sigma_i(\alpha) - \sigma_j(\alpha))^2 \neq 0$ . as  $\sigma_i \neq \sigma_j$  if  $i \neq j$  by separability.

in fact, extension separable  $\Leftrightarrow$  trace form is nondegenerate.

Proof Scheme

- $\hookrightarrow$  write  $L = K(\alpha)$ ,  $A_{ij} = \text{tr}(\alpha^{i+j}) = [BB^T]$  vandermonde matrix.
- $\hookrightarrow$  separable  $\Rightarrow \det [BB^T] \neq 0$  so  $A_{ij}$  is nondegenerate.

lem integral closure of dedekind domain is DDK.

let  $\mathcal{O}_K$  be a DDK.  $L$  a finite separable extension of  $K := \text{Frac}(\mathcal{O}_K)$ . Then the integral closure  $\mathcal{O}_L$  of  $\mathcal{O}_K$  in  $L$  is a Dedekind domain.

Pf: to show  $\mathcal{O}_L$  is DDK domain

- $\mathcal{O}_L$  is Noetherian ID  $\nabla$   $\mathcal{O}_L$  subring of  $L$ , which is ID.
- $\mathcal{O}_L$  integrally closed in  $L$ .
- every  $\neq 0$  prime ideal  $\mathfrak{p}$  in  $\mathcal{O}_L$  is maximal.

1) NTS  $\mathcal{O}_L$  is Noetherian:

let  $e_1, \dots, e_n$  be a  $K$ -basis of  $L$ . assume  $e_i \in \mathcal{O}_L \forall i$  upon scaling.

non-degen  $\Rightarrow$  let  $f_1, \dots, f_n \in \mathcal{O}_L$  be the dual basis for  $e_i$  in  $(-, -)$  (i.e.  $(e_i, f_j) = \delta_{ij}$ )

let  $x \in \mathcal{O}_L$ , write  $x = \sum_{i=1}^n \eta_i f_i$ ,  $\eta_i \in K$

then,  $\boxed{\eta_i = \text{Tr}_{L/K}(e_i, x)}$   $x = \sum_{i=1}^n \text{Tr}_{L/K}(e_i, x) f_i$   
 $\text{tr}(x) = \sum_{i=1}^n \text{Tr}(\text{Tr}_{L/K}(e_i, x) f_i)$

$\eta_i = \text{Tr}_{L/K}(e_i, x) = \text{Tr}(e_i, x) = \sum_{j=1}^n \underbrace{\sigma_j(e_i x)}_{\in K} \in K$

each  $e_i \in \mathcal{O}_L$ ,  $x \in \mathcal{O}_L$ . so  $e_i x \in \mathcal{O}_L$ ,  $\sigma(e_i x) \in \mathcal{O}_L$   
 so  $\eta_i \in K \cap \mathcal{O}_L = \mathcal{O}_K$ .



$\text{Tr}_{L/K}(z) \in K$  is integral over  $\mathcal{O}_K$ .

$\text{Tr}_{L/K}(z) \in \mathcal{O}_K$

$\mathcal{O}_L \subseteq \mathcal{O}_K f_1 + \mathcal{O}_K f_2 + \dots + \mathcal{O}_K f_n$  is a sub- $\mathcal{O}_K$  module generated by f.s.

$\mathcal{O}_K$  noetherian  $\mathcal{O}_L$  is a finite  $\mathcal{O}_K$  module hence noetherian.

ii) ex sheet 2

iii) let  $\mathfrak{p}$  be a  $\neq 0$  prime ideal of  $\mathcal{O}_L$ . (WTS:  $\mathfrak{p}$  is maximal)

let  $\mathfrak{p} = \mathfrak{P} \cap \mathcal{O}_K$  is a prime ideal of  $\mathcal{O}_K$ .

Since  $\mathfrak{p} \neq 0$ ,  $\exists 0 \neq x \in \mathfrak{p}$ ,  $0 \neq N_{L/K}(x) \in \mathfrak{P} \cap \mathcal{O}_K = \mathfrak{p}$  ( $N_{L/K}(x) \in \mathfrak{p}$  b/p is an ideal and  $N_{L/K}(x) \in \mathcal{O}_K$  by properties of norm)

so  $\mathfrak{p} \neq 0$ .  $\mathcal{O}_K$  is dedekind,  $\mathfrak{p}$  is maximal. So  $k = \mathcal{O}_K/\mathfrak{p}$  is a field.

now  $\mathcal{O}_K \hookrightarrow \mathcal{O}_L$  induces embedding

$$k = \mathcal{O}_K/\mathfrak{p} \hookrightarrow \mathcal{O}_L/\mathfrak{p}.$$

$\uparrow$   
field so injective

done later again

But above,  $\mathcal{O}_L$  is a f.d.  $k$ -alg.

Since  $\mathfrak{p}$  is prime,  $\mathcal{O}_L/\mathfrak{p}$  is an ID. If  $0 \neq y \in \mathcal{O}_L/\mathfrak{p}$ , multiplication is injective.

as  $k$ -linear map, nullity = 0. rank-nullity, mult by  $y$  is invertible.

$\mathcal{O}_L/\mathfrak{p}$  is field  $\Rightarrow \mathfrak{p}$  is maximal.

### Proof Scheme:

1) show Noetherian.

$\hookrightarrow$  get  $c_i$ , get  $f_i$

$\hookrightarrow$  for  $x \in \mathcal{O}_L$ , write  $x = \sum n_i f_i$

$\hookrightarrow$  WTS  $n_i \in \mathcal{O}_K$ . It indeed is by trace.

2) ex sheet

3) prime ideals are maximal

$\hookrightarrow$  let  $\mathfrak{p} \subseteq \mathcal{O}_L$  be prime let  $\mathfrak{p} = \mathfrak{P} \cap \mathcal{O}_K$ .

$\hookrightarrow$   $\mathfrak{p}$  nonempty  $\mathcal{O}_K/\mathfrak{p}$  is field & injects onto  $\mathcal{O}_L/\mathfrak{p}$ .

$\hookrightarrow$  in  $\mathcal{O}_L/\mathfrak{p}$ , mult by  $y$  is invertible. so  $\mathfrak{p}$  is maximal.

done again later

iii) prime ideals are maximal try again

let  $\mathfrak{p} \neq \mathcal{O}$  be a prime ideal in  $\mathcal{O}_L$ .

let  $\mathfrak{p} = \mathfrak{p} \cap \mathcal{O}_K$ . it's a prime ideal in  $\mathcal{O}_K$ .

nonzero:

let  $0 \neq x \in \mathfrak{p}$ . then, it satisfy  $x^n + a_{n-1}x^{n-1} + \dots + a_0$   $a_i \in \mathcal{O}_K$ .  $a_0 \neq 0$

then,  $a_0 \in \mathfrak{p} \cap \mathcal{O}_K = \mathfrak{p}$  so  $\mathfrak{p} \neq \emptyset$ .

field injection argument:

$\mathcal{O}_K$  is DDK,  $\mathfrak{p}$  maximal  $\mathcal{O}_K/\mathfrak{p}$  field. injective.

from the inclusion  $\mathcal{O}_K/\mathfrak{p} \rightarrow \mathcal{O}_L/\mathfrak{p}$  is  $\hookrightarrow$  b/c domain is a field. So  $\mathcal{O}_L/\mathfrak{p}$  contains a "copy" of  $\mathcal{O}_K/\mathfrak{p}$  so it's a f.d. vs. over  $\mathcal{O}_K/\mathfrak{p}$  (f.g.  $\mathcal{O}_K$  module).

$\mathcal{O}_L/\mathfrak{p}$  is an ID  $\Rightarrow$  a field (rank-mulity argument).

Proof scheme

$\hookrightarrow$  let  $\mathfrak{p} \in \mathcal{O}_L$  be prime let  $\mathfrak{p} = \mathcal{O}_K \cap \mathfrak{p}$

$\hookrightarrow \mathfrak{p} \neq \emptyset$

$\hookrightarrow \mathcal{O}_K/\mathfrak{p}$  field so injects into  $\mathcal{O}_L/\mathfrak{p}$

$\hookrightarrow$  f.g. algebra, ID  $\Rightarrow$  field.

cor ring of integers of a number field is a Dedekind domain

$L$  is finite ext of  $\mathbb{Q}$ .

so  $\mathcal{O}_L$  is the integral closure of  $\mathbb{Z}$  in  $L$ .

using above thm,  $\mathcal{O}_L$  is DDK.

def. let  $K$  be a number field with ring of integers  $\mathcal{O}_K$

let  $\mathfrak{p}$  be a nonzero prime ideal of  $\mathcal{O}_K$ .

then the p-adic absolute value defined on  $K$  is

$$|x|_{\mathfrak{p}} = (N_{\mathfrak{p}})^{-v_{\mathfrak{p}}(x)} \quad \text{where } N_{\mathfrak{p}} = \#(\mathcal{O}_K/\mathfrak{p}).$$

## Week 4 Lec 3

Preliminaries:  $\mathcal{O}_K$  is a Dedekind domain,  $K = \text{Frac}(\mathcal{O}_K)$ .

$L/K$  a finite separable extension.

$\mathcal{O}_L \subseteq L$  integral closure of  $\mathcal{O}_K$  in  $L$  which is a DDK.

lem. let  $0 \neq x \in \mathcal{O}_K$ . then

$$(x) = \prod_{\mathfrak{p} \neq 0} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$$

$\mathfrak{p}$  prime ideal

pf: WTS  $x \mathcal{O}_K = \prod_{\mathfrak{p} \neq 0} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$

Note that  $x(\mathcal{O}_K)_{\mathfrak{p}} = (\mathfrak{p} \mathcal{O}_K)_{\mathfrak{p}}^{v_{\mathfrak{p}}(x)}$  by defn of  $v_{\mathfrak{p}}(x)$

as ideals in  $\mathcal{O}_K$ , hence  
i.e.  $(x) = (\mathfrak{p})^{v_{\mathfrak{p}}(x)}$

using lemma about localisation ( $I=J \Leftrightarrow I R_{\mathfrak{p}} = J R_{\mathfrak{p}} \forall \mathfrak{p}$  prime ideals)

so,  $(x) = \prod_{\substack{\mathfrak{p} \text{ prime} \\ \mathfrak{p} \neq 0}} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$

defn. let  $\mathfrak{p} \subseteq \mathcal{O}_L$ ,  $\mathfrak{p} \subseteq \mathcal{O}_K$ , prime ideals.

write  $\mathfrak{p} \mid \mathfrak{p}$  if  $\mathfrak{p} \mathcal{O}_L = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_n^{e_n}$  and  $\mathfrak{p} = \mathfrak{p}_i$  for some  $i$ .  $e_i > 0$ .

Thm. absolute values of  $L$  extending  $l.l.p.$

let  $\mathcal{O}_K, \mathcal{O}_L, K, L$  as above. let  $\mathfrak{p} \neq 0$  a prime ideal of  $\mathcal{O}_K$ .

write  $\mathfrak{p} \mathcal{O}_L = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r}$  ( $e_i > 0$ )

then the absolute values on  $L$  extending  $l.l.p$  are  $l.l.p_1, \dots, l.l.p_r$   
up to equiv

Proof Two directions

$\hookrightarrow l.l.p_i$  extends  $l.l.p$ .

lemma:  $(x) = \prod_{\mathfrak{p} \neq 0} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$

for any  $0 \neq x \in \mathcal{O}_K$ ,  $i=1, \dots, r$ ,  $v_{\mathfrak{p}_i}(x) = e_i v_{\mathfrak{p}}(x)$

so up to equiv,  $l.l.p_i$  extends  $l.l.p$ .

$$\mathfrak{p} \mathcal{O}_L = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r}$$

$$(x) = \prod_{\mathfrak{p} \text{ prime}} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$$

$$= \prod_{\mathfrak{p} \text{ prime}} (\mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r})^{v_{\mathfrak{p}}(x)}$$

$$\text{so } v_{\mathfrak{p}_i}(x) = e_i v_{\mathfrak{p}}(x).$$

↳ Show conversely: if  $v$  is an abs on  $L$  extending  $v|_K$ , then it must be  $v|_{\mathbb{Z}}$ .

Suppose  $v$  on  $L$  extends  $v|_K$ .

then  $v$  is bounded on  $\mathbb{Z}$  as  $\mathbb{Z} \subseteq K \Rightarrow v$  is non-archimedean.

Idea:

use this to make a prime ideal in  $\mathcal{O}_L$ .

↳ Let  $R = \{x \in L \mid |x| \leq 1\} \subseteq L$  be the valuation ring for  $v$ .

then, since  $\mathcal{O}_K \subseteq R$

$R$  is integrally closed in  $L$ , and  $\mathcal{O}_K \subseteq \mathcal{O}_L$ , so  $\mathcal{O}_K$ 's int closure is in  $R$ , so  $\mathcal{O}_L \subseteq R$ .

↳ Set  $\mathfrak{p} = \{x \in \mathcal{O}_L \mid |x| < 1\}$

$$= \mathcal{O}_L \cap m_R$$

↳ since  $m_R$  is prime,  $\mathcal{O}_L \cap m_R$  is prime so  $\mathfrak{p}$  is prime id of  $\mathcal{O}_L$ .

↳ nonzero because  $\mathfrak{p} \subseteq \mathfrak{p}$ .

Now we can localize  $\mathfrak{p}$ .

$\mathcal{O}_L(\mathfrak{p}) \subseteq R$  as  $\forall s \in \mathcal{O}_L \setminus \mathfrak{p} \Rightarrow |s| = 1$ . So  $s$  is invertible but has  $v(s) = 0$  so still in  $R$ .

But  $\mathcal{O}_L(\mathfrak{p})$  is a DVR  $\Rightarrow$  max subring of  $L$ .

So  $\boxed{\mathcal{O}_L(\mathfrak{p}) = R}$  as  $R$  is a maximal subring of  $L$ .

So  $v$  is equivalent to  $v|_{\mathfrak{p}}$ .

↓  
 $\mathfrak{p}_L$  is its  
max subring

↓  
 $\mathcal{O}_L(\mathfrak{p})$  is  
its max subring

locally. Show that  $v$  is equivalent to  $v|_{\mathfrak{p}_i}$ .

since  $v$  extends  $\mathfrak{p}$ ,  $\mathfrak{p} \cap \mathcal{O}_K = \mathfrak{p} = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r}$

$\Rightarrow \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r} \subseteq \mathfrak{p}$ .

$\Rightarrow \mathfrak{p}_i = \mathfrak{p}$  (if  $\mathfrak{p}$  is prime id,  $I_1 I_2 \subseteq \mathfrak{p}$ , then  $I_1 \subseteq \mathfrak{p}$  or  $I_2 \subseteq \mathfrak{p}$ )

## Proof scheme

two directions

- 1)  $v|_p$  extend  $v|_p$  indeed by lemma.
- 2) Show  $v|_p$  is precisely  $v|_p$ .

make  $\mathcal{D}$ :

$\hookrightarrow v|_p$  is nonarchimedean

$\hookrightarrow$  let  $R$  be  $v|_p$ 's valuation ring.  $\forall k \in R$ , int. closure  $\Rightarrow \mathcal{O}_L \in R$

$\hookrightarrow$  set  $\mathcal{D} = \mathcal{O}_L \cap m_R$

localize at  $\mathcal{D}$ :

$\hookrightarrow \mathcal{D}$  is prime ideal of  $\mathcal{O}_L$ , so localize at  $\mathcal{D}$ .

$\hookrightarrow \mathcal{O}_L(\mathcal{D}) = R$

$\hookrightarrow v|_p$  is equiv to  $v|_p$ .

show  $\mathcal{D} = \mathcal{P}_i$  for some  $i$ .

$\hookrightarrow \mathcal{D} \cap \mathcal{O}_k = \mathcal{P} = \mathcal{P}_1^{e_1} \dots \mathcal{P}_r^{e_r} \Rightarrow \mathcal{P}_1^{e_1} \dots \mathcal{P}_r^{e_r} \subset \mathcal{D} \Rightarrow \text{one is } =.$

$R$ : DVR w.r.t.  $v|_p$

$m_R$  max id.

$\mathcal{O}_L(\mathcal{D})$ : DVR w.r.t.  $v|_p$

$\mathcal{D}$  max id.

## cor (0.6) (generalization of ostrowski)

classification of abs. value on number fields.

let  $K$  be a number field with ring of integers  $\mathcal{O}_K$ . then any absolute value on  $K$  is equivalent to

i)  $v|_p$  for some nonzero prime ideal  $\mathcal{P} \in \mathcal{O}_K$ .

ii)  $v|_p$  for some  $\gamma: K \rightarrow \mathbb{R}$  or  $\mathbb{C}$ .

Pf: case I. non-arch.

$v|_p$  is equivalent to  $v|_p$  for some prime  $\mathcal{P}$ .

By ostrowski: + thm,  $v|_p \sim v|_p$  for some  $\mathcal{P} | \mathcal{P}$  a prime ideal in  $\mathcal{O}_K$ .

case II. example shall.

## § Completions (of Dedekind domains)

$\mathcal{O}_K$  Dedekind domain,  $L/K$  finite separable

Let  $\mathfrak{p} \in \mathcal{O}_K$ ,  $\mathfrak{p} \in \mathcal{O}_L \neq 0$  prime ideals.

$\mathfrak{p}|p$  and  $K_{\mathfrak{p}}, L_{\mathfrak{p}}$  be completions of  $K$  and  $L$  wrt. class of abs values  
 $v_{\mathfrak{p}}$  and  $v_{L|\mathfrak{p}}$  respectively.

### lem 10.9.

i) the natural

$\pi_{\mathfrak{p}} : L \otimes_K K_{\mathfrak{p}} \rightarrow L_{\mathfrak{p}}$  is surjective  
 $(L, k) \mapsto Lk$

ii)  $[L_{\mathfrak{p}} : K_{\mathfrak{p}}] \leq [L : K]$  & degree

Proof: let  $M = \overset{\text{Im}(\pi_{\mathfrak{p}})}{L \otimes_K K_{\mathfrak{p}}} \subseteq L_{\mathfrak{p}}$

$L/K$  sepble,  $L = K(\alpha)$  then  $M = LK_{\mathfrak{p}} = K_{\mathfrak{p}}(\alpha) \Rightarrow M$  is finite ext of  $K_{\mathfrak{p}}$ .

and  $[M : K_{\mathfrak{p}}] \leq [L : K]$  } b/c poly satisfied in  $L/K$  is satisfied  
in  $(K_{\mathfrak{p}}(\alpha) / K_{\mathfrak{p}})$ .

$M$  is complete b/c it's a finite extension of a complete value field.

$M$  lies between  $L$  and  $L_{\mathfrak{p}} \Rightarrow M = L_{\mathfrak{p}}$ .

### Proof Scheme:

$\hookrightarrow$  consider  $M = LK_{\mathfrak{p}} = K_{\mathfrak{p}}(\alpha)$

$\hookrightarrow [M : K_{\mathfrak{p}}] \leq [L : K]$

$\hookrightarrow M$  complete, and  $M$  between  $L, L_{\mathfrak{p}} \Rightarrow M = L_{\mathfrak{p}}$ .

### lemma (CRT)

let  $R$  be a ring. let  $I_1, \dots, I_n \subseteq R$  be ideals and  $I_i + I_j = R$  whenever  $i \neq j$ .

then i)  $\prod_{i=1}^n I_i = I_1 \cdots I_n = I$

ii)  $R/I = \prod_{i=1}^n R/I_i$

Thm 10.9

the natural map  $L \otimes_K K_p \rightarrow \prod_p L_p$  is an iso

Proof: write  $L = K[x]$  let  $f \in K[x]$  be min poly of  $\alpha$ .

write  $f(x) = f_1(x) \cdots f_n(x)$  in  $K_p[x]$ . each  $f_i$  are distinct & irred (separability).

Since  $L \cong K[x]/f(x)$ , have

$$L \otimes K_p \cong (K[x]/f(x)) \otimes K_p \cong K_p[x]/f(x) \cong \prod_{i=1}^n K_p[x]/f_i(x).$$

set  $L_i = K_p[x]/f_i(x)$ , a finite ext of  $K_p$ .

note  $L_i$  contains both  $L$  and  $K_p$

$$\underbrace{\left( \begin{array}{c} K[x]/f(x) \\ \hookrightarrow \\ K_p[x]/f_i(x) \end{array} \right)}_{\text{well defined field morphism hence injective}}$$

$L$  is dense in  $L_i$  because  $K$  dense in  $K_p$ , can approx elements of  $K[x]/f_i(x)$  with element in  $K[x]/f(x)$ .

the theorem then follow from 3 claims.

- i)  $L_i \cong L_p$  for some  $p$  of  $\mathcal{O}_L$  dividing  $p$ .
- ii) each  $p$  appear at most one
- iii) each  $p$  appear at least one.

i):  $[L_i : K_p] < \infty$  so there's unique absolute value on  $L_i$  extending  $|\cdot|_p$ .  
 thm  $\Rightarrow$   $|\cdot|$  restrict to  $L$  is equivalent to  $|\cdot|_p$  for some  $p|p$ .

$L$  dense in  $L_i$ ,  $L_i$  complete, so  $L_i \cong L_p$ .

ii) Say  $\varphi_i$  makes  $L_i \cong L_j$ , is an iso preserving  $L$  and  $K_p$ . then

$\varphi_i: K_p[x]/f_i(x) \rightarrow K_p[x]/f_j(x)$  must send  $x$  to  $x$  which can only happen if  $f_i = f_j \Rightarrow i=j$ .

iii) By the lemma,  $\pi_p: L \otimes_k K_p \rightarrow L_p$  is surjective,  $\forall p/p$ .  
 Since  $L_p$  is a field,  $\pi_p$  factor through  $L_i$  for some  $i$   
 so  $L_i \not\subseteq L_p$  by surjectivity.

i.e.  $L \otimes_k K_p / \ker(\pi_p) \cong L_p$

||

$(\prod_{i=1}^n K_p[x] / f_i(x)) / \ker(\pi_p)$

i.e. ker either 0 or whole field.

Proof scheme:

statement:  $L \otimes_k K_p \rightarrow \prod_{p|p} L_p$  is an iso

Proof:

$\hookrightarrow$  write  $L = K(\alpha)$  let  $f(x)$  be min poly, factor as  $f(x) = \prod_{i=1}^n f_i(x)$  in  $K_p[x]$ .  
 $\hookrightarrow L \otimes_k K_p \cong K[x] / f(x) \otimes K_p \cong K_p[x] / f(x) \cong \prod_{i=1}^n K_p[x] / f_i(x)$   
distinct

$\hookrightarrow$  set  $L_i = K_p[x] / f_i(x)$

$\hookrightarrow L_i$  contains  $L$  and  $K_p$ ,  $L$  is dense in  $L_i$

- then 3 claims
- 1)  $L_i \cong L_p$  for some  $p|p$ . (restrict to  $L$ , use thm)
  - 2) each  $p$  appear  $\leq$  one (set an iso, then  $\otimes$ ).
  - 3) each  $p$  appear  $\geq$  one. ( $\pi_p$  surjective, factor thm)

Example

$K = \mathbb{Q}$ ,  $L = \mathbb{Q}(i)$   $f(x) = x^2 + 1$  hensel's lemma  $\sqrt{-1} \in \mathbb{Q}_5$  as  $2$ 's simple root.  
 (5) splits in  $\mathbb{Q}(i)$ ,  $5\mathbb{O}_L = \mathfrak{p}_1 \mathfrak{p}_2$



## Week 5 lec 1

$\mathcal{O}_K$  dedekind domain,  $L/K$  finite separable,  $\mathfrak{o} \in \mathfrak{p} \subseteq \mathcal{O}_K$  prime ideal.

cor. write  $N_{L/K}(x)$  as a product

$$\text{for } x \in L, \quad N_{L/K}(x) = \prod_{\mathfrak{p}|\mathfrak{o}} N_{L_{\mathfrak{p}}/K_{\mathfrak{p}}}(x)$$

Proof: let  $B_1, \dots, B_r$  be bases of  $L_{\mathfrak{p}_1}, \dots, L_{\mathfrak{p}_r}$  as  $K_{\mathfrak{p}}$  vector spaces.

then  $B = \cup B_i$  is a basis for  $L \otimes K_{\mathfrak{p}} = \prod_{\mathfrak{p}|\mathfrak{o}} L_{\mathfrak{p}}$ .

let  $[\text{mult}(x)]_B$  (resp  $[\text{mult}(x)]_{B_i}$ )

be the matrix for

$$\text{mult}(x): L \otimes K_{\mathfrak{p}} \rightarrow L \otimes K_{\mathfrak{p}} \quad (\text{resp } L_{\mathfrak{p}_i} \rightarrow L_{\mathfrak{p}_i})$$

w.r.t basis  $B$  (resp  $B_i$ )

$$[\text{mult}(x)]_B = \begin{pmatrix} [\text{mult}(x)]_{B_1} & & & \\ & \dots & & \\ & & \dots & \\ & & & [\text{mult}(x)]_{B_r} \end{pmatrix}$$

$$\text{so, } N_{L/K}(x) = \det([\text{mult}(x)]_B) = \prod_{i=1}^r \det([\text{mult}(x)]_{B_i}) = \prod_{i=1}^r N_{L_{\mathfrak{p}_i}/K_{\mathfrak{p}}}(x)$$

## § Decomposition groups

let  $\mathfrak{o} \notin \mathfrak{p}$  be a prime ideal of  $\mathcal{O}_K$ .

$\mathfrak{p} \mathcal{O}_L = \mathfrak{p}_1^{e_1} \dots \mathfrak{p}_r^{e_r}$  distinct products of prime ideals in  $\mathcal{O}_L$ ,

with  $e_i > 0$ .

$$\text{Ank: } \mathfrak{p} = \mathfrak{p}_i \cap \mathcal{O}_K, \quad \forall i$$

$\therefore$  for any  $i$ ,  $\mathfrak{p} \subseteq \mathcal{O}_K \cap \mathfrak{p}_i \subseteq \mathcal{O}_K$

Since  $\mathfrak{p}$  maximal,  $\mathfrak{p} = \mathcal{O}_K \cap \mathfrak{p}_i$ .

## defn ramification index and ramifies

- $e_i$  is the ramification index of  $\mathfrak{p}_i$  over  $\mathfrak{p}$ .
- We say  $\mathfrak{p}$  is ramified in  $L$  if  $e_i > 1$  for some  $i$   
(ramifies: more complicated? higher powers?)

## Example of ramification

Eg:  $\mathcal{O}_K = \mathbb{C}[t] \quad \mathcal{O}_L = \mathbb{C}[t^n]$

$$\mathcal{O}_K \rightarrow \mathcal{O}_L$$

$$t \mapsto t^n$$

$t \in \mathcal{O}_L = \mathbb{C}[t^n] \subseteq \mathcal{O}_K$  so ramification index of  $(t)$  over  $(t^n)$  is  $n$ .

defn  $f_i := [\mathcal{O}_L/\mathfrak{p}_i : \mathcal{O}_K/\mathfrak{p}]$  is the residue class degree of  $\mathfrak{p}_i$  over  $\mathfrak{p}$ .

makes sense b/c  $\mathcal{O}_K/\mathfrak{p} \rightarrow \mathcal{O}_L/\mathfrak{p}_i$  is injective

as  $\mathfrak{p} = \mathcal{O}_K \cap \mathfrak{p}_i$  so  $\mathfrak{p} \subseteq \mathfrak{p}_i$  and  $\tilde{\iota}: \mathcal{O}_K \rightarrow \mathcal{O}_L/\mathfrak{p}_i$ ,  $\mathfrak{p} \subseteq \ker(\tilde{\iota})$

Thm.  $\sum_{i=1}^r e_i f_i = [L:K]$ .

Proof: let  $S = \mathcal{O}_K \setminus \mathfrak{p}$ . Then we get following properties of localisation:

\* left as exercise  $\rightarrow$  !!!

- $S^{-1}\mathcal{O}_L$  is the integral closure of  $S^{-1}\mathcal{O}_K$  in  $L$ .
- $S^{-1}\mathcal{O}_L \cong S^{-1}\mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r}$
- $S^{-1}\mathcal{O}_L / S^{-1}\mathfrak{p}_i \cong \mathcal{O}_L/\mathfrak{p}_i$  and  $S^{-1}\mathcal{O}_K / S^{-1}\mathfrak{p} \cong \mathcal{O}_K/\mathfrak{p}$ .

main point of these properties:  $e$  and  $f$  don't change when we replace  $\mathcal{O}_K$  and  $\mathcal{O}_L$  by  $S^{-1}\mathcal{O}_K$  and  $S^{-1}\mathcal{O}_L$ .  
(remember all info about  $\mathfrak{p}$  but not other prime ideals)

So, we can assume that  $\mathcal{O}_K$  is a DVR (i.e. assume after localisation)  
so  $\mathcal{O}_K$  is a PID.

By CRT, we have

$$\mathcal{O}_L/p\mathcal{O}_L = \prod_{i=1}^r \mathcal{O}_L/\mathfrak{p}_i e_i$$

(note: NTS  $\mathfrak{p}_1, \mathfrak{p}_2$  are coprime)

We count dimension of both sides as  $R = \mathcal{O}_K/p$  vector space.

RHS:  $\prod_{i=1}^r \mathcal{O}_L/\mathfrak{p}_i e_i$ : for each  $i$ , there is an increasing seq of subspaces:

$$0 \subseteq \mathfrak{p}_i^{e_i-1}/\mathfrak{p}_i e_i \subseteq \dots \subseteq \mathfrak{p}_i/\mathfrak{p}_i e_i \subseteq \mathcal{O}_L/\mathfrak{p}_i e_i$$

so  $\dim_K \mathcal{O}_L/\mathfrak{p}_i e_i = \sum_{i=0}^{e_i-1} \dim_K (\mathfrak{p}_i^i/\mathfrak{p}_i^{i+1})$ . note that

$\mathfrak{p}_i^i/\mathfrak{p}_i^{i+1}$  is an  $\mathcal{O}_L/\mathfrak{p}_i$  module, and  $x \in \mathfrak{p}_i^i \setminus \mathfrak{p}_i^{i+1}$  is a generator.

?? NOT SURE WHY.

so  $\dim_K \mathfrak{p}_i^i/\mathfrak{p}_i^{i+1} = \dim_K \mathcal{O}_L/\mathfrak{p}_i = \deg$  of  $[\mathcal{O}_L/\mathfrak{p}_i : \mathcal{O}_K/p] = f_i$

so  $\dim_K \mathcal{O}_L/\mathfrak{p}_i e_i = e_i f_i$ . and  $\dim_K \prod_{i=1}^r \mathcal{O}_L/\mathfrak{p}_i e_i = \sum_{i=1}^r e_i f_i$ .

LHS:  $\mathcal{O}_L/p\mathcal{O}_L$  structure theorem over  $\mathcal{O}_K$  of rank  $n = [L:K]$ .

$\mathcal{O}_L$  torsion free  $\Rightarrow \mathcal{O}_L$  free module over  $\mathcal{O}_K$  of rank  $n = [L:K]$

$\mathcal{O}_L/p \cong (\mathcal{O}_K/p)^n$  as  $\mathcal{O}_K/p$  module.  $\dim_K \mathcal{O}_L/p = n$ .

Proof Scheme:

$\hookrightarrow$  a bunch of properties about localisation so that e.f stay the same after localisation

$\hookrightarrow$  so we can assume  $\mathcal{O}_K$  is DVR

$\hookrightarrow$  replace  $\mathcal{O}_K, \mathcal{O}_L$  by  $S^{-1}\mathcal{O}_K, S^{-1}\mathcal{O}_L$

$\hookrightarrow \mathcal{O}_L/p \cong \prod_{i=1}^r \mathcal{O}_L/\mathfrak{p}_i e_i$

$\hookrightarrow$  count both sides degree as  $R = \mathcal{O}_K/p$  vector space.

- ↳ LHS: structure thm for modules: free parts, so  $(\mathcal{O}_L/\mathfrak{p}) \cong (\mathcal{O}_K/\mathfrak{p})^n$
- ↳ RHS:  $0 \subseteq \mathfrak{p}_i^{e_i-1}/\mathfrak{p}_i^{e_i} \subseteq \mathfrak{p}_i^{e_i-2}/\mathfrak{p}_i^{e_i} \subseteq \dots \subseteq \mathfrak{p}_i/\mathfrak{p}_i^{e_i} \subseteq \mathcal{O}_L$   
 each  $\mathfrak{p}_i/\mathfrak{p}_i^{i+1}$  is an  $\mathcal{O}_L/\mathfrak{p}$  module so  $\text{deg} = \sum_{r=0}^{e_i-1} f_i = e_i f_i$ .

Prop.  $L/K$  Galois, then  $\text{Gal}(L/K)$  acts on  $\mathfrak{p}_i$

Assume  $L/K$  is Galois. let  $\sigma \in \text{Gal}(L/K)$   $\sigma(\mathfrak{p}_i) \cap \mathcal{O}_K = \mathfrak{p}$

so that  $\sigma(\mathfrak{p}_i) \in \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$ .

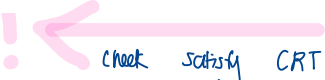
so  $\text{Gal}(L/K)$  acts on  $\mathfrak{p}_i$ .

Prop. The action of  $\text{Gal}(L/K)$  on  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$  is transitive.

Proof: Suppose not.  $\exists i, j, i \neq j$  and  $\sigma(\mathfrak{p}_i) \neq \mathfrak{p}_j$  for all  $\sigma \in \text{Gal}(L/K)$ .

By CRT, we can choose

$$x \in \mathcal{O}_L \text{ s.t. } \begin{cases} x \equiv 0 \pmod{\mathfrak{p}_i} \\ x \equiv 1 \pmod{\sigma(\mathfrak{p}_j)} \quad \forall \sigma \in \text{Gal}(L/K) \end{cases}$$

!  Check satisfy CRT'S co-prime-ness.

$$N_{L/K}(x) = \prod_{\sigma \in \text{Gal}(L/K)} \sigma(x) \in \mathcal{O}_K \cap \mathfrak{p}_i = \mathfrak{p} \subset \mathfrak{p}_j$$

$\uparrow$   $\uparrow$   
 $x \in \mathcal{O}_L$   $x \equiv 0 \pmod{\mathfrak{p}_i}$   
 so  $x$  is ideal.

$\mathfrak{p}_j$  is prime, so  $\prod_{\sigma \in \text{Gal}(L/K)} \sigma(x) \in \mathfrak{p}_j$  means some  $\tau \in \text{Gal}(L/K)$  have  $\tau(x) \in \mathfrak{p}_j$

$$\tau(x) \equiv 0 \pmod{\mathfrak{p}_j} \Rightarrow x \equiv 0 \pmod{\tau^{-1}(\mathfrak{p}_j)} \text{ but } \tau^{-1} \in \text{Gal}(L/K).$$

Proof Scheme:

↳ assume not, so  $\exists i, j, i \neq j$  and  $\mathfrak{p}_i \neq \sigma(\mathfrak{p}_j) \quad \forall \sigma \in \text{Gal}(L/K)$

$$\text{↳ } x \in \mathcal{O}_L \begin{cases} 0 \pmod{\mathfrak{p}_i} \\ 1 \pmod{\sigma(\mathfrak{p}_j)} \end{cases}$$

↳  $N_{L/K}(x) = \prod_{\sigma} \sigma(x) \in \mathcal{O}_K \cap \mathfrak{p}_i = \mathfrak{p} \subset \mathfrak{p}_j$

↳  $\tau(x) \in \mathfrak{p}_j$  for some  $j$ . so  $x \in \tau^{-1}(\mathfrak{p}_j) \quad \times$ .

Cor L/K Galois,  $n = efr$

If L/K is Galois, then  $\left. \begin{matrix} e := e_1 = e_2 = \dots = e_r \\ f := f_1 = f_2 = \dots = f_r \end{matrix} \right\}$  and  $n = efr$ .

Proof:

Suffice to show  $e_1 = e_2, f_1 = f_2$ .

Let  $\sigma \in \text{Gal}(L/K)$  be s.t.  $\sigma(p_1) = p_2$ . Then,

$$p_1^{e_1} \dots p_r^{e_r} = p_2^{e_2} = \sigma(p_1)^{e_2} = \sigma(p_1)^{e_1} \dots \sigma(p_r)^{e_r} = p_2^{e_1} \dots \text{ so } e_1 = e_2$$

also  $\theta_{L/p_1} = \theta_{L/\sigma(p_1)} \cong \theta_{L/p_2}$  implies that  $f_1 = f_2$ .

so  $n = \sum_{i=1}^r e_i f_i = ref$

Proof idea:  $\sigma(p) = p$ .

Cor Invariants for extensions of DVF (instead of DVR)

If L/K is extension of complete, DVF, with normalized valuations  $v_L, v_K$  and uniformers  $\pi_L, \pi_K$ . Then  $\left\{ \begin{matrix} \text{ramification index is } e = e_{L/K} = v_L(\pi_K) \\ \text{residue class deg is } f = f_{L/K} = [k_L : k] \\ [L : K] = ef. \end{matrix} \right.$

$\uparrow$  cus only one prime ideal lying above  $p$  upstairs.

 Prove it for non-separable?


Back to the setting  $\mathcal{O}_K$  dedekind, L/K finite & Galois.

defn decomposition groups

$\mathcal{O}_K$  dedekind, L/K finite & Galois. Then the decomposition group at prime  $\mathfrak{p}$  of  $\mathcal{O}_L$  is the subgroup of  $\text{Gal}(L/K)$  (stab) by

$$G_{\mathfrak{p}} = \{ \sigma \in \text{Gal}(L/K) \mid \sigma(\mathfrak{p}) = \mathfrak{p} \}$$

prop for any  $\mathfrak{p}, \mathfrak{p}'$  dividing  $\mathfrak{P}$ ,  $G_{\mathfrak{p}}, G_{\mathfrak{p}'}$  are conjugates.

Proof:  $\text{Gal}(L/K)$  acts transitively on  $\{\mathfrak{p}, \dots, \mathfrak{p}_n\}$ .  
 or,  $\mathfrak{p}, \mathfrak{p}'$  have same orbit under  $\sigma \in \text{Gal}(L/K)$   transitive meaning?

## Week 5 Lec 2

$OK \Rightarrow L/K$  finite & separable,  $0 \neq p \subseteq OK$  prime ideal.

### Prop. Completion of Galois extensions

If  $L/K$  is Galois,  $p/p$  is prime ideal of  $OK$  then

1)  $L_p/K_p$  is Galois

2) there is a natural map

$$\text{res}: \text{Gal}(L_p/K_p) \rightarrow \text{Gal}(L/K)$$

which is injective & has image  $G_p$ .

Proof:

1) Recall that in characteristic 0,

field ext  $E/F$  is Gal  $\Leftrightarrow E$  is splitting field of poly in  $F[x]$ .

$L/K$  Galois  $\Rightarrow L$  is splitting field of  $f \in K[x]$ . Since  $L \subset L_p$  so  $f$  splits in  $L_p$ .  
and  $L_p = K_p(\alpha)$  for some root  $\alpha$  of  $f$ . But any intermediate field  $K_p \subset M \subset L_p$  doesn't contain  $\alpha$ .  $f$  cannot split over any such  $M$ . So  $L_p$  is splitting field of  $f$  over  $K_p$ .

so  $L_p/K_p$  is Galois.

2) let  $\sigma \in \text{Gal}(L_p/K_p)$ , since  $L/K$  is normal,  $\sigma$  fixes  $L$ . ???

res:  $\text{Gal}(L_p/K_p) \rightarrow \text{Gal}(L/K)$  is therefore well defined. It is injective as  $L$  is dense in  $L_p$ .

By lemma ( $|\sigma(x)| = |x|$  for  $x \in L$ ),

$$|\sigma(x)|_p = |x|_p \quad \forall \sigma \in \text{Gal}(L_p/K_p), x \in L_p.$$

$\Rightarrow \sigma$  fixes  $p$  ????  $x \in p \Leftrightarrow |x|_p < 1 \Leftrightarrow x \in \sigma(p)$

as a set

so  $\text{res}(\sigma) \in G_p$ .

now, to show injectivity, suffices to show

$$[L_p: K_p] = ef = |G_p|$$

$$|G_p| = ef: \quad n = efr \quad \text{where } r=1$$

$[L_p: K_p] = ef$ : apply " $L/K$  finite separable  $\Rightarrow [L: K] = ef$ " to  $[L_p: K_p]$ , e.g. don't change

when we take completions!

Proof scheme

1)  $L/K$  is splitting field of a poly.  $L_p/K_p$  is splitting field of that poly in  $K_p$ .

2) restriction is injective

$\hookrightarrow \sigma \in \text{Gal}(L_p/K_p)$  then  $\sigma$  fix  $L$ .

$\hookrightarrow \sigma$  fix  $L$ , restriction is injective as  $L$  dense in  $L_p$ .

$\hookrightarrow |\sigma(x)|_p = |x|_p \Rightarrow \sigma$  fixes  $p \Rightarrow \sigma \in G_p$ .

surjectivity  $\text{res}: \text{Gal}(L_p/K_p) \rightarrow G_p$  surjective, show  $[L_p:K_p] = e! = |G_p|$

$p = p_1 p_2 \dots$  in  $\mathbb{Z}[i]$  iff  $p = x^2 + y^2$  ? ???

different and discriminant

let  $L/K$  be extension of algebraic number fields.  $[L:K] = n$ .

def  $\Delta$

let  $x_1, \dots, x_n \in L$ ,

$$\Delta(x_1, \dots, x_n) = \det(\text{Tr}_{L/K}(x_i x_j)) \in K$$

$$= \det(\sigma_i(x_j))^2 \in K$$

??? why this true? number fields?  
 $\sigma_i: L \rightarrow \bar{K}$  distinct embeddings

note: if  $y_i = \sum_{j=1}^n a_{ij} x_j$   $a_{ij} \in K$ ,

$$\Delta(y_1, \dots, y_n) = \det(A)^2 \Delta(x_1, \dots, x_n) \quad A = (a_{ij})$$

if  $x_1, \dots, x_n \in \mathcal{O}_L$ ,  $\Delta(x_1, \dots, x_n) \in \mathcal{O}_K$ .

lemma trace form is nondegenerate in perfect field.  $\Leftrightarrow R \cong \text{Tr}$

$K$  is a perfect field.  $R$  a  $K$ -algebra, f.d. as a vector space.

then the trace form  $(\_, \_) : R \times R \rightarrow K$

$$(x, y) = \text{Tr}_{R/K}(xy) = \text{Tr}_R(\text{mult}_{xy}) \text{ is nondeg}$$

$\Leftrightarrow R \cong R_1 \times \dots \times R_n$ ,  $R_i/K$  are finite hence separable extensions

Proof: don't know either.

???

head to review!



Thm ramified & unramified w.r.t.  $\Delta$

let  $\mathfrak{o} \neq \mathfrak{p} \subseteq \mathfrak{o}_K$  prime.

- i) if  $\mathfrak{p}$  ramifies in  $L$ , then  $\forall x_1, \dots, x_n \in L, \mathfrak{p} \mid \Delta(x_1, \dots, x_n)$
- ii) if  $\mathfrak{p}$  is unramified in  $L$ ,  $\exists x_1, \dots, x_n \in L, \mathfrak{p} \nmid \Delta(x_1, \dots, x_n)$

Proof

i) let  $\mathfrak{p}\mathfrak{o}_L = \mathfrak{p}_1^{e_1} \dots \mathfrak{p}_r^{e_r}$   $\mathfrak{o} \neq \mathfrak{p}_i \subseteq \mathfrak{o}_L$ , distinct, prime,  $e_i > 1$

$$\text{CRT} \Rightarrow R = \mathfrak{o}_L / \mathfrak{p}\mathfrak{o}_L \cong \prod_{i=1}^r \mathfrak{o}_L / \mathfrak{p}_i^{e_i}$$

$\mathfrak{p}$  ramified in  $L \Rightarrow e_i > 1$  for some  $i$   $\mathfrak{p}_i^{e_i}$  is not a prime ideal,  $\Rightarrow \prod \mathfrak{o}_L / \mathfrak{p}_i^{e_i}$  not ID

$\Rightarrow$  have nilpotent  $\Rightarrow \mathfrak{o}_L / \mathfrak{p}\mathfrak{o}_L$  has nilpotent.

$\Rightarrow$  Trace form  $\text{Tr}_{R/K}(-, -)$  is degenerate. pick  $\bar{x}_i$  basis,  $x_i$  are lifts.

$\Rightarrow \Delta(\bar{x}_1, \dots, \bar{x}_n) = 0 \quad \forall \bar{x}_i \in \mathfrak{o}_L / \mathfrak{p}\mathfrak{o}_L$  ( $\Delta$  is the det of trace form)

$\Rightarrow \Delta(x_1, \dots, x_n) = 0 \pmod{\mathfrak{p}} \quad \forall x_1, \dots, x_n \in \mathfrak{o}_L$ .

ii)  $\mathfrak{p}$  unramified.

$\Rightarrow \mathfrak{o}_L / \mathfrak{p}$  is product of finite extensions of  $R = \mathfrak{o}_K / \mathfrak{p}$

$\Rightarrow$  trace form non degenerate.

$\Rightarrow$  let  $\bar{x}_1, \dots, \bar{x}_n$  be bases of  $\mathfrak{o}_L / \mathfrak{p}\mathfrak{o}_L$  as  $R$  vs  $\Delta(\bar{x}_1, \dots, \bar{x}_n) \neq 0$

def discriminant

the ideal  $d_{L/K} \subseteq \mathfrak{o}_K$  generated by  $\Delta(x_1, \dots, x_n)$  by all choices of  $(x_1, \dots, x_n) \in \mathfrak{o}_L$ .

Cor  $\mathfrak{p}$  ramifies in  $L \Leftrightarrow \mathfrak{p} \mid d_{L/K}$

only finitely many primes ramify.

???

how to show  $\Leftarrow$

def. inverse different

$D_{L/K}^{-1} = \{y \in L : \text{Tr}_{L/K}(xy) \in \mathfrak{o}_K \quad \forall x \in \mathfrak{o}_L\}$ , an  $\mathfrak{o}_L$ -submodule of  $L$  containing  $\mathfrak{o}_L$ .

lemma  $D_{L/K}^{-1}$  is a fractional ideal

let  $x_1, \dots, x_n \in \mathfrak{o}_L$ , be a basis of  $L$  as a  $K$  v.s.

$$d = \Delta(x_1, \dots, x_n) = \det(\text{Tr}_{L/K}(x_i x_j)) \in \mathfrak{o}_K \quad \text{Want to "scale down" by } d.$$



for  $x \in D_{L/K}^{-1}$ ,  $x = \sum_{j=1}^n \eta_j x_j$   $\eta_j \in K$

then  $\text{Tr}_{L/K}(x x_i) = \sum_{j=1}^n \eta_j \text{Tr}_{L/K}(x_i x_j)$

$\downarrow$  conjugate matrix on each side  
 $\uparrow$  linearity of trace.  
 set  $A_{ij} := \text{Tr}_{L/K}(x_i x_j)$  mult by  $\text{Adj}(A) \in \text{Hom}(O_K)$   
 $d \begin{pmatrix} \eta_1 \\ \vdots \\ \eta_n \end{pmatrix} = \text{Adj}(A) \begin{pmatrix} \text{Tr}_{L/K}(x x_1) \\ \vdots \\ \text{Tr}_{L/K}(x x_n) \end{pmatrix}$  each in  $O_K$

$\Rightarrow \eta_i \in \frac{1}{d} O_K \Rightarrow x \in \frac{1}{d} O_L$  so  $D_{L/K}^{-1} \subseteq \frac{1}{d} O_L \Rightarrow D_{L/K}$  is fractional ideal.

proof scheme

$\hookrightarrow x_i \in O_L$  a basis of  $L$  as  $K$  v.s.

$\hookrightarrow d = \Delta(x_1, \dots, x_n)$

$\hookrightarrow x \in D_{L/K}^{-1}$ , write  $x = \sum \eta_j x_j$

$\hookrightarrow$  some vector / matrix mult  $\rightarrow \eta_i \in \frac{1}{d} O_K, x \in \frac{1}{d} O_L$

def. if  $\mathfrak{p}$  is a nonzero prime ideal of  $O$

fractional ideal:  $\mathfrak{p}^{-1} = \{x \in K \mid x \mathfrak{p} \subseteq O\}$   
 $\mathfrak{p}^{-1} \mathfrak{p} = O$ .

def. the different ideal

$D_{L/K} \subseteq O_L$  is inverse of  $D_{L/K}^{-1}$ . It's an ideal.

prop. fractional ideals

fractional ideals form a group

$I_K, I_L$  are groups of fractional ideal for  $K, L$  respectively

$\Rightarrow I_K \subseteq \bigoplus_{\mathfrak{p} \neq \mathfrak{P}} \mathbb{Z}$   $I_L \subseteq \bigoplus_{\mathfrak{p} \neq \mathfrak{P}} \mathbb{Z}$   
 $\mathfrak{p} \neq \mathfrak{P}$  prime ideal.  $\mathfrak{p} \neq \mathfrak{P}$  prime ideal.

def  $N_{L/K}$

$N_{L/K}: I_L \rightarrow I_K$  group homomorphism

$\mathfrak{p} \mapsto \mathfrak{p}^f$ , where  $\mathfrak{p} = \mathfrak{p} \cap O_K, f = f(\mathfrak{p}/\mathfrak{p})$  res. class degree.

Week 5 Lec 3

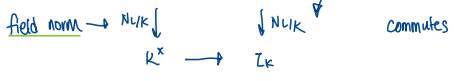
Setting:  $L/K$  degree  $n$ , ext of number fields.  $I_L, I_K$  group of fractional ideals

$N_{L/K}: I_L \rightarrow I_K$

$\mathfrak{p} \mapsto \mathfrak{p}^f \quad \mathfrak{p} = \mathfrak{p} \cap \mathcal{O}_K$

Prop  $L^x, K^x, I_L, I_K$  commutes w.r.t two defns of  $N_{L/K}$

fact:  $L^x \rightarrow I_L$  hom between fractional ideals.



Proof:  $V_p(N_{L/K}(x)) = f_{\mathfrak{p}/p} V_p(x) \quad x \in L^x \quad \& \quad \text{cor 10.10: } N_{L/K}(x) = \prod_{\mathfrak{p}|p} N_{L/K}(x)$

Thm 12.7.  $N_{L/K}(D_{L/K}) = d_{L/K}$   
 (with arrows pointing to  $D_{L/K}$  as 'ideal in  $\mathcal{O}_L$ ' and  $d_{L/K}$  as 'ideal in  $\mathcal{O}_K$ ')

$N_{L/K}: I_L \rightarrow I_K$   
 $\mathfrak{p} \mapsto \mathfrak{p}^f$

$D_{L/K} = (D_{L/K}^{-1})^{-1}$  where  $D_{L/K}^{-1} = \{y \in L, \text{Tr}(xy) \in \mathcal{O}_L \forall x \in \mathcal{O}_L\} \supseteq \mathcal{O}_L$

$d_{L/K}$  = ideal generated by all  $\Delta(x_1, \dots, x_n) \forall x_1, \dots, x_n \in \mathcal{O}_L$ , this value in  $K$ .

Proof sketch (details omitted)

Assume  $\mathcal{O}_K, \mathcal{O}_L$  are PIDs.

$x_1, \dots, x_n$  be an  $\mathcal{O}_K$  basis for  $\mathcal{O}_L$   
 $y_1, \dots, y_n$  be dual basis w.r.t trace form.  $(x_i, y_j) = \delta_{ij}$

then,  $y_1, \dots, y_n$  is a basis for  $D_{L/K}^{-1}$ .

let  $\sigma_1, \dots, \sigma_n: L \rightarrow K$  be distinct embeddings.

$\sum_{i=1}^n \sigma_i(x_j) \sigma_i(y_k) = \text{Tr}(x_j y_k) = \delta_{jk}$

but  $\Delta(x_1, \dots, x_n) = \det [\sigma_i(x_j)]^2$  so  $\Delta(x_1, \dots, x_n) \Delta(y_1, \dots, y_n) = 1$

$\Delta(x_1, \dots, x_n) \Delta(y_1, \dots, y_n) = \det [\sigma_i(x_j)]^2 \det \Delta(y_1, \dots, y_n)$   
 $= \det (\sigma_i(x_j))^2 \det (\sigma_i(y_j))^{-2}$   
 $= 1$  transpose inverse of matrix  $y$ .

write  $D_{L/K}^{-1} = \beta \mathcal{O}_L$  (assumed  $L$  is a PID,  $\beta \in L$  (the fractional part.))

then  $d_{L/K}^{-1} = (\Delta(x_1, \dots, x_n))^{-1}$  ← PID, so ideal generated by it.

$= \Delta(y_1, \dots, y_n)$   $\left. \begin{array}{l} \text{change of basis b/c invertible} \\ \text{basis also a basis} \end{array} \right\}$   
 $= \Delta(\beta x_1, \dots, \beta x_n)$   
 $= N_{L/K}(\beta) \Delta(x_1, \dots, x_n)$

↑ ? unsure why?

? →

so  $d_{L/K}^{-1} = N_{L/K}(\beta)^{-1} d_{L/K}$  so  $N_{L/K}(\beta) = N_{L/K}(D_{L/K}^{-1}) = d_{L/K}^{-1}$

in general, just localise. localise at  $S = \mathcal{O}_K \setminus \mathfrak{p}$ .

$S^{-1} D_{L/K} = D_{S^{-1} \mathcal{O}_K / S^{-1} \mathfrak{p}_K}$   $S^{-1} d_{L/K} = d_{S^{-1} \mathcal{O}_K / S^{-1} \mathfrak{p}_K}$ .

\* uniform with proof

Proof scheme:

$\hookrightarrow \gamma_i$  basis,  $y_i$  dual basis

$\hookrightarrow \Delta(x_1, \dots, x_n, y_1, \dots, y_n) = 1$

$\hookrightarrow D_{L/K}^{-1} = \beta \mathcal{O}_L$

$\hookrightarrow d_{L/K}^{-1} = (\Delta(x_1, \dots, y_n)) = N_{L/K}(\beta^{-1}) \Delta(x_1, \dots, y_n)$

$\hookrightarrow$  to generalise, use localisation.

Thm  $D_{L/K} = (g'(\alpha))$

If  $\mathcal{O}_L = \mathcal{O}_K[\alpha]$  and  $\alpha$  has a monic polynomial  $g(x) \in \mathcal{O}_K[x]$ . then  $D_{L/K} = (g'(\alpha))$

Proof:

let  $\alpha_1, \dots, \alpha_n$  be roots of  $g$

write  $\frac{g(x)}{x-\alpha_i} = \beta_{n-1} x^{n-1} + \beta_{n-2} x^{n-2} + \dots + \beta_0$ ,  $\beta_i \in \mathcal{O}_L$ ,  $\beta_{n-1} = 1$

*coeff at  $x^s$  is  $\beta_s$*

we claim that  $\sum_{i=1}^n \left( \frac{g(x)}{x-\alpha_i} \cdot \frac{\alpha_i^s}{g'(\alpha_i)} \right) = x^s \quad \forall 0 \leq s \leq n-1$

$\hookrightarrow$  this is because that the diff of two sides is a poly strictly less than  $n$ .

$\hookrightarrow$  at each  $\alpha_j$  at  $i \neq j$ , it's 0, at  $i=j$ ,  $\frac{(\alpha_i - \alpha_1) \dots (\alpha_i - \alpha_{i-1}) \dots (\alpha_i - \alpha_{i+1}) \dots (\alpha_i - \alpha_n)}{g'(\alpha_i)} \cdot \alpha_i^s = \alpha_i^s \quad \checkmark$

Now, equating the coefficients of  $x^s$

$\text{Tr}_{L/K}(\alpha^s \frac{\beta_s}{g'(\alpha)}) = \delta_{rs} \quad \left( \text{LHS, coeff at } x^s \text{ is } \left( \sum \beta_s \cdot \frac{\alpha_i^s}{g'(\alpha_i)} \right) \text{ but } = \text{trace} \left( \beta_s \frac{\alpha^s}{g'(\alpha)} \right) \right)$   
*trace form* since trace  $(\sum \alpha_i^s) = \sum \alpha_i^s$

since  $\mathcal{O}_L$  has an  $\mathcal{O}_K$  basis  $1, \alpha, \alpha^2, \dots, \alpha^{n-1}$ ,  $(D_{L/K}^{-1})$  has  $\mathcal{O}_K$  basis given by

$\frac{\beta_0}{g'(\alpha)}, \frac{\beta_1}{g'(\alpha)}, \dots, \frac{\beta_{n-1}}{g'(\alpha)} = \frac{1}{g'(\alpha)}$  form dual basis.

each  $\beta_i \in \mathcal{O}_L$ , as ideal, generated by  $\frac{1}{g'(\alpha)}$ .

$\Rightarrow D_{L/K}^{-1} = \left( \frac{1}{g'(\alpha)} \right) \Rightarrow D_{L/K} = (g'(\alpha))$

Proof scheme:

$\hookrightarrow$  let  $\alpha_1, \dots, \alpha_n$  be roots

$\hookrightarrow$  write  $\beta_i = \frac{g(x)}{x-\alpha_i}$

$\hookrightarrow$  claim  $x^r = \square$

$\hookrightarrow$  use trace/dual basis to show  $D_{L/K}^{-1} = \frac{1}{g'(\alpha)}$

reminder that  $D$  prime of  $\mathcal{O}_L$ ,  $\mathfrak{p} = \mathfrak{p} \cap \mathcal{O}_K$ .

define  $D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$  similarly using  $\mathcal{O}_{K, \mathfrak{p}}, \mathcal{O}_{L, \mathfrak{p}}$

identify  $D_{\mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$  with power of  $\mathfrak{p}$ .

thm.  $D_{L/K} = \prod_{\mathfrak{p}} D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$  (similar to  $\mathcal{N}_{L/K} \mathcal{O}_L \cong \prod_{\mathfrak{p}} \mathcal{O}_{L, \mathfrak{p}}$ )

Proof: let  $x \in L$ ,  $\mathfrak{p} \in \mathcal{O}_K$  be a prime ideal.

then (\*)  $\text{Tr}_{L/K}(x) = \sum_{\mathfrak{p} | \mathfrak{P}} \text{Tr}_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}(x)$  (proof same as cor 1.1.10) ( $x \in L \Rightarrow \mathcal{N}_{L/K}(x) = \prod_{\mathfrak{p} | \mathfrak{P}} \mathcal{N}_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}(x)$ )  
 just change Norm to Trace.

let  $r(\mathfrak{p}) = v_{\mathfrak{p}}(D_{L, \mathfrak{p}})$ ,  $s(\mathfrak{p}) = v_{\mathfrak{p}}(D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}})$

show  $\leq (D_{L/K} \in \prod_{\mathfrak{p}} D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}})$  vrs  $(r(\mathfrak{p}) \geq s(\mathfrak{p}))$   
 in the fractional ideal  $\mathfrak{p}^{-1}$

★ don't quite get containment  
 valuations bigger  $\Rightarrow$  correspond  
 to a subset?  
 "product of local inverse different  
 is contained in the global  
 inverse different"

let  $x \in L$  s.t.  $v_{\mathfrak{p}}(x) \geq -s(\mathfrak{p}) \forall \mathfrak{p}$  so its in local different. vrs in global different.

then  $\text{Tr}_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}(xy) \in \mathcal{O}_{K, \mathfrak{p}} \forall y \in \mathcal{O}_L$  and  $\forall \mathfrak{p}$ .

(\*)  $\Rightarrow \text{Tr}_{L/K}(xy) \in \mathcal{O}_K \forall y \in \mathcal{O}_L \forall \mathfrak{p}$

$\Rightarrow \text{Tr}_{L/K}(xy) \in \mathcal{O}_K \forall y \in \mathcal{O}_L$

so  $x \in D_{L/K}$

so  $D_{L/K} \subseteq \prod_{\mathfrak{p}} D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$

show " $\geq$ "  $v(\mathfrak{p}) \leq s(\mathfrak{p})$

fix  $\mathfrak{p}$  and let  $x \in \mathfrak{p}^{-r(\mathfrak{p})} \setminus \mathfrak{p}^{-r(\mathfrak{p})+1}$

thm  $v_{\mathfrak{p}}(x) = r(\mathfrak{p})$ ,  $v_{\mathfrak{p}}'(x) \geq 0 \forall \mathfrak{p}' \neq \mathfrak{p}$

by (\*)  $\text{Tr}_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}(xy) = \text{Tr}_{L/K}(xy) - \sum_{\substack{\mathfrak{p}' \neq \mathfrak{p} \\ \mathfrak{p}' | \mathfrak{P}}} \text{Tr}_{L, \mathfrak{p}'} / \mathcal{O}_{\mathfrak{p}'}(xy) \forall y \in \mathcal{O}_L$

$\Rightarrow \text{Tr}_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}(xy) \in \mathcal{O}_{K, \mathfrak{p}} \forall y \in \mathcal{O}_L$

$\Rightarrow x \in D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}} \text{ s.t. } -v_{\mathfrak{p}}(x) = r(\mathfrak{p}) \leq s(\mathfrak{p})$

$\Rightarrow D_{L/K} \supseteq \prod_{\mathfrak{p}} D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$

cor  $D_{L/K} = \prod_{\mathfrak{p} | \mathfrak{P}} D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$

proof: apply  $\mathcal{N}_{L/K}$  to  $D_{L/K} = \prod_{\mathfrak{p}} D_{L, \mathfrak{p}} / \mathcal{O}_{\mathfrak{p}}$

MUST  
REVIEW

## Unramified & totally ramified extensions of local fields.

Notation change:  $L/K$  finite, separable extension of non-arch local fields.

cor  $[L:K] = e_{L/K} f_{L/K}$  (\*)

lemma tower law for  $e_{L/K}$

let  $M/L/K$  be finite separable extension of local fields. Then we get tower law:

1)  $f_{M/K} = f_{M/L} \cdot f_{L/K}$

2)  $e_{M/K} = e_{M/L} \cdot e_{L/K}$

Proof. 1)  $f_{M/K} = [L \times M : K] = [L \times M : L] \cdot [L : K] = f_{M/L} \cdot f_{L/K}$

2) (1) + (\*) + tower law

$e_{M/K} f_{M/K} = [M:K] = [M:L][L:K] = e_{M/L} f_{M/L} \cdot e_{L/K} f_{L/K}$

def un/ totally ramified

the extension  $L/K$  is

}	unramified	if	$e_{L/K} = 1$	$\Leftrightarrow$	$f_{L/K} = [L:K]$
	ramified		$e_{L/K} > 1$	$\Leftrightarrow$	$f_{L/K} < [L:K]$
	totally ramified		$e_{L/K} = [L:K]$	$\Leftrightarrow$	$f_{L/K} = 1$

## Week 6 sec 1

$L/K$  finite separable ext of local fields

thm. Split extension into unram and tot. ram

There exists a field  $k_0$  s.t.  $k \subseteq k_0 \subseteq L$  and

1)  $k_0/k$  is unramified

2)  $L/k_0$  is totally ramified.



Moreover,  $[k_0:K] = f_{L/K}$ .  $[L:k_0] = e_{L/K}$ ,  $k_0/k$  is Galois

Proof. let  $k = \mathbb{F}_q$  be the residue field of  $K$ .

So the residue field of  $L$  is  $k_L$  where  $k_L = \mathbb{F}_{q^f}$ ,  $f = f_{L/K}$ .

Set  $m = q^f - 1$ .  $[\dots]: \mathbb{F}_{q^f} \rightarrow L$  be Teichmüller lift for  $L$ .

let  $\alpha$  be a generator for  $\mathbb{F}_q^*$ , let  $\xi_m = [\alpha]$  is an  $m^{\text{th}}$  root of unity (see 5). Cyotomic extensions  $\Rightarrow$  Galois  
 Set  $K_0 = K(\xi_m)$  then  $K_0/K$  is Galois, as it's the splitting field of  $x^m - 1$ .

$K_0$  has residue field  $k_0 = \mathbb{F}_q(\alpha) = \mathbb{F}_q^*$

let  $\text{res}: \text{Gal}(K_0/K) \rightarrow \text{Gal}(k_0/k)$  be the natural map.

for  $\sigma \in \text{Gal}(K_0/K)$ ,  $\sigma(\xi_m) = \xi_m$  if  $\sigma(\alpha) = \alpha \text{ mod } \mathfrak{m}_0$   $\leftarrow$  max ideal in  $\mathcal{O}_{K_0}$

since  $\mathcal{O}_{K_0}^* \rightarrow \mathcal{O}_k^*$  induces a bijection in  $\mathcal{O}_{K_0}$  between  $m^{\text{th}}$  root of unity (Havel) hence  $\text{res}$  is injective.

Hensel's lemma: unique lift of ROU in  $\mathcal{O}_k^*$ , so if you know where  $\text{res}(\sigma)$  sends  $\xi_m$ , you know where it sends  $\xi_m$  in  $\mathcal{O}_k$  so injective.

Therefore  $|\text{Gal}(K_0/K)| \leq |\text{Gal}(k_0/k)| = f_{k_0/k}$  because  $f_{k_0/k} \leq [K_0:K]$  always, so

so  $[K_0:K] = f_{k_0/k} \Rightarrow \text{res}$  is iso and  $K_0/K$  is unramified.

since  $K_0 = K_L (= \mathbb{F}_q^*)$  have  $f_{L/K} = f_{k_0/k} = [k_0:k]$   $e_{L/K} = 1$

by how  $K_0$  is defined by defn beginning is defined

so  $f_{L/K_0} \cdot f_{K_0/K} = f_{L/K} \Rightarrow f_{L/K_0} = 1 \Rightarrow L/K_0$  totally ram.

$e_{L/K} = [L:k_0]$  by tower law

$$[L:K] = e_{L/K} \cdot f_{L/K} = [L:k_0] \cdot [k_0:K]$$

Proof scheme:

$\hookrightarrow$  Set  $k = \mathbb{F}_q$ ,  $K_L = \mathbb{F}_q^*$ ,  $f = f_{L/k}$ ,  $m = q^f - 1$ .

$\hookrightarrow$  define  $\xi_m$

$\hookrightarrow$  set  $K_0 = K(\xi_m)$   $K_0/K$  is Galois

$\hookrightarrow K_0 = K_L$

$\hookrightarrow$  use the fact  $\text{res}: \text{Gal}(K_0/K) \rightarrow \text{Gal}(k_0/k)$  injective to show  $[K_0:K] = f_{k_0/k}$

$\hookrightarrow$  rest by tower law &  $[A:B] = e_{A/B} f_{A/B}$ .

from unramified extensions are easy to understand.

just  $K$  adjoint a root of unity!

let  $K = \mathbb{F}_q$ . for each  $n \geq 1$ ,  $\exists$  unique unramified extension  $L/K$  of degree  $n$ .

Moreover,  $L/K$  is Galois and the natural map  $\text{res}: \text{Gal}(L/K) \rightarrow \text{Gal}(k_L/k)$  is  $\cong$ .

In particular,  $\text{Gal}(L/K) = \langle \text{Frob}_{L/K} \rangle$  is cyclic, where  $\text{Frob}_{L/K}(x) = x^{q^n} \text{ mod } \mathfrak{m}_L$   $\forall x \in \mathcal{O}_L$

Proof for  $n \geq 1$ , let  $L = K(\xi_m)$ ,  $m = q^n - 1$   
 $\uparrow$   
 primitive  $m^{\text{th}}$  root of unity

as in prev. thm,  $\text{Gal}(L/K) \rightarrow \text{Gal}(k_L/k)$  is an  $\cong$   
 $\cong \text{Gal}(\mathbb{F}_q^n / \mathbb{F}_q)$

so  $L/K$  is unramified,  $\text{Gal}(L/K)$  is generated by a lift of  $x \mapsto x^q$  **??**

this shows  $\exists$ .

uniqueness: suppose  $L/K$ ,

$L/K$  is unramified of degree  $n$ , using Teichmüller lifts, Some as prev thm take a unit & take lift given Can show  $\zeta_m \in L$  for some primitive  $m$ th root of unity  $\zeta_m$ ,  $m = p^n - 1$  then  $L = K(\zeta_m)$ . (by degree reasons) ?

### Proof scheme

↳ existence, given  $n$ , follow same construction as above.

↳ uniqueness: using Teichmüller lifts.

Cor  $L/K$  finite Galois, then the map

$\text{res}: \text{Gal}(L/K) \rightarrow \text{Gal}(K_0/K)$  is surjective.

Proof: res factors as

$$\text{Gal}(L/K) \rightarrow \text{Gal}(K_0/K) \xrightarrow{\cong} \text{Gal}(K_0/K) \quad (\text{as } K_0 = K_0)$$

def: The inertia subgroup

$L/K$  finite Galois, the inertia subgroup is

$$I_{L/K} = \ker(\text{Gal}(L/K) \rightarrow \text{Gal}(K_0/K)) \subseteq \text{Gal}(K/L)$$

Since  $e_{L/K} f_{L/K} = [L:K]$ , have  $|I_{L/K}| = e_{L/K}$ . (as  $[L:K] = e \cdot f$ ,  $|\text{Gal}(K_0/K)| = f$ )

$I_{L/K} = \text{Gal}(L/K_0)$  controls the totally ramified ones.

Now look @ totally ram part. (controlled by Eisenstein Poly)

def: Eisenstein polynomial

$$f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 \in \mathcal{O}_K[x] \quad \text{is Eisenstein if } v_K(a_i) \geq 1 \quad \forall i, \quad v_K(a_0) = 1$$

$\uparrow$   
normalised valuation

Fact: Eisenstein  $\Rightarrow$  irreducible

Thm. totally ramified & Eisenstein

1) let  $L/K$  be finite, totally ramified,  $\pi_L \in \mathcal{O}_L$ , unif,

then the min poly of  $\pi_L$  is Eisenstein and  $\mathcal{O}_L = \mathcal{O}_K[\pi_L]$  ( $L = \mathcal{O}_K(\pi_L)$ )

2) conversely, if  $f(x) \in \mathcal{O}_K[x]$  is Eisenstein,  $\alpha$  a root of  $f$ ,

$L = K(\alpha)/K$  is totally ramified and  $\alpha$  is unif in  $L$ .

Proof:

i)  $[L:K] = e$

coeff in  $\mathcal{O}_K$ , as integral over.

let  $f(x) = x^m + a_{m-1}x^{m-1} + \dots + a_0 \in \mathcal{O}_K[x]$  be min poly for  $\pi_L$ . Then  $m \leq e$ .

Since  $v_L(K^\times) = e\mathbb{Z}$ ,  $\uparrow$  norm valuation,  $\uparrow$  ? have  $v_L(a_i \pi_L^i) \equiv i \pmod{e}$ ,  $i < m$ .  
 $\uparrow$  in  $\mathcal{O}_K$  so  $v_L$  mult of  $e$ .

So these terms have distinct valuation, all diff mod  $e$ .

as  $\pi_L = -\sum_{i=0}^{m-1} a_i \pi_L^i$ , have  $M = v_L(\pi_L^m) = \min_{0 \leq i \leq m-1} (i + e v_K(a_i))$

$\Rightarrow v_L(a_i) \geq 1$  if any of them is 0, the above won't work.  $\rightarrow$  all have diff val, so = smallest val.

So  $v_K(a_0) = 1$  and  $m = e$  (given constraint  $m \leq e$ , this is only choice to make if work)

So  $f(x)$  is Eisenstein,  $m = e$ , so  $L = K(\pi_L)$

to show  $\mathcal{O}_L = \mathcal{O}_K[\pi_L]$

for  $y \in L$ , write  $y = \sum_{i=0}^{e-1} b_i \pi_L^i$ ,  $b_i \in K$ .

then  $v_L(y) = \min_{1 \leq i \leq e-1} (i + e v_K(b_i))$   $\downarrow$  if any  $< 0$ , every term  $< 0$ .

so  $y \in \mathcal{O}_L \Leftrightarrow v_L(y) \geq 0 \Leftrightarrow v_K(b_i) \geq 0 \Leftrightarrow y \in \mathcal{O}_K[\pi_L]$ .

ii) let  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathcal{O}_K[x]$  be Eisenstein. let  $\alpha \in L/K$  ( $L = K(\alpha)$ ,  $\alpha$  a root)

then,  $v_L(a_i) \geq e$  and  $v_L(a_0) = e$ .

if  $v_L(\alpha) \leq 0$  then have  $\underbrace{v_L(\alpha^n)}_{n v_L(\alpha)} < \underbrace{v_L(\sum_{i=0}^{n-1} a_i \alpha^i)}_{\text{min of val of terms. so } v_L(a_i) + i v_L(\alpha) \text{ bigger than } LHS}$   $\times$ .

so  $v_L(\alpha) > 0$ .

$= v_L(a_i) + i v_L(\alpha) > e$

for  $i \neq 0$ ,  $v_L(a_i \alpha^i) > e = v_L(a_0)$

therefore  $v_L(-\sum_{i=0}^{n-1} a_i \alpha^i) = e$   $\Delta$  = min of val of each term. indeed min at  $a_0$ .

$\parallel$   
 $v_L(\alpha^n) = n v_L(\alpha)$

but  $n = [L:K] \geq e \Rightarrow n = e$  and  $v_L(\alpha) = 1$   
 $\uparrow$   
 $e f = [L:K]$

Proof scheme:

i)  $\hookrightarrow [L:K] = e$ . write min poly for  $\pi_L$ .

$\hookrightarrow v_L(a_i \pi_L^i) \equiv i \pmod{e}$ . so each term have distinct valuations.



$$\hookrightarrow \pi_L^m = -\sum_{i=0}^{m-1} a_i \pi_L^i$$

write  $m = \min(\quad)$

$\hookrightarrow$  but only one choice of the coefficients.

$\hookrightarrow$  above show Eisenstein

$\hookrightarrow$  for  $y \in L$  Write  $y = \sum_{i=1}^{e-1} b_i \pi_L^i$ ,  $b_i \in K$ .

$\hookrightarrow v_L(y) = \min(\quad)$

$\hookrightarrow y \in \mathcal{O}_L \Leftrightarrow \underline{\hspace{2cm}}$

2)  $\hookrightarrow$  Assume Eisenstein, write coefficient in  $v_L$ .

$\hookrightarrow v_L(a_i) \leq 0 \Rightarrow \times$

$\hookrightarrow v_L(a^n) = v_L(-\sum a_i \pi_L^i) = \min_i (v_L(\quad))$  but each  $i \neq 0$ ,  $v_L(a_i \pi_L^i) > e$ .

So obtain min at  $i=0$

$\hookrightarrow n=e$

## Structure of units

def. absolute ram index

let  $[K:\mathbb{Q}_p] < \infty$ .  $e: e_K/p$  be absolute ram index,  $\pi$  unit in  $K$ .

## Week 6 lecture 2

Prop Structure of units, additive & multiplicative

if  $r > e/p$ ,  $\exp(x) = \sum_{i=0}^{\infty} \frac{x^i}{i!}$  converge in  $\pi^r \mathcal{O}_K$  and induces isomorphism in

$$(\pi^r \mathcal{O}_K, +) \cong \left( \underbrace{1 + \pi^r \mathcal{O}_K}_{\text{subgroup of units in } \mathcal{O}_K}, \cdot \right)$$

Proof

$$\hookrightarrow \pi^r \mathcal{O}_K \rightarrow 1 + \pi^r \mathcal{O}_K$$

Since  $[K:\mathbb{Q}_p] < \infty$ , have

$$v_K(n!) = e v_p(n!) \stackrel{\text{ex sheet 1}}{=} \frac{e(n - sp(n))}{p-1} \leq \frac{e(n-1)}{p-1}$$

$$\text{for } x \in \pi^r \mathcal{O}_K, n \geq 1, \quad v_K\left(\frac{x^n}{n!}\right) = n v_K(x) - v_K(n!) \geq nr - \frac{e(n-1)}{p-1} = r + (n-1) \underbrace{\left(r - \frac{e}{p-1}\right)}_{> 0}$$

so  $v_k\left(\frac{x^n}{n!}\right) \rightarrow \infty$  as  $n \rightarrow \infty$

thus  $\exp(x)$  converges (the sum  $\sum \frac{x^n}{n!}$  is Cauchy)

since  $v_k\left(\frac{x^n}{n!}\right) \geq r$ , (for each  $n > 0$  have  $\exp(x) \in 1 + \pi^r \mathcal{O}_k$ .)

$$\hookrightarrow 1 + \pi^r \mathcal{O}_k \rightarrow \pi^r \mathcal{O}_k$$

consider  $\log(1+x) : 1 + \pi^r \mathcal{O}_k \rightarrow \pi^r \mathcal{O}_k$

$$\log(1+x) = \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n} x^n$$

check convergence as before. ~~\*\*\*~~ ← TODO

Recall identity in  $(\mathbb{Q}[X, Y], +, \cdot)$ ,

$$\exp(x+y) = \exp(x)\exp(y)$$

$$\exp(\log(1+x)) = 1+x$$

$$\log(\exp(x)) = x.$$

???

therefore,  $\exp : (\pi^r \mathcal{O}_k, +) \xrightarrow{\sim} (1 + \pi^r \mathcal{O}_k, \cdot)$  is an iso.

(not true for  $=$  char as factorial don't work in finite field).

Proof scheme!

Proof scheme:

$\pi^r \mathcal{O}_k \rightarrow 1 + \pi^r \mathcal{O}_k$  : get bound on  $v_p(n!) = \frac{n-1}{p-1}$

so  $v_k\left(\frac{x^n}{n!}\right) \rightarrow \infty$ , Cauchy

in  $1 + \pi^r \mathcal{O}_k$

$1 + \pi^r \mathcal{O}_k \rightarrow \pi^r \mathcal{O}_k$

define  $\log$

just define some identity in  $(\mathbb{Q}[X, Y], +, \cdot)$ .

why not work in fields of char?

def. The  $s^{\text{th}}$  unit group  $U_k^{(s)}$

$k$  a local field.  $U_k := \mathcal{O}_k^\times$  and  $\exists \pi \in \mathcal{O}_k$  a uniformizer.

then for  $s \in \mathbb{Z}_{>0}$ , the  $s^{\text{th}}$  unit group  $U_k^{(s)}$  is defined by

$$U_k^{(s)} = (1 + \pi^s \mathcal{O}_k, \cdot)$$

Set  $U_k^{(0)} = U_k$ , then we have a filtration

$$\subseteq U_k^{(s)} \subseteq U_k^{(s-1)} \subseteq \dots \subseteq U_k^{(1)} \subseteq U_k^{(0)} = U_k$$

Prop. quotients of filtration for unit groups

1)  $U_K^{(0)} / U_K^{(1)} \cong (R^x, \times) \quad R = \mathcal{O}_K / \pi$

2)  $U_K^{(s)} / U_K^{(s+1)} \cong (R^x, +) \quad s \geq 1$

Proof:

1) reduction modulo  $\pi$ .

$$U_K^{(0)} = U_K = \mathcal{O}_K^x \quad U_K^{(1)} = 1 + \pi \mathcal{O}_K$$

$\mathcal{O}_K^x \rightarrow R^x$  is surjective with kernel  $1 + \pi \mathcal{O}_K = U_K^{(1)}$ .

Multiply here  $\downarrow$   
 Add here  $\downarrow$

2)  $f: U_K^{(s)} \rightarrow R$

$$1 + \pi^s x \rightarrow x \pmod{\pi}, \quad x \in \mathcal{O}_K$$

check  $+$  gives hom:

$$\begin{aligned} (1 + \pi^s x)(1 + \pi^s y) &= 1 + (x+y + \pi^s xy) \pi^s \\ &= 1 + \pi^s (x+y + \pi^s xy) \end{aligned}$$

but  $\pi^s xy + x+y = x+y \pmod{\pi}$ .

$f$  is a group hom, surjective, and with  $\ker(f) = U_K^{(s+1)}$

Proof scheme 1)  $U_K^{(0)} / U_K^{(1)}$  reduction mod  $\pi$ . just quotient, kernel works as expected.

2)  $U_K^{(s)} / U_K^{(s+1)}$  define  $f$ , is a group hom, surj with correct kernel.

Cor finite index subgroup of  $O_K^\times \cong (O_{K,r,t})$

$K$  mixed char.  $[K:\mathbb{Q}_p] < \infty$ . then,  $\exists$  finite index subgroup of  $O_K^\times \cong (O_{K,r,t})$

Proof:  $r > \frac{e}{p-1}$ ,  $U_K^{(r)} \cong (O_{K,r,t})$  by the exp and log thm. why iso?

$U_K^{(r)} \subseteq U_K$ , finite index by the proc-prop (quotients of  $U_K^{(s)}$ )

note not true for  $K$  equal char:

(so  $(O_{K,r,t}) \not\cong U_K^{(r)}$  but  $U_K^{(r)} \subseteq U_K = O_K^\times$  so  $(O_{K,r,t})$  is subgroup of  $O_K^\times$ ).

Proof scheme:  $U_K^{(r)}$  is that one! Also, finite index by filtration & quotient thm.

example of unit groups

for  $\mathbb{Z}_p$ ,  $p \geq 2$ ,  $e=1$ , take  $r=1$ , as  $r > \frac{e}{p-1}$

$$\mathbb{Z}_p^\times \xrightarrow{\cong} (\mathbb{Z}/p\mathbb{Z})^\times \times (1 + p\mathbb{Z}_p) \cong \mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}_p$$

$$x \mapsto (x \bmod p, \frac{x}{x \bmod p}) \quad \text{Teichmüller lifting.}$$

for  $p=2$ ,  $r=1$  no longer works. Then take  $r=2$ .

$$\mathbb{Z}_2^\times \xrightarrow{\cong} (\mathbb{Z}/4\mathbb{Z})^\times \times (1 + 4\mathbb{Z}_2) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}_2$$

$$x \mapsto (x \bmod 4, \frac{x}{\varepsilon(x)})$$

$$\varepsilon(x) = \begin{cases} 1 & x \equiv 1 \pmod{4} \\ -1 & x \equiv -1 \pmod{4} \end{cases}$$

this gives another proof

$$\mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 = \begin{cases} \mathbb{Z}/2\mathbb{Z} & p > 2 \\ (\mathbb{Z}/2\mathbb{Z})^2 & p = 2 \end{cases}$$

## Higher Ramification groups

$L/K$  finite Galois, extension of local fields,  $\pi_L \in \mathcal{O}_L$ , uniformizer.

### defn. higher ramification groups

$v_L$  be normalized valuation on  $L$ . For  $s \in \mathbb{Z}, -1$ , the  $s^{\text{th}}$  ram group is:

$$G_s(L/K) = \{ \sigma \in \text{Gal}(L/K) \mid v_L(\sigma(x) - x) \geq s+1 \quad \forall x \in \mathcal{O}_L \}$$

### examples of ramification groups.

$$G_{-1}(L/K) = \text{Gal}(L/K)$$

$$G_0(L/K) = \{ \sigma \in \text{Gal}(L/K) \mid \sigma(x) = x \pmod{\pi_L} \quad \forall x \in \mathcal{O}_L \}$$

$$\begin{aligned} &= \ker(\text{Gal}(L/K) \rightarrow \text{Gal}(R_L/R_L)) \\ &= \text{Gal}(L/K) \end{aligned}$$

$\uparrow$   
 $\mathcal{O}_L/\pi_L$

Don't quite get this eq

acts trivially on the field  $R_L$ . so

any  $x$ , with  $x = y + z$   $y \in \pi_L$   $z \in \pi_L \mathcal{O}_K$   
have  $\sigma(x) = \sigma(y) + \sigma(z)$

$$\text{but } \sigma(x) - x = \underbrace{y - \sigma(y)}_0 + \underbrace{z - \sigma(z)}_{\in \pi_L \mathcal{O}_K} \Rightarrow \sigma(x) - x \equiv 0 \pmod{\pi_L}$$

### Write $G_s$ as a normal subgroup

for  $s \in \mathbb{Z} \geq 0$ ,

$$G_s(L/K) = \ker(\text{Gal}(L/K) \rightarrow \text{Aut}(\mathcal{O}_L/\pi_L^{s+1} \mathcal{O}_K))$$

so  $G_s(L/K)$  is a normal subgroup of  $\text{Gal}(L/K)$

$$\text{get } G_s \subseteq G_{s+1} \subseteq G_{s+2} \subseteq \dots \subseteq G_0 \subseteq G_{-1}$$

$\uparrow$                      $\uparrow$   
 $\text{Gal}(L/K)$             $\text{Gal}(L/K)$

remark:  $G_s$  only change at integers.

### Thm Properties about higher ram group

i) for  $s \geq 1$ ,  $G_s = \{ \sigma \in G_0 \mid v_L(\sigma(\pi_L) - \pi_L) \geq s+1 \}$

ii)  $\bigcap_{s=0}^{\infty} G_s = \{1\}$

iii) let  $s \in \mathbb{Z} \geq 0$   $\exists$  injective group hom

$$G_s / G_{s+1} \hookrightarrow \mathcal{U}_L^{(s)} / \mathcal{U}_L^{(s+1)}$$

induced by  $\sigma \mapsto \frac{\sigma(\pi_L)}{\pi_L}$  this map is independent of the choice  $\pi_L$ .

## why allowed to replace?

Proof let  $K_0 \in L$  be the normal unramified extension of  $K$  in  $L$ . replace  $K$  by  $K_0$ , assume  $L/K$  is totally ramified.

i) thm 13.8 (totally ramified  $\leftrightarrow$  Eisenstein) implies  $\sigma_L = \sigma_K[\pi_L]$ .

$\Leftarrow$ : Suppose  $\forall L \ (\sigma(x) - x) \geq sH$ , let  $x \in \sigma_L$ , then  $x \in f(\pi_L)$  for some  $f(x) \in \sigma_K[x]$ ,

$$\text{then } \sigma(x) - x = \sigma(f(\pi_L)) - f(\pi_L)$$

$$= f(\sigma(\pi_L)) - f(\pi_L) \quad \left. \begin{array}{l} \\ \end{array} \right\} \text{ since same constant terms}$$

$$= (\sigma(\pi_L) - \pi_L) g(\pi_L) \quad g(x) \in \sigma_K[x].$$

$$\text{so } \forall L \ (\sigma(x) - x) = \underbrace{v_L(\sigma(\pi_L) - \pi_L)}_{\geq sH} + \underbrace{v_L(g(\pi_L))}_{\geq 0} \quad \text{so } \sigma \in G_s.$$

$\Leftarrow$ : containment is trivial.

if  $\sigma(\pi_L) = \pi_L$ , it would fix all  $L$  as  $L = K(\pi_L)$

ii) Suppose  $\sigma \in \text{Gal}(L/K)$ ,  $\sigma \neq 1$ . Then  $\sigma(\pi_L) \neq \pi_L$  as  $L = K(\pi_L)$  so  $\forall L \ \sigma(\pi_L) - \pi_L < \infty$

$$\text{so } \sigma \notin G_s \text{ for } s \geq v_L(\sigma(\pi_L) - \pi_L)$$

iii) note: for  $\sigma \in G_s$ ,  $s \in \mathbb{Z}_{\geq 0}$ ,

$$\sigma(\pi_L) \in \pi_L + \pi_L^{sH} \sigma_L$$

$$\text{so } \frac{\sigma(\pi_L)}{\pi_L} \in 1 + \pi_L^s \sigma_L = U_L^{(s)}$$

We claim that the map  $\psi: G_s \rightarrow U_L^{(s)} / U_L^{(s+1)}$

$\sigma \mapsto \frac{\sigma(\pi_L)}{\pi_L}$  is a group hom with kernel  $G_{s+1}$ .

Show  $\psi$  is a group homomorphism why Gal: units  $\rightarrow$  units?

for  $\sigma, \tau \in G_s$ , let  $z(\pi_L) = u \pi_L$ ,  $u \in \sigma_L^\times$ .

$$\text{then } \frac{\sigma z(\pi_L)}{\tau z(\pi_L)} = \frac{\sigma z(\pi_L)}{z(\pi_L)} \cdot \frac{z(\pi_L)}{\tau z(\pi_L)} = \frac{\sigma(u)}{u} \cdot \frac{\sigma(\pi_L)}{\pi_L} \cdot \frac{z(\pi_L)}{\tau z(\pi_L)}$$

But  $\sigma(u) \in U + \pi_L^{sH} \sigma_L$  since  $\sigma \in G_s$ .

so  $\frac{\sigma(u)}{u} \in 1 + \pi_L^{sH} \sigma_L$  since  $u$  is a unit

$$\text{so } \frac{\sigma z(\pi_L)}{\tau z(\pi_L)} \equiv \frac{\sigma(\pi_L)}{\tau z(\pi_L)} \cdot \frac{z(\pi_L)}{\tau z(\pi_L)} \pmod{U_L^{(s+1)}} \quad (\text{remainder } U_L^{(s+1)} = 1 + \pi_L^{sH} \sigma_L)$$

so  $\psi$  is a group homomorphism.

If  $\sigma \in \text{ker}(\varphi)$  have  
 $\frac{\sigma(\pi_L)}{\pi_L} \in 1 + \pi_L^{s+1} \mathcal{O}_L$

Show that  $\text{ker}(\varphi)$  is right

$$\begin{aligned} \text{ker}(\varphi) &= \{ \sigma \in G_S \mid \sigma(\pi_L) \equiv \pi_L \pmod{\pi_L^{s+1}} \} \\ &= G_{S+1} \quad \text{by (i)} \end{aligned}$$

Show doesn't depend on uniformizer.

If  $\pi'_L = a\pi_L$  is another uniformizer.

$$\text{then } \frac{\sigma(\pi'_L)}{\pi'_L} = \frac{\sigma(a)}{a} \cdot \frac{\sigma(\pi_L)}{\pi_L} = \frac{\sigma(\pi_L)}{\pi_L} \pmod{\pi_L^{s+1}}$$

$\underbrace{\hspace{2cm}}_{\text{if } a \text{ a unit.}}$

Proof Scheme:

assume the extension is totally remi.

i)  $\hookrightarrow \mathcal{O}_L = \mathcal{O}_K[\pi_L]$

$\hookrightarrow$  assume  $v_L(\sigma(\pi_L) - \pi_L) \geq s+1$

$\hookrightarrow$  let  $x \in \mathcal{O}_L$  then  $x = f(\pi_L)$

$\hookrightarrow$  expand  $v_L(\sigma(x) - x)$ .

ii) look at  $\sigma(\pi_L) \neq \pi_L$  if  $\sigma \neq 1$

iii)  $\hookrightarrow$  see that  $\varphi: G_S \rightarrow \mathcal{O}_L^{(s)} / \mathcal{O}_L^{(s+1)}$

$$\sigma \mapsto \frac{\sigma(\pi_L)}{\pi_L} \quad \text{is well defined.}$$

$\hookrightarrow$  show it's hom: write  $z(\pi_L) = u\pi_L$ .  $u \in \mathcal{O}_L^\times$

$\hookrightarrow$  show  $\text{ker}(\varphi) = G_{S+1}$  by (i)

$\hookrightarrow$  show doesn't depend on choice of  $\pi_L$ .

### Week 6 Lec 3

cor. 14.3 Given a finite Galois extension of local fields,  $\text{Gal}(L/K)$  is solvable.

Proof:  $G_S / G_{S+1} \cong$  a subgroup of  $\left\{ \begin{array}{ll} \text{Gal}(K_1/K) & \text{if } s = -1 \quad \text{B.4} \\ (K^\times, \times) & \text{if } s = 0 \\ (K, +) & \text{if } s \geq 1 \end{array} \right. \quad \int \text{B.11 + 14.2}$

then  $G_S / G_{S+1}$  is solvable for  $s \geq -1$ .

Prop. let  $\text{char } K = p$  then  $|G_0 / G_1|$  is coprime to  $p$ .  $|G_1| = p^n$  for some  $n \geq 0$ . Thus,  $G_1$  is the unique (since normal) sylow  $p$  subgroup of  $G_0 = \text{Gal}(L/K)$ .

def (tamely ramified / wildly ramified)

Recall  $\begin{cases} G_{-1} = \text{Gal}(L/K) \\ G_0 = L^{\times}/K^{\times} \\ G_1 = \text{wild inertia} \end{cases}$

The group  $G_1$  is called the wild inertia group.  $G_0/G_1$  is the tame quotient.

let  $L/K$  finite, separable extension of local fields.  $L/K$  is tamely ramified if  $\text{char } K \nmid e_{L/K}$ .

( $\Leftrightarrow G_1 = \{1\}$  if  $L/K$  is Galois) otherwise it's wildly ramified.   
 ???

Thm 14.5 relating  $D_{L/K}$  with ramified.

$[K:\mathbb{Q}_p] < \infty$ ,  $L/K$  finite,  $D_{L/K} = (\pi_L)^{s(L/K)}$

then  $s(L/K) \geq e_{L/K} - 1$  with  $=$  iff  $L/K$  is tamely ramified.

In particular,  $L/K$  unramified  $\Leftrightarrow D_{L/K} = \mathcal{O}_L$

Proof. By ex sheet 3,  $D_{L/K} = D_{L/K_0} D_{K_0/K}$  for any intermediate  $K_0$ . Take  $K_0$  to be the maximal unramified extension, therefore,

why suffice to show this way?

suffices to check 2 cases 1)  $L/K$  unramified 2)  $L/K$  totally ramified.

case 1:  $L/K$  unramified.

Prop 6.12  $\Rightarrow \mathcal{O}_L = \mathcal{O}_K[\alpha]$  for some  $\alpha \in \mathcal{O}_L$ ,  $K_L = K(\alpha)$

let  $g(x) \in \mathcal{O}_K[x]$  be the min poly of  $\alpha$ .

$[L:K] = [K_L:K] \Rightarrow \bar{g}(x) \in \mathbb{R}[x]$  is min poly of  $\bar{\alpha}$ .

$\bar{g}$  is separable, so  $g'(\alpha) \neq 0 \pmod{\pi_L}$ .

thm 12.8  $\Rightarrow D_{L/K} = (g'(\alpha))^{-1} \mathcal{O}_L$

case 2:  $L/K$  totally ramified.

$[L:K] = e$ ,  $\mathcal{O}_L = \mathcal{O}_K[\pi_L]$  where  $\pi_L$  is root of  $g(x) = x^e + \sum_{i=1}^{e-1} a_i x^i \in \mathcal{O}_K[x]$ , Eisenstein.

then  $g(\pi_L) = \underbrace{e\pi_L^{e-1}}_{v_L \geq e-1} + \sum_{i=1}^{e-1} \underbrace{ia_i\pi_L^{i-1}}_{v_L \geq e}$

so  $v_L(g'(\pi_L)) \geq e-1$ , equality  $\Leftrightarrow p \nmid e$  (tamely ramified)  $v_L = e-1$ , why  $p \nmid e$ ?

Pract scheme: fill in



why  $v_L(c) = 0 \Leftrightarrow p \nmid c$ ?

Cor 14.6

$L/K$  extension of number fields.  $\mathfrak{p} \in \mathcal{O}_L$ ,  $\mathfrak{p} \cap \mathcal{O}_K = \mathfrak{p}$ , then  $e(\mathfrak{p}/\mathfrak{p}) > 1$  iff  $\mathfrak{p} | D_{L/K}$ .

proof thm 12.9:  $D_{L/K} = \prod_{\mathfrak{p}|p} D_{L/\mathbb{Q}_p}$

then  $e(\mathfrak{p}/\mathfrak{p}) = e_{L/\mathbb{Q}_p}$  and thm 14.5 gives result.



(i.e.  $e(\mathcal{D}/p) > 1 \Leftrightarrow e_{\mathcal{D}/Kp} > 1 \Leftrightarrow$  ramified  
 $\stackrel{\text{Thm 115}}{\Leftrightarrow} D_{L/K} \neq \sigma_L$   
 $\Leftrightarrow D_{L/K} = \prod_{\mathcal{D}} D_{\mathcal{D}/Kp}$  for some  $\mathcal{D} | p$ .  
 $\Leftrightarrow \mathcal{D} | D_{L/K}$ .

Example. Computing higher ramification groups of  $p^m$  roots of unity.

Let  $K = \mathbb{Q}_p$ ,  $\xi_{p^n}$  be  $p^n$  root of unity

$L = K(\xi_{p^n})$ . The  $p^n$  cyclotomic poly is  $\phi_{p^n}(X) = X^{p^{n-1}(p-1)} + X^{p^{n-2}(p-1)} + \dots + 1 \in \mathbb{Z}_p[X]$ .

By Exshart 3,  $\phi_{p^n}(X)$  is irreducible ( $\Rightarrow \phi_{p^n}(X)$  is min poly of  $\xi_{p^n}$ )

so  $L/\mathbb{Q}_p$  is Galois, totally ramified of degree  $p^{n-1}(p-1)$ .

let  $\pi = \xi_{p^{n-1}}$  a uniformizer of  $\sigma_L$ .

so  $\sigma_L = \mathbb{Z}_p[\xi_{p^{n-1}}] = \mathbb{Z}_p[\xi_{p^n}]$  why same?

$\rightarrow \text{Gal}(L/\mathbb{Q}_p) \cong (\mathbb{Z}/p\mathbb{Z})^{\times}$  abelian,

via  $\sigma_m \mapsto m$  where  $\sigma_m \in \text{Gal}(L/\mathbb{Q}_p)$  is  $\sigma_m(\xi_{p^n}) = \xi_{p^n}^m$

to compute higher ram groups,

$v_L(\sigma_m(\pi) - \pi) = v_L(\xi_{p^n}^m - \xi_{p^n})$   
 $= v_L(\xi_{p^n}^{m-1} - 1)$

$v_L(\pi) = 0?$

let  $k$  be maximal s.t.  $p^k | m-1$ . then  $\xi_{p^n}^{m-1}$  is a primitive  $p^{n-k}$  root of unity.

so  $\xi_{p^n}^{m-1} - 1$  is a uniformizer  $\pi'$  on  $L' = \mathbb{Q}_p(\xi_{p^{n-k}})$   
 primitive root -1 is unif? ?

therefore

$v_L(\xi_{p^n}^{m-1} - 1) = e_{L/L'} = \frac{e_{L/K}}{e_{L'/K}} = \frac{[L:K]}{[L':K]} = \frac{p^{n-1}(p-1)}{p^{n-k-1}(p-1)} = p^k$

so  $\sigma_m \in G_i \Leftrightarrow p^k \geq i+1$  i.e.

$G_i \cong \left\{ \begin{array}{l} (\mathbb{Z}/p^n\mathbb{Z})^{\times} \quad i \leq 0 \\ (\mathbb{Z}/p^k\mathbb{Z}) / p^n\mathbb{Z} \quad p^{k-1} - 1 < i \leq p^{k-1} - 1, \quad 1 \leq k \leq n-1 \\ \{1\} \quad p^{n-1} - 1 < i \end{array} \right. \quad ???$

## VI Local Class field theory

### § infinite Galois Theory

$L/K$ : alg extension any field.

defn a set of definitions

↳  $L/K$  separable if  $\forall \alpha \in L$ , the min poly  $f_\alpha(X) \in K[X]$  is separable.

↳  $L/K$  normal if  $f_\alpha(X)$  splits in  $L \quad \forall \alpha \in L$ .

↳  $L/K$  is Galois if it's separable & normal.

$$\text{↳ Gal}(L/K) = \text{Aut}(L/K)$$

↳ if  $L/K$  finite Galois, Galois correspondence

$$\begin{array}{ccc} \{ \text{sub extension } K \subseteq K' \subseteq L \} & \longleftrightarrow & \{ \text{subgroups of Gal}(L/K) \} \\ K' & \longmapsto & \text{Gal}(L/K') \\ L^H & \longleftarrow & H \end{array}$$

we want to extend this to infinite case, which requires a topology on  $\text{Gal}(L/K)$ .

we generalize the notion of an inverse limit.

### def. directed set

let  $(I, \leq)$  be a partially ordered set.  $I$  is a directed set if  $\forall i, j \in I$ ,

$\exists$  some  $k \in I$  s.t.  $i \leq k, j \leq k$ .

example: any totally ordered set

or  $\mathbb{Z}_{>0}$  ordered by divisibility

### def. inverse system

let  $(I, \leq)$  be a directed set, and  $(G_i)_{i \in I}$  a collection of groups together with maps  $\varphi_{ij}: G_j \rightarrow G_i$  s.t.   
instead of  $\varphi_{ij}: G_{i+j} \rightarrow G_i$ , it must satisfy "transition homomorphism" for all level above to below,   
 $\varphi_{ik} = \varphi_{ij} \circ \varphi_{jk} \quad i, j, k \in I$   
 $\varphi_{ii} = \text{id}$ .

such  $(G_i)_{i \in I}$  is an inverse system

inverse limit of  $(G_i)_{i \in I}, \varphi_{ij}$  is  $\varprojlim_{i \in I} G_i = \{ (g_i)_{i \in I} \in \prod_{i \in I} G_i \mid \varphi_{ij}(g_j) = g_i \}$

Remark:

•  $(N, \leq)$  recovers our prev. definition

•  $\exists$  proj map  $\phi_j: \varprojlim_{i \in \mathbb{Z}} G_i \rightarrow G_j$  for each  $j$ .

assume  $G_i$  is finite, we can put profinite topology on  $\varprojlim_{i \in \mathbb{Z}} G_i$  to be weakest topology s.t.  $\forall_j$  cts,  $\forall_j \phi_j$ .

### Prop. Putting inverse system on Galois group

let  $L/K$  be Galois. Then,

1)  $I = \{F \subset L, F/K \text{ finite Galois}\}$  is a directed set ordered under inclusion.

2) for  $F, F' \in I, F \subset F'$ , there's a natural map  $\text{Gal}(F'/K) \rightarrow \text{Gal}(F/K)$  by restriction, so we

get inverse system of groups,  $\{ \text{Gal}(F/K) : F \subset L, F/K \text{ finite Galois} \}$  indexed by  $I$ .

the natural map  $\text{Gal}(L/K) \rightarrow \varprojlim_{F \in I} \text{Gal}(F/K)$  is an iso.

**Proof** Example sheet.

### Week 7 lec 1

$$\text{Recall } (b3) \Rightarrow \text{Gal}(L/K) \cong \varprojlim_{\substack{K \subset F \subset L \\ F/K \text{ finite Galois}}} \text{Gal}(F/K)$$

Example.

$K = \mathbb{F}_q, L = \overline{\mathbb{F}_q}$  alg closure.

$\{F/K \text{ finite Galois}\} \Leftrightarrow \mathbb{N}_{>1}$

$$\mathbb{F}_{q^n} \leftrightarrow n$$

note  $\mathbb{F}_{q^m} \subseteq \mathbb{F}_{q^n} \Leftrightarrow m|n$

$\exists$  commutative diagram

Frobenius:  $\text{Fr}_q: x \mapsto x^q$

$$\begin{array}{ccccc} \text{Fr}_q & \in & \text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q) & \xrightarrow{\text{res}} & \text{Gal}(\mathbb{F}_{q^m}/\mathbb{F}_q) & \cong & \text{Fr}_q \\ & & \parallel & & \parallel & & \downarrow \\ & & \mathbb{Z}/n\mathbb{Z} & \xrightarrow{\text{proj}} & \mathbb{Z}/m\mathbb{Z} & \cong & \mathbb{Z} \end{array}$$

so,  $\text{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q) \cong \varprojlim_{n \in (\mathbb{N}_{>1})} \mathbb{Z}/n\mathbb{Z} =: \hat{\mathbb{Z}} \quad \checkmark$  profinite completion of  $\mathbb{Z}$ .

$$\text{Fr}_q \leftrightarrow 1$$

let  $\langle Fr_q \rangle \subseteq Gal(\overline{\mathbb{F}_q}/\mathbb{F}_q)$  be a subgroup generated by  $Fr_q$ .

the inclusion  $\langle Fr_q \rangle \subseteq Gal(\overline{\mathbb{F}_q}/\mathbb{F}_q)$  corresponds to  $\mathbb{Z} \subseteq \hat{\mathbb{Z}} (\cong \prod_{p \text{ prime}} \mathbb{Z}_p)$

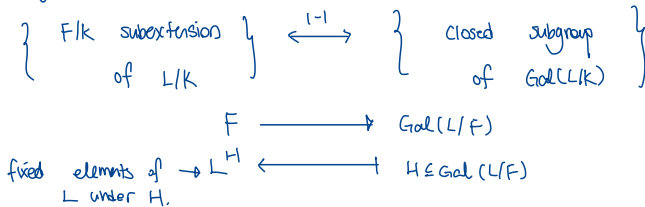
← not sure why

### Thm (Fundamental thm of Galois Theory)

let  $L/K$  be Galois.

Endow  $Gal(L/K)$  with profinite topology. (= discrete top if  $L/K$  finite)

then  $\exists$  bijection



moreover,  $FK$  finite iff  $Gal(L/F)$  open.

$FK$  Galois  $\Leftrightarrow Gal(L/F)$  is a normal subgroup of  $Gal(L/K)$  as  $Gal(F/K) \cong \frac{Gal(L/K)}{Gal(L/F)}$ .

Proof: see ex 4. 16.2 and 16.3 are main take aways. ???

### § Weil group.

$K$  a local field,  $L/K$  separable algebraic extension.

defn 16.5 (the case of infinite extensions) unramified / totally ramified.

i)  $L/K$  is unramified if  $FK$  is unramified for all  $FK$  finite subextension.

ii)  $L/K$  is totally ramified if  $FK$  is tot. rami for all  $FK$  finite subextension.

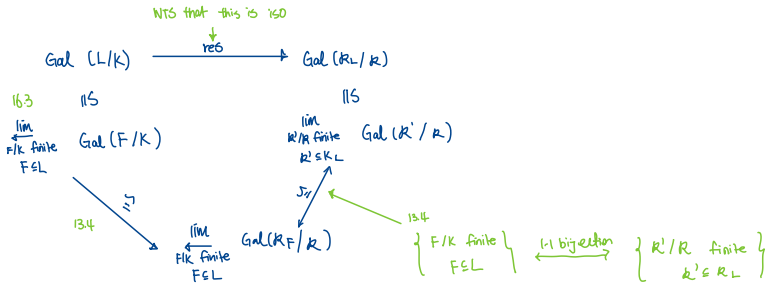
Prop 16.6.  $Gal(L/K) \cong Gal(K_s/K)$

let  $L/K$  be unramified. then  $L/K$  is Galois and  $Gal(L/K) \cong Gal(K_s/K)$

unram  $\Rightarrow$  Gal. yes

Proof: every finite subextension  $FK$  is unramified. Here Galois  $\Rightarrow L/K$  normal + separable  $\Rightarrow L/K$  Galois.

$\exists$  commutative diagram



this diagram is commutative so res is an iso. ▣

Notation

ex 3

$L_1/K, L_2/K$  finite unram  $\implies L_1 L_2 / K$  unram

$K_0/L$  has some residue field.  $K_0 = R_L$ .  $R$  finite but  $R_L$  not necessarily finite.

thus for any  $L/K$ ,  $\exists$  max unram subextension  $K_0/K$ .

let  $L/K$  Galois,  $\exists$  surjection res  $\text{Gal}(L/K) \longrightarrow \text{Gal}(K_0/K) \cong \text{Gal}(R_L/R)$

set  $I_{L/K} = \ker(\text{res})$  be the Inertia subgroup.

let  $\text{Fr}_{R_L/R} \in \text{Gal}(R_L/R)$  be the Frobenius  $x \mapsto x^{|R_L|}$

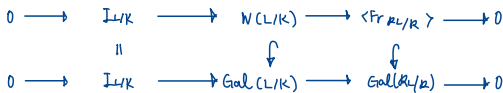
let  $\langle \text{Fr}_{R_L/R} \rangle$  be subgroup generated by  $\text{Fr}_{R_L/R}$ .

def Weil group

let  $L/K$  Galois, the weil group  $W(L/K) \subseteq \text{Gal}(L/K)$  is  $\text{res}^{-1}(\langle \text{Fr}_{R_L/R} \rangle)$

Prmk if  $R_L/R$  is finite then  $W(L/K) = \text{Gal}(L/K)$ . Otherwise  $W(L/K) \neq \text{Gal}(L/K)$ .

commutative diagram of exact rows



## def. Topology of $W(L/K)$

Topology of  $W(L/K)$  (in this case, subspace topology is not good).

Endow  $W(L/K)$  with the weakest topology s.t.

- 1)  $W(L/K)$  is a topological group.
- 2)  $I_{L/K}$  is an open subgroup of  $W(L/K)$

$I_{L/K} = \text{Gal}(L/K_0)$  equipped with profinite topology.

i.e. open sets are translations of open sets in  $I_{L/K}$  by elements of  $W(L/K)$ .

warning if  $K/L$  is infinite, this top is not the subspace top on  $W(L/K) \subseteq \text{Gal}(L/K)$ .  
this one is finer than the subspace top.

i.e.  $I_{L/K} \subseteq W(L/K)$  is not open in the subspace top.

## Prop 16.8. We don't lose any info going from $\text{Gal}(L/K)$ to $\text{Weil}(L/K)$

let  $L/K$  be Galois.

i)  $W(L/K)$  is dense in  $\text{Gal}(L/K)$

ii) if  $F/K$  finite subextension of  $L/K$  then

$$W(L/F) = W(L/K) \cap \text{Gal}(L/F)$$

L  
|  
F  
|  
K

iii) if  $F/K$  finite Galois extension, then

$$\frac{W(L/K)}{W(L/F)} \cong \text{Gal}(F/K)$$

## Proof

i)  $W(L/K)$  is dense in  $\text{Gal}(L/K)$ .

⇐  $\forall F/K$  finite Galois subextension,  $W(L/K)$  intersects every coset of  $\text{Gal}(L/F)$

⇐  $\forall F/K$  finite Galois,  $W(L/K) \rightarrow \text{Gal}(F/K)$

???

consider diagram (WTS  $b$  is surjective)

$$\begin{array}{ccccccc} 0 & \longrightarrow & I_{L/K} & \longrightarrow & W(L/K) & \longrightarrow & \langle \text{Fr}_{K_0/K} \rangle \longrightarrow 0 \\ & & \downarrow a & & \downarrow b & & \downarrow c \end{array}$$

$$0 \longrightarrow I_{F/K} \longrightarrow \text{Gal}(F/K) \longrightarrow \text{Gal}(K/F) \longrightarrow 0$$

let  $K_0/K$  be max unramified extension contained in  $L$ .

then  $K_0 \cap F$  is max unram extension contained in  $F$ .

then

$$\begin{array}{ccc} \text{Gal}(L/K_0) & \longrightarrow & \text{Gal}(F/K_0 \cap F) \\ & \searrow & \parallel \\ & & \text{Gal}(K_0 F/K_0) \end{array} \Rightarrow a \text{ is surjection}$$

$\text{Gal}(K_0 F/K_0)$  is generated by  $\text{Fr}_{K_0 F/K_0}$  so  $c$  is surjection

diagram chase  $\Rightarrow b$  is surjection.

## Week 7 Lec 2

Proof of (i) If  $F/K$  finite subextension of  $L/K$  then  $N(L/F) = W(L/K) \cap \text{Gal}(L/F)$

let  $F/K$  be finite subextension. Consider

$$\begin{array}{ccc} \text{Gal}(L/K) & \longrightarrow & \text{Gal}(R_L/R) \cong \langle \text{Fr}_{R_L/R} \rangle \\ \uparrow & & \uparrow \\ \text{Gal}(L/F) & \longrightarrow & \text{Gal}(R_L/R_F) \cong \langle \text{Fr}_{R_L/R_F} \rangle \end{array}$$

for  $\sigma \in \text{Gal}(L/F)$ ,

$$\sigma \in W(L/F) \Leftrightarrow \sigma|_{R_L} \in \langle \text{Fr}_{R_L/R_F} \rangle \quad (w(L/K) \in \text{Gal}(L/K) \text{ is } \text{res}^{-1}(\langle \text{Fr}_{R_L/R} \rangle))$$

note  $\text{Gal}(R_L/R_F) \cap \langle \text{Fr}_{R_L/R} \rangle = \langle \text{Fr}_{R_L/R_F} \rangle$

$$\Leftrightarrow \sigma|_{R_L} \in \langle \text{Fr}_{R_L/R} \rangle$$

$$\Leftrightarrow \sigma \in W(L/K)$$

(ii) If  $F/K$  finite Galois extension, then

$$\frac{N(L/K)}{N(L/F)} \cong \text{Gal}(F/K)$$

Proof:  $W(L/K)/W(L/F) \stackrel{(ii)}{=} \frac{W(L/K)}{W(L/K) \cap \text{Gal}(L/F)}$

$$\cong \frac{W(L/K) \text{Gal}(L/F)}{\text{Gal}(L/F)}$$

$$\stackrel{(i)}{=} \frac{\text{Gal}(L/K)}{\text{Gal}(L/F)} = \text{Gal}(F/K)$$

Theorem B of isomorphism

$$\frac{SN}{N} \cong \frac{S}{SN}$$

If  $B$  dense in  $A/C$   
then  $BC = A$ .

§ statements of local class field theory

let  $K$  be a local field.

def 17.1 Abelian Extension

$L/K$  is Abelian if it's Galois and  $\text{Gal}(L/K)$  is Abelian.

facts about Abelian extensions

if  $L_1/K, L_2/K$  are Abelian then

i)  $L_1 L_2 / K$  is Abelian

ii) if  $L_1 \cap L_2 = K, \exists$  canonical iso  $\text{Gal}(L_1 L_2 / K) \cong \text{Gal}(L_1 / K) \times \text{Gal}(L_2 / K)$

fact i)  $\Rightarrow \exists$  maximal abelian extension  $K^{ab}$  of  $K$  inside  $K^{sep}$   $\leftarrow$  separable closure  
 $\leftarrow$  Maximal Galois extension.

def  $K^{ur}$

$K^{ur}$  denote the max unramified extension of  $K$  inside  $K^{sep}$ . If  $|k| = q$

then  $K^{ur} = \bigcup_{m=1}^{\infty} K(\zeta_{q^m-1})$ .  $k_{K^{ur}} = \overline{\mathbb{F}_q}$

and  $\text{Gal}(K^{ur}/K) \cong \text{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q) \cong \hat{\mathbb{Z}}$

$\text{Fr}_{K^{ur}/K} \longleftrightarrow \text{Fr}_{\overline{\mathbb{F}_q}/\mathbb{F}_q}$

so  $K^{ur}$  is abelian,  $K^{ur} \subseteq K^{ab}$ .

There exists exact sequence:

$$0 \longrightarrow I_{K^{ab}/K} \longrightarrow W(K^{ab}/K) \longrightarrow \hat{\mathbb{Z}} \longrightarrow 0$$

(this exact sequence is a pattern proven earlier)

Thm 17.2

(1) (local Artin Reciprocity) There exists a unique topological isomorphism (group iso homeo)

$\text{Art}_K : K^\times \xrightarrow{\sim} W(K^{ab}/K)$

satisfying the following properties:

i)  $\text{Art}_K(\pi) |_{K^{ur}} = \text{Fr}_{K^{ur}/K}$  for any uniformizer  $\pi \in K$ .



ii) for every finite subextension  $L/K$  in  $K^{ab}/K$

$$\text{Art}_K(N_{L/K}(L^{\times}))|_L = \text{id}. \quad (\text{identity map})$$

2)  $L/K$  finite abelian, Then  $\text{Art}_K$  induces an iso

$$K^{\times}/N_{L/K}(L^{\times}) \cong \frac{W(K^{ab}/K)}{W(K^{ab}/L)} \cong \text{Gal}(L/K)$$

Remarks: (i) special case local Langlands

(ii) use it to characterize the global Artin map of global class field theory.

### Properties of the Artin map

• (Existence theorem)

For  $H \subseteq K^{\times}$  open finite index subgroup,  $\exists L/K$  finite abelian s.f.

$$N_{L/K}(L^{\times}) = H$$

In particular,  $\text{Art}_K$  induces an inclusion reversing isomorphism of posets:

$$\left\{ \begin{array}{l} \text{open finite index} \\ \text{subgroups of } K^{\times} \end{array} \right\} \xleftrightarrow{1-1} \left\{ \begin{array}{l} \text{finite abelian} \\ \text{extensions of } L/K \end{array} \right\}$$

$$H \xrightarrow{\quad} (K^{ab})^{\text{Art}_K(H)}$$

an element of  $W(K^{ab}/K)$   
so it's the field fixed by this

norms of  $L^{\times}$   
takes place in  $K$

$$\rightarrow N_{L/K}(L^{\times})$$

so is a subgroup of  $K$ .

$$L/K$$

(Norm Functoriality) let  $L/K$  finite separable extension.

$\exists$  commutative diagram

$$\begin{array}{ccc} L^{\times} & \xrightarrow[\cong]{\text{Art}_L} & W(L^{ab}/L) \\ N_{L/K} \downarrow & & \downarrow \text{res} \\ K^{\times} & \xrightarrow[\cong]{\text{Art}_K} & W(K^{ab}/K) \end{array}$$

Rest of course: construct Artin map.

Prop 17.3 relationship between  $e_{L/K}$  and  $N_{L/K}$

let  $L/K$  be finite abelian deg  $n$ . then  $e_{L/K} = [\sigma_K^x : N_{L/K}(\sigma_K^x)]$

Proof given  $x \in L^*$ , we have  $v_K(N_{L/K}(x)) = f_{L/K} v_L(x)$  (follows since  $v_K = e \cdot v_L$ ) see example sheet why

have surjection

$$\frac{K^x}{N_{L/K}(L^x)} \xrightarrow{v_K} \frac{\mathbb{Z}}{f_{L/K}\mathbb{Z}} \quad \text{with kernel} \quad \frac{\sigma_K^x N_{L/K}(L^x)}{N_{L/K}(L^x)} \stackrel{\text{3rd iso thm}}{=} \frac{\sigma_K^x}{\sigma_K^x \cap N_{L/K}(L^x)} \Big/ \frac{\sigma_K^x}{N_{L/K}(\sigma_K^x)}$$

but then thm 17.2 (a) by above (size of image x size ker) why is this kernel?

$$n = [K^x : N_{L/K}(L^x)] = f_{L/K} [ \sigma_K^x : N_{L/K}(\sigma_K^x) ]$$

$$\Rightarrow [ \sigma_K^x : N_{L/K}(\sigma_K^x) ] = e_{L/K} \quad \blacksquare$$

Cor 17.4

$L/K$  finite Abelian, then  $L/K$  is unramified iff  $N_{L/K}(\sigma_K^x) = \sigma_K^x$  \blacksquare

§ Construction of Art @p.

$$\text{Recall } \mathbb{Q}_p^{un} = \bigcup_{m=1}^{\infty} \mathbb{Q}_p(\zeta_{p^m}) = \bigcup_{p \nmid m} \mathbb{Q}_p(\zeta_m)$$

$\mathbb{Q}_p(\zeta_{p^n})/\mathbb{Q}_p$  totally ramified of deg  $p^{n-1}(p-1)$  with  $\Theta_n: \text{Gal}(\mathbb{Q}_p(\zeta_{p^n})/\mathbb{Q}_p) \xrightarrow{\cong} (\mathbb{Z}/p^n\mathbb{Z})^x$

for  $n \geq m \geq 1$ ,  $\exists$  diagram

$$\begin{array}{ccc} \text{Gal}(\mathbb{Q}_p(\zeta_{p^n})/\mathbb{Q}_p) & \xrightarrow{\text{res}} & \text{Gal}(\mathbb{Q}_p(\zeta_{p^m})/\mathbb{Q}_p) \\ \downarrow \Theta_n & & \downarrow \Theta_m \\ (\mathbb{Z}/p^n\mathbb{Z})^x & \xrightarrow{\text{res}} & (\mathbb{Z}/p^m\mathbb{Z})^x \end{array}$$

$$\text{Set } \mathbb{Q}_p(\zeta_{p^\infty}) = \bigcup_{m=1}^{\infty} \mathbb{Q}_p(\zeta_{p^m})$$

then  $\mathbb{Q}_p(\zeta_{p^\infty})/\mathbb{Q}_p$  is Galois and have

$$\Theta: \text{Gal}(\mathbb{Q}_p(\zeta_{p^\infty})/\mathbb{Q}_p) \xrightarrow{\cong} \varprojlim_{n \geq 1} (\mathbb{Z}/p^n\mathbb{Z})^x \cong \mathbb{Z}_p^x$$

$$\text{we have } \mathbb{Q}_p(\zeta_{p^\infty}) \cap \mathbb{Q}_p^{un} = \mathbb{Q}_p$$

totally ram unram so it must be trivial extension.

By property of Galois extensions,

$$\text{get iso } \text{Gal}(\mathbb{Q}_p(\zeta_{p^\infty}) \cdot \mathbb{Q}_p^{un}/\mathbb{Q}_p) \cong \hat{\mathbb{Z}} \times \mathbb{Z}_p^x$$

Thm 17.5 (local - Kronecker - Weber)

$$\mathbb{Q}_p^{ab} = \underbrace{\mathbb{Q}_p^{\text{nr}}}_{\text{composition}} \mathbb{Q}_p(\xi_{p^m})$$

Proof omitted.

Construct Art  $\mathbb{Q}_p$  as follows:

$$\text{we have } \mathbb{Q}_p^{\times} \cong \mathbb{Z} \times \mathbb{Z}_p^{\times}$$

$$p^n \cdot u \quad \leftarrow (n, u)$$

$$\text{then, } \text{Art}_{\mathbb{Q}_p}(p^n \cdot u) = ((\text{Fr}_{\mathbb{Q}_p^{\text{nr}}/\mathbb{Q}_p})^n, \theta^{-1}(u))$$

$$\uparrow$$

$$\text{Gal}(\mathbb{Q}_p^{\text{nr}}/\mathbb{Q}_p) \times \text{Gal}(\mathbb{Q}_p(\xi_{p^m})/\mathbb{Q}_p)$$

$$\cong$$

$$\text{Gal}(\mathbb{Q}_p^{ab}/\mathbb{Q}_p)$$

image lies in  $W(\mathbb{Q}_p^{ab}/\mathbb{Q}_p)$

$$\theta: \text{Gal}(\mathbb{Q}_p(\xi_{p^m})/\mathbb{Q}_p) \cong \mathbb{Z}_p^{\times}$$

Week 7 lecture 3

§ Construction of Art K

let  $K$  be local field.  $\pi$  a uniformizer of  $K$ .

For  $n \geq 1$ , construct  $K_{\pi, n}$  totally ramified Galois extension s.t.

i)  $K \subseteq \dots \subseteq K_{\pi, n} \subseteq K_{\pi, n+1} \subseteq \dots$

ii) for  $n \geq m \geq 1$ ,  $\exists$  commutative diagram

$$\begin{array}{ccc} \text{Gal}(K_{\pi, n}/K) & \longrightarrow & \text{Gal}(K_{\pi, m}/K) \\ \uparrow \eta & \downarrow \psi & \downarrow \eta_m \\ \mathbb{O}_K^{\times} / \mathcal{U}_K^{(n)} & \xrightarrow{\text{res}} & \mathbb{O}_K^{\times} / \mathcal{U}_K^{(m)} \\ \parallel & & \parallel \\ (1 + \pi^n \mathcal{O}_K, x) & & (1 + \pi^m \mathcal{O}_K, x) \end{array}$$

iii) setting  $K_{\pi, \infty} = \bigcup_{n=1}^{\infty} K_{\pi, n}$  we have  $K^{ab} = K^{\text{un}} K_{\pi, \infty}$

then ii)  $\Rightarrow \exists$  iso (ex sheet 4)

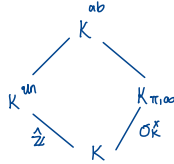
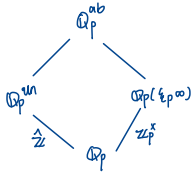
$$\uparrow: \text{Gal}(K_{\pi, \infty}/K) \xrightarrow{\cong} \varprojlim_{\leftarrow n} \mathbb{O}_K^{\times} / \mathcal{U}_K^{(n)} \cong \mathbb{O}_K^{\times}$$

We define the Artin map  $\text{Art}_K$  by

$$K^x \cong \mathbb{Z} \times \mathcal{O}_K^x \longrightarrow \text{Gal}(K^{un}/K) \times \text{Gal}(K_{\pi, \infty}/K) \stackrel{(iii)}{\cong} \text{Gal}(K^{ab}/K)$$

$$\pi^n u \mapsto (n, u) \longmapsto ((F_{K^{un}/K})^n, \psi^{-1}(u))$$

so image lies in  $w(K^{ab}/K)$



Both  $K_{\pi, \infty}$  and the iso  $K^x = \mathbb{Z} \times \mathcal{O}_K^x$  depend on  $\pi$ . For different choice of  $\pi$ , the maps defined agree. So  $\text{Art}_K$  is canonical.

For rest of course, construct  $K_{\pi, \infty}$ .

## VII Lubin-Tate Theory

### § Formal group laws

let  $R$  be a ring.

$$R \llbracket x_1, \dots, x_n \rrbracket = \left\{ \sum a_{k_1, \dots, k_n} x_1^{k_1} \dots x_n^{k_n}, k_1, \dots, k_n \in \mathbb{Z}_{\geq 0}, a_{k_1, \dots, k_n} \in R \right\}$$

def 18.1 1-dim formal group law. (power series behave like Lie group)

A (1-dim, formal) group law over  $R$  is a power series  $F(x, y) \in R \llbracket x, y \rrbracket$  satisfying:

- i)  $F(x, y) \equiv x + y$  (mod deg 2) [ignoring terms of deg 2]
- ii)  $F(x, F(y, z)) = F(F(x, y), z)$  (associativity)
- iii)  $F(x, y) = F(y, x)$  (commutativity)

Eg  $\hat{G}_a \llbracket x, y \rrbracket = x + y$  formal additive gp

$\hat{G}_m \llbracket x, y \rrbracket = x + y + xy$  formal multiplicative gp

$$F(x, F(y, z)) = F(x, y + yz) = x + y + yz + x(y + yz) = x + y + yz + xy + xz + xyz$$

## Lemma 18.2. Properties of formal group law

Let  $F$  be a formal group law over  $R$ .

$$i) F(x, 0) = x, F(0, y) = y$$

ii)  $\exists$  a unique  $i(x) \in xR[[x]]$  s.t.

$$F(x, i(x)) = 0$$

Proof. Example sheet 4.

## Prop. Formal group law convergence

Let  $K$  be a complete non-arch valued field.  $F$  a formal group law over  $\mathcal{O}_K$ .

Then  $F(x, y)$  converge  $\forall x, y \in \mathfrak{m}_K$  to an element in  $\mathfrak{m}_K$ . ( $\mathfrak{m}_K$  is the residue field of  $K$ ).

Why converge?

def.  $(\mathfrak{m}_K, \cdot_F)$  as a group

define  $x \cdot_F y = F(x, y)$ , this turns  $(\mathfrak{m}_K, \cdot_F)$  into a commutative group.

$$\text{E.g. } \hat{G}_m / \mathbb{Z}_p, x \cdot_{\hat{G}_m} y = x + y + xy \quad (x, y \in \mathbb{P}\mathbb{Z}_p)$$

$$(\mathbb{P}\mathbb{Z}_p, \cdot_{\hat{G}_m}) \cong (\mathbb{1} + \mathbb{P}\mathbb{Z}_p, \cdot)$$

$$x \mapsto 1+x$$

## def 18.3 homomorphism and isomorphism of formal group laws

let  $F, G$  be formal group laws over  $R$ . A homomorphism  $f: F \rightarrow G$  is an element  $f(x) \in xR[[x]]$  s.t.

$$f(F(x, y)) = G(f(x), f(y))$$

A homomorphism  $f: F \rightarrow G$  is an isomorphism if  $\exists g: G \rightarrow F$ , s.t.  $f(g(x)) = x, g(f(x)) = x$

define  $\text{End}_R(F)$  to be set of homs  $f: F \rightarrow F$ .

## Prop 18.4 exp is an iso of formal gp laws

let  $R$  be a  $\mathbb{Q}$ -algebra. Then there's an iso of formal group laws

$$\text{exp: } \hat{G}_a \xrightarrow{\cong} \hat{G}_m$$

$$\text{exp}(x) = \sum_{n=1}^{\infty} \frac{x^n}{n!}$$

Proof define  $\log(x) = \sum_{n=1}^{\infty} (-1)^{n+1} \frac{x^n}{n!}$

then  $\exists$  equality of formal power series

$$\begin{cases} \log(\exp(x)) = \exp(\log(x)) = x \\ \exp(\hat{G}_m(x, y)) = \hat{G}_m(\exp(x), \exp(y)) \end{cases}$$

How to verify this?

verification process

$$\begin{aligned} & \log(\exp(x)) \\ &= \log\left(\sum_{n=1}^{\infty} \frac{x^n}{n!}\right) \\ &= \sum_{m=1}^{\infty} (-1)^{m+1} \frac{\left(\sum_{n=1}^{\infty} \frac{x^n}{n!}\right)^m}{m!} \end{aligned}$$

power  $x^1: x$   
power  $x^k: ?$

Lemma 18.5

*in general: non commutative*

$\text{End}_R(F)$  is a ring with addition  $f +_F g(x) = F(f(x), g(x))$  and multiplication given by composition.

Proof show well defined. (i.e.  $f +_F g, f \circ g \in \text{End}_R(F)$ )

let  $f, g \in \text{End}_R(F)$ .

$$\begin{aligned} (f +_F g) \circ F(x, y) &= F(f(F(x, y)), g(F(x, y))) \\ &\stackrel{\text{defn of } f \text{ as homomorphism}}{=} F(F(f(x), f(y)), F(g(x), g(y))) \\ &\stackrel{\text{assoc + comm}}{=} F(F(f(x), g(x)), F(f(y), g(y))) \\ &= F(f +_F g(x), f +_F g(y)) \end{aligned}$$

$\Rightarrow f +_F g \in \text{End}_R(F)$

$f \circ g \circ F = f \circ F \circ g = F \circ f \circ g$  so  $f \circ g \in \text{End}_R(F)$

to check ring axioms is an exercise

§ Lubin Tate formal group

$K$  local field.  $|K| = q$ .

defn 19.1 Formal  $\mathcal{O}_K$ -module

A formal  $\mathcal{O}_K$  module of  $\mathfrak{t}_K$  is a formal group law  $F(x, y) \in \mathcal{O}_K[[x, y]]$  together with a ring hom

$$[\ ]_F : \mathcal{O}_K \longrightarrow \text{End}_{\mathcal{O}_K}(F) \quad \text{s.t.} \quad \forall a \in \mathcal{O}_K, [a]_F(x) \equiv ax \pmod{x^2}$$

### def. hom / iso of formal $\mathcal{O}_K$ modules.

A hom/iso  $f: F \rightarrow G$  of formal  $\mathcal{O}_K$  modules is a hom/iso of formal group laws s.t.  $f \circ [a]_F = [a]_G \circ f \quad \forall a \in \mathcal{O}_K$ .

### def. Lubin-Tate Series

Let  $\pi \in \mathcal{O}_K$  be a uniformizer. Then a Lubin-Tate series for  $\pi$  is a power series  $f(x) \in \mathcal{O}_K[[x]]$  s.t.

$$a) f(x) \equiv \pi x \pmod{x^2}$$

$$b) f(x) \equiv x^q \pmod{(\pi)}$$

E.g. if  $K = \mathbb{Q}_p$ ,  $f(x) = (x+1)^p - 1$  is a Lubin-Tate series for  $p$ .

### Week 8 Lec 1

$K$  local field,  $\pi$  uniformizer,  $|K| = q$ .

#### Thm 1.3 (Big theorem, to be proven later)

Let  $f(x)$  be a Lubin-Tate series for  $\pi$ .

Then, there are three properties for  $f(x)$ .

i)  $\exists$  a unique formal group law  $F_f$  over  $\mathcal{O}_K$  s.t.  $f \in \text{End}_{\mathcal{O}_K}(F_f)$

ii)  $\exists$  a ring hom

$[ ]_f: \mathcal{O}_K \rightarrow \text{End}_{\mathcal{O}_K}(F_f)$  which implies  $F_f$  is a formal  $\mathcal{O}_K$  module over  $\mathcal{O}_K$ .

iii) If  $g(x)$  is another formal Lubin-Tate series for  $\pi$ , then  $F_f \cong F_g$  as formal  $\mathcal{O}_K$  modules.

(Proof is shown later in this lecture)

#### def. The Lubin-Tate formal gp law

Given  $\pi$ , then  $F_f$  is the unique Lubin-Tate formal group law for  $\pi$ .

(only depends on  $\pi$  up to iso)

- Think of  $\text{End}$  as where you can make  $F(f(x), f(y)) = f(F(x, y))$  commute
- Formal  $\mathcal{O}_K$  module: give an element in  $\mathcal{O}_K$ , spit out a  $f \in \mathcal{O}_K[[x]]$  that commutes with  $F_f$ .

Example for Lubin Tate Formal group

$K = \mathbb{F}_p$ ,  $f(x) = (x+1)^p - 1$ . This is a Lubin-Tate series. The Lubin-Tate formal group  $\hat{F}_f$  is  $\hat{G}_m$ .

Suffice to show  $f \circ \hat{G}_m = \hat{G}_m$  if

$$\begin{aligned} f \circ \hat{G}_m(x, y) &= f(x+y+xy) = (x+y+xy+1)^p - 1 = (x+1)(y+1)^p - 1 \\ \hat{G}_m(f(x), f(y)) &= \hat{G}_m((x+1)^p - 1, (y+1)^p - 1) = (x+1)^{p-1} + (y+1)^{p-1} + ((x+1)^p - 1)((y+1)^{p-1}) \\ &= \cancel{(x+1)^p} + \cancel{(y+1)^p} - 2 + (x+1)^p(y+1)^{p-1} - \cancel{(x+1)^p} - \cancel{(y+1)^p} + 1 \\ &= (x+1)^p(y+1)^{p-1} \end{aligned}$$

Lemma 19.4 (key lemma to prove 19.3)

Let  $f(x), g(x)$  be Lubin-Tate series for  $\pi$ . Let  $L(x_1, \dots, x_n) = \sum_{i=1}^n a_i x_i$ ,  $a_i \in \mathcal{O}_K$

then  $\exists$  a unique power series  $F(x_1, \dots, x_n) \in \mathcal{O}_K[[x_1, \dots, x_n]]$  s.t.

- i)  $F(x_1, \dots, x_n) \equiv L(x_1, \dots, x_n) \pmod{\text{degree } d}$ . i.e.  $L$  be any  $\mathcal{O}_K$  lin. combo of  $x_i$ .
  - ii)  $f(F(x_1, \dots, x_n)) = F(g(x_1), g(x_2), \dots, g(x_n))$ . Then exists  $F \equiv L \pmod{\text{deg } d}$  s.t.  $F$  commutes.
- i.e.  $f \circ F = F \circ g$

Proof: (idea: approximate power series by polynomials)

We will show by induction that  $\exists F_m \in \mathcal{O}_K[[x_1, \dots, x_n]]$  of total degree  $\leq m$ , s.t.

- a)  $f(F_m(x_1, \dots, x_n)) \equiv F_m(g(x_1), g(x_2), \dots, g(x_n)) \pmod{\text{deg } m+1}$
- b)  $F_m(x_1, \dots, x_n) \equiv L(x_1, \dots, x_n) \pmod{\text{deg } d}$
- c)  $F_m \equiv F_{m+1} \pmod{\text{deg } m+1}$

so we proceed by induction.

For  $m=1$ , take  $F_1 = L$  (b) is automatically satisfied  $\checkmark$

to check a),  $f(F_1(x_1, \dots, x_n)) \equiv \pi F_1(x_1, \dots, x_n) \pmod{\text{deg } 2}$

$\begin{matrix} \uparrow \\ f(x) = \pi x \pmod{\text{deg } 2} \\ f \text{ is L-T} \end{matrix}$

$$\equiv \pi L(x_1, \dots, x_n) \equiv \pi \sum a_i x_i = \sum a_i (\pi x_i)$$

Because  $g$  is also Lubin-Tate  $g(x) = \pi x \pmod{\text{deg } 2}$   $\rightarrow \equiv F_1(g(x_1), \dots, g(x_n)) \pmod{\text{deg } 2}$ . so a) is satisfied.



Now, inductive step. Suppose  $F_m$  constructed for  $m \geq 1$

Set  $F_{m+1} = F_m + h$ ,  $h \in \mathbb{O}_k[x_1, \dots, x_n]$ , homogenous of degree  $m+1$ .  $h$  is a polynomial whose value is TBD.

Then, since  $f(x+y) = f(x) + f'(x)y + y^2(\dots)$  showed up in Hensel's lemma

and these two properties combine

$$f'(x) \equiv \pi \pmod{x}$$

$$f_0(F_m + h) = f(F_m) + f'(F_m)h + h^2(\dots)$$

$$\equiv f_0 F_m + \pi h \pmod{\deg m+2}$$

I don't see why  $f'(F_m) = \pi$ ?

$$g(x) \equiv \pi x \pmod{x^2}$$

Similarly,  $(F_m + h) \circ g \equiv F_m \circ g + h(\pi x_1, \dots, \pi x_n) \pmod{\deg m+2}$

$$\equiv F_m \circ g + \pi^{m+1} h(x_1, \dots, x_n) \pmod{\deg m+2}$$

Thus (a) + (b) + (c) are satisfied iff

$$f_0 F_m - F_m \circ g \equiv (\pi - \pi^{m+1}) h \pmod{\deg m+2}$$

for a), note that a) is true iff  $f_0(F_m + h) - (F_m + h) \circ g \equiv 0 \pmod{\deg m+2}$

Why? c) is automatically satisfied by construction of  $h$ . b) is always satisfied b/c odd things  $> \deg 2$ . just need a) left.

we know  $f_0(F_m + h) - (F_m + h) \circ g \equiv f_0 F_m - F_m \circ g - (\pi - \pi^{m+1}) h \pmod{\deg m+2}$

hence this is 0 mod deg m+2

2<sup>nd</sup> property of Lubin Tate is useful now.

Note that  $f(x) \equiv g(x) \equiv x^2 \pmod{\pi}$ .

So that  $f_0 F_m - F_m \circ g \equiv F_m(x_1, \dots, x_n)^2 - F_m(x_1^2, \dots, x_n^2) \pmod{\pi}$ .

polynomial is a homomorphism in modulo  $\pi$

$$\equiv 0 \pmod{\pi} \quad ???$$

Thus that  $f_0 F_m - F_m \circ g \in \pi \mathbb{O}_k[x_1, \dots, x_n]$ .

let  $\pi(x_1, \dots, x_n)$  be deg  $m+1$  terms in  $f_0 F_m - F_m \circ g$ .

then set  $h := \frac{1}{\pi(1-\pi^m)} \pi \in \mathbb{O}_k[x_1, \dots, x_n]$  (i.e.  $f_0 F_m - F_m \circ g \equiv (\pi - \pi^{m+1}) h \pmod{\deg m+2}$ )

so that  $F_{m+1}$  satisfies (a) + (b) + (c)

This is unique since  $h$  is determined by property a).

Set  $F = \lim_{m \rightarrow \infty} F_m \in \mathbb{O}_k[x_1, \dots, x_n]$  by (i). Then  $F(x_1, \dots, x_n)$  satisfies (i) and (ii).

The uniqueness of  $F$  follows from uniqueness of  $F_m$ .



Proof of thm 19.3

We'll prove i), ii), iii) in order.

i) By lemma 19.4, There exists a unique  $F_f(x, y) \in \mathcal{O}_k[[x, y]]$  s.t.   
 •  $F_f(x, y) \equiv x+y \pmod{\text{deg } 2}$    
 •  $f(F_f(x, y)) = F_f(f(x), f(y))$

proven for  $x_0, \dots, x_n$  but use it for just  $x, y$  and  $f=g$

Now, we see that  $F_f$  is a formal group law and  $f$  is in  $\text{End}_{\mathcal{O}_k}(F_f)$    
 $f \in \text{End}_{\mathcal{O}_k}(F_f)$  is given by this

Associativity:

$$\begin{aligned} F_f(x, F_f(y, z)) &\equiv x+y+z \pmod{\text{deg } 2} \\ &\equiv F_f(F_f(x, y), z) \pmod{\text{deg } 2}. \end{aligned}$$

and that

$$\begin{aligned} f \circ F_f(x, F_f(y, z)) &= F_f(f(x), f(F_f(y, z))) \\ &= F_f(f(x), F_f(f(y), f(z))) \end{aligned}$$

Similarly,

$$\begin{aligned} f \circ F_f(F_f(x, y), z) &= F_f(f \circ F_f(x, y), f(z)) \\ &= F_f(F_f(f(x), f(y)), f(z)) \end{aligned}$$

By uniqueness in lemma 19.4,  $F_f$  satisfy i) and ii) in lemma, such  $F_f$  is unique so we must get associativity.

commutativity (similar method, fill in!)

$F_f$  is again unique as the uniqueness in lemma 19.4.

$F(x, 0) = x$  and  $F(0, y) = y$  by uniqueness.

ii)  $F_f$  is a formal  $\mathcal{O}_k$ -module.

By lemma 19.4, for  $a \in \mathcal{O}_k$ , using lemma for 1 var instead of  $n$  or  $2$ .

$$\exists! [a]_{F_f} \in \mathcal{O}_k[[X]] \text{ s.t.}$$

- $[a]_{F_f} \equiv ax \pmod{x^2}$
- $f \circ [a]_{F_f} = [a]_{F_f} \circ f$

Then  $[a]_{F_f} \circ F_f \equiv aX + bY \equiv F_f \circ [a]_{F_f} \pmod{\text{deg } 2}$ .

and that  $\left. \begin{aligned} f \circ [a]_{F_f} \circ F_f &= [a]_{F_f} \circ f \circ F_f = [a]_{F_f} \circ F_f \circ f \\ f \circ F_f \circ [a]_{F_f} &= F_f \circ f \circ [a]_{F_f} = F_f \circ [a]_{F_f} \circ f \end{aligned} \right\} \text{ not sure this step.}$

So  $[a]_{F_f} \circ F_f = F_f \circ [a]_{F_f}$ . Therefore  $[a]_{F_f} \in \text{End } \mathcal{O}_K(F_f)$ .

$\hookrightarrow$  The map  $\Gamma : \mathcal{O}_K \rightarrow \text{End } \mathcal{O}_K(F_f)$  is a ring hom. by uniqueness.

$\hookrightarrow F_f$  is a formal  $\mathcal{O}_K$ -module.

$\hookrightarrow [\pi]_{F_f} = f$  by uniqueness.

iii) WTS if  $g$  is another L.T. series, then the two  $F_f$  gives iso of formal  $\mathcal{O}_K$  modules.

let  $g(x)$  be another L.T. series for  $\pi$ .

let  $\theta(x) \in \mathcal{O}_K[[x]]$  be unique power series s.t.  $\theta(x) \equiv x \pmod{x^2}$  and  $\theta \circ f = g \circ \theta$

then by uniqueness,  $\theta \circ F_f = F_g \circ \theta(x)$  (uniqueness) ?

$\Rightarrow \theta \in \text{Hom}(F_f, F_g)$

reversing roles of  $f, g \rightarrow$  obtain  $\theta^{-1}(x) \in \mathcal{O}_K[[x]]$ , s.t.  $\theta^{-1} \in \text{Hom}_{\mathcal{O}_K}(F_g, F_f)$ .

then  $\theta^{-1} \circ \theta = x$  and  $\theta \circ \theta^{-1}(x) = x$  (uniqueness)  $\Rightarrow \theta$  is an iso.

(uniqueness)  $\Rightarrow \theta \circ [a]_{F_f}(x) = [a]_{F_g} \circ \theta(x) \quad \forall a \in \mathcal{O}_K$ . and hence  $\theta$  is an isomorphism of formal  $\mathcal{O}_K$  module. ▣

## Week 8 lec 2

### Lubin - Tate extensions

$K$  a non-arch local field.  $|K| = q$ .  $\pi$  is a unif.

$\bar{K}$  alg closure of  $K$  and  $\bar{m} \subseteq \bar{\mathcal{O}}_K$  the max ideal.

alg clo of local is local?

### lemma 20.1 $\bar{m}$ as an $\mathcal{O}_K$ -module

$F$  a formal  $\mathcal{O}_K$ -module over  $\mathcal{O}_K$ . Then  $\bar{m}$  is an (genuine)  $\mathcal{O}_K$  module with

$$x +_F y = F(x, y), \quad x, y \in \bar{m}$$

$$a \cdot x = [a]_F(x), \quad x \in \bar{m}, a \in \mathcal{O}_K$$

$\text{End}_{\mathcal{O}_K} F$ , power series in 1 variable

these power series are evaluated.

Proof: Note that  $\mathbb{K}$  is not complete. (did we prove this?)

$x \in \bar{m} \Rightarrow x \in mL$  for some  $L$  s.t.  $L/\mathbb{K}$  is finite.

Show  $[a]_F(x) \in \bar{m}$ :

$[a]_F \in \mathcal{O}_K \setminus \mathbb{K} \Rightarrow [a]_F(x)$  converges in  $L$ . Since  $m_L$  is closed,  $[a]_F(x) \in m_L \subseteq \bar{m}$ .

Show  $x +_F y \in \bar{m}$ :

$x +_F y = F(x, y)$ .  $x, y \in mL$ .  $F(x, y)$  converges in  $L$ .  $m_L$  closed so  $F(x, y) \in m_L \subseteq \bar{m}$ .

The module structure follows from definition.

def. The  $\pi^n$  torsion group

$f(x)$  Lubin-Tate Series.  $f_F$  Lubin-Tate formal group law.

The  $\pi^n$ -torsion group is

$\mathcal{U}_{f, n} := \{x \in \bar{m} \mid \pi^n \cdot_{f_F} x = 0\}$  remember:  $x \cdot_{f_F} y = F(x, y)$

Why are they equivalent?

$\{x \in \bar{m} \mid f_n(x) = \underbrace{f \circ \dots \circ f}_n(x) = 0\}$

Why those two series equivalent?

$f_F(\pi^n, x)$

$F(f^n(\pi), f^n(x)) = f^n[F(\pi, x)]$

Facts:  $\mathcal{U}_{f, n}$  is an  $\mathcal{O}_K$ -module

$x, y \in \mathcal{U}_{f, n}$

$x +_F y = F(x, y)$

$F(\pi^n, F(x, y))$

$= F(F(\pi^n), x, y)$  ?

$= F(0, y) = 0$

$\mathcal{U}_{f, n} \subseteq \mathcal{U}_{f, n+1}$

Example for Torsion group

$K = \mathbb{Q}_p$ ,  $f(x) = (x+1)^p - 1$  is a Lubin-Tate series.

$$[p^n]_{f_F}(x) = \underbrace{f \circ f \circ \dots \circ f}_n(x) = (x+1)^{p^n} - 1.$$

this equality I don't get

with  $[p^n]_{f_F}(x) \stackrel{f_F}{=} \pi^n \cdot_{f_F}(x) \stackrel{f_F}{=} f_n(x)$

$\mathcal{O}_K$ -module scalar mult, I don't get there

Thus  $\mathcal{U}_{f, n} = \{ \sum_{i=0}^{p^n-1} \zeta^i - 1 \mid \zeta = \omega_1, \dots, \omega_{p^n-1} \}$ .

now let  $f(x) = \pi x + x^2$  - Lubin-Tate series for  $\pi$ .

then  $f_n(x) = f \circ f_{n-1}(x) = f(f_{n-1}(x))$

$$= \pi f_{n-1}(x) + (f_{n-1}(x))^2 = f_{n-1}(x)(\pi + f_{n-1}(x)^{2^{-1}})$$

Set  $h_n(x) := \frac{f_n(x)}{f_{n-1}(x)} = \pi + f_{n-1}(x)^{2^{-1}}$  by convention  $f_0(x) = x$ .

def  $f(x)$ ,  $f_n(x)$ ,  $h_n(x)$  in this context

Prop 20.3.  $h_n(x)$  is a separable Eisenstein poly of degree  $q^{n-1}(q-1)$

Proof:

It's clear  $h_n(x)$  is monic of degree  $q^{n-1}(q-1)$ .

$$f(x) \equiv x^q \pmod{\pi} \Rightarrow f_{n-1}(x)^{q-1} \equiv (x^q)^{q-1} = x^{q(q-1)} \pmod{\pi}$$

Since  $f_{n-1}$  has no constant terms,  $h_n = \pi + f_{n-1}(x)^{q-1}$  has constant term  $\pi$ . So  $h_n$  is Eisenstein.

Since  $h_n(x)$  is irreducible,  $h_n(x)$  is separable in two situations

$\left. \begin{array}{l} \text{either char } K=0 \\ \text{or char } K=p \text{ and } h'_n(x) \neq 0. \end{array} \right\}$

assume char  $K=p$ . induct on  $n$ .

$$h_1(x) = \pi + x^{q-1} \text{ is separable.}$$

Suppose  $h_{n-1}(x), \dots, h_1(x)$  are separable.

then  $f_{n-1}(x) = h_{n-1}(x) \cdots h_1(x)$  is separable. (as it's a prod of separable irred. poly of diff degrees)

$$h_n(x) = \pi + f_{n-1}(x)^{q-1}$$

$$h'_n(x) = \underbrace{(q-1)}_{\neq 0} \underbrace{(f_{n-1}(x))^{q-2}}_{\neq 0} \cdot \underbrace{f'_{n-1}(x)}_{\neq 0} \quad \leftarrow \text{as } f_{n-1} \text{ is separable.}$$

So  $h_n(x)$  is separable.

Proof scheme: (fill later).

Prop 20.4  $\mathcal{M}_{f,n}$ 's module structure and iso of  $\mathcal{O}_K$ -modules.

i)  $\mathcal{M}_{f,n}$  is a free module of rank 1 over  $\mathcal{O}_K/\pi^n \mathcal{O}_K$

ii) if  $g$  is another Lubin-Tate series for  $\pi$ , then  $\mathcal{M}_{f,n} \cong \mathcal{M}_{g,n}$  as  $\mathcal{O}_K$ -modules and  $K(\mathcal{M}_{f,n}) = K(\mathcal{M}_{g,n})$

Proof:

i) let  $\alpha \in K$  be a root of  $h_n(x)$ . Since  $h_n(x)$ ,  $f_{n-1}(x)$  is coprime,

we have  $\alpha \in \mathcal{M}_{f,n} \setminus \mathcal{M}_{f,n-1}$   $\leftarrow$   $\alpha$  not a root of  $f_{n-1}(x)$ .

$$\uparrow$$

$$\text{since } f_n(x) = h_n(x)f_{n-1}(x)$$

Then the map

$$\tilde{\varphi}: \mathcal{O}_K \longrightarrow U_{f,n}$$

$$a \longmapsto a_{F_f} \alpha$$

is an  $\mathcal{O}_K$  module homomorphism with  $\pi^n \mathcal{O}_K \subseteq \ker \tilde{\varphi}$  since  $\alpha \in U_{f,n}$ .

$$\text{as } \pi^n \cdot \alpha = 0 \quad \left( U_{f,n} = \{ \alpha \in \bar{\pi} \mid \pi^n \cdot_{F_f} \alpha = 0 \} \right)$$

(furthermore, as  $\alpha \in U_{f,n}/U_{f,n-1}$ ,  $\pi^{n-1} \cdot_{F_f} \alpha \neq 0 \Rightarrow \pi^n \mathcal{O}_K = \ker \tilde{\varphi}$ .)

Thus  $\tilde{\varphi}$  induces an injection:

$$\varphi: \mathcal{O}_K / \pi^n \mathcal{O}_K \longrightarrow U_{f,n}$$

since  $f_n(x)$  is separable,

$$|U_{f,n}| = \deg f_n(x) = q^n = |\mathcal{O}_K / \pi^n \mathcal{O}_K|$$

so  $\varphi$  is an isomorphism by counting.

Proof scheme: (fill later).

(i) let  $\theta \in \text{Hom}_{\mathcal{O}_K}(F_f, F_g)$  isomorphism of formal  $\mathcal{O}_K$ -modules.

then  $\theta$  induces a isomorphism  $\theta: (\bar{m}, +_{F_f}, \cdot_{F_f}) \xrightarrow{\sim} (\bar{m}, +_{F_g}, \cdot_{F_g})$  ???

(lemma 20.1)  $\Rightarrow U_{f,n} \cong U_{g,n}$ . ???

Since  $U_{f,n}$  is algebraic,  $K(U_{f,n})/K$  is finite and complete.

Since that  $\theta(x) \in \mathcal{O}_K[[x]]$ , for  $x \in U_{f,n}$ ,  $\theta(x) \in K(U_{f,n})$ . ???  $\theta(x) \in K(U_{g,n})$ ?

So  $K(U_{g,n}) \subseteq K(U_{f,n})$

Same argument for  $\theta^{-1}$  gives  $K(U_{f,n}) \subseteq K(U_{g,n})$

$$\Rightarrow K(U_{g,n}) = K(U_{f,n}) \quad \square$$

def. Lubin-Tate extensions

$K_{\pi,n} := K(U_{f,n})$ .  $K_{\pi,n}$  are called Lubin-Tate extensions.

**Bemerk** 1)  $K_{\pi,n}$  doesn't depend on  $f$  by prop 20.4.

2)  $K_{\pi,n} \subseteq K_{\pi,n+1}$

Prop 2.6  $K_{\pi,n}$  are totally ramified and Galois extension of degree  $q^n(q-1)$

Proof: We may choose  $f(x) = \pi x + x^q$ .

$K_{\pi,n}/K$  is Galois since  $K_{\pi,n} = K(\mu_{f,n})$ .  $K_{\pi,n}/K$  Galois since  $K_{\pi,n} = K(\mu_{f,n})$  is splitting field of  $f(x)$ .

let  $\alpha$  be a root of  $h_n = \frac{f_n(x)}{f_n(x)}$

suffice to show  $K(\alpha) = K(\mu_{f,n})$ . since  $\alpha$  is a root of Eisenstein poly of deg  $q^n(q-1)$

" $\subseteq$ " clear.

" $\supseteq$ " By proposition, every element  $x \in \mu_{f,n}$  is a form of  $a \cdot_{\mathbb{F}_q} \alpha$  for some  $a \in \mathcal{O}_K$ .

( $\mu_{f,n}$  is a rank 1 free mod over  $\mathcal{O}_K/\pi^n \mathcal{O}_K$ )

$K(\alpha)$  is complete, and  $[\mathbb{C} \int_{\mathbb{F}_q} (x) \in \mathcal{O}_K \int_{\mathbb{F}_q} x]$

(as  $\alpha \in (\mu_{f,n} \setminus \mu_{f,n+1})$ )

$$\Rightarrow x = [\mathbb{C} \int_{\mathbb{F}_q} (\alpha) \in K(\alpha)$$

$$\Rightarrow K(\alpha) \supseteq K(\mu_{f,n})$$

Proof scheme: (fill later).

### Week 8 Lec 3

$K$  a local field.  $|K| = q$ ,  $\pi$  a unif.  $f$  Lubin-Tate series  $\pi x + x^q$ .

thm 2.7 isomorphism between Lubin-Tate extension and quotients

There are isomorphisms

$$\psi_n: \text{Gal}(K_{\pi,n}/K) \cong (\mathcal{O}_K/\pi^n \mathcal{O}_K)^{\times}$$

determined by

$$(2) \psi_n(\sigma) \cdot_{\mathbb{F}_q} x = \sigma(x), \forall x \in \mu_{f,n}, \sigma \in \text{Gal}(K_{\pi,n}/K).$$

$\psi_n$  does not depend on  $f$ .

Proof:

let  $\sigma \in \text{Gal}(K_{\pi,n}/K)$

then  $\sigma$  preserves  $\mu_{f,n}$  forson and act continuously on  $K(\mu_{f,n}) = K_{\pi,n}$ .

Since  $\mathbb{F}_q(x, y) \in \mathcal{O}_K \int_{\mathbb{F}_q} x, y$ , and  $[\mathbb{C} \int_{\mathbb{F}_q} x] \in \mathcal{O}_K \int_{\mathbb{F}_q} x$ . for all  $a \in \mathcal{O}_K$ , we have continuity for  $\sigma$ .

$$\text{Continuity for } \sigma \Rightarrow \left\{ \begin{array}{l} \sigma(x \cdot_{\mathbb{F}_q} y) = \sigma(x) +_{\mathbb{F}_q} \sigma(y) \\ \sigma(a \cdot_{\mathbb{F}_q} x) = a +_{\mathbb{F}_q} \sigma(x) \end{array} \right.$$

$$\forall x, y \in \mu_{f,n}$$

$$\forall x \in \mu_{f,n}, a \in \mathcal{O}_K$$

Thus  $\sigma \in \text{Aut}_{\sigma_K}(M, \pi) \longleftarrow \text{Aut}$  as an  $\sigma_K$ -module.

This induces a group homomorphism

$$\text{Gal}(K_{\pi, n}/K) \hookrightarrow \text{Aut}_{\sigma_K}(M, \pi)$$

this is injective since  $K_{\pi, n} = K(M, \pi)$ .

Why injective?

Since  $\pi, \pi^n \cong \sigma_K/\pi^n$  as an  $\sigma_K$  module.

$$\text{Aut}_{\sigma_K}(M, \pi) \cong \text{Aut}_{\sigma_K/\pi^n}(M, \pi) \cong (\sigma_K/\pi^n)^{\times}$$

(this is because  $\text{Aut}_R(M) = R^{\times}$  for  $M$  free rank 1 module over  $R$ )

Obtain  $\gamma_n: \text{Gal}(K_{\pi, n}/K) \hookrightarrow (\sigma_K/\pi^n)^{\times}$  defined by

$\gamma_n(\sigma) \in (\sigma_K/\pi^n)^{\times}$  be unique element s.t.

$$\gamma_n(\sigma) \cdot_{F_f} x = \sigma(x) \quad \forall x \in M, \pi.$$

$$[K_{\pi, n}:K] = q^{n-1}(q-1) = |(\sigma_K/\pi^n)^{\times}| \Rightarrow \gamma_n \text{ surjective by counting.}$$

Now, let  $g$  be another Lubin-Tate series, we obtain

$$\gamma_n': \text{Gal}(K_{\pi, n}/K) \xrightarrow{\sim} (\sigma_K/\pi^n)^{\times}$$

let  $\theta: F_f \rightarrow F_g$  be iso of formal  $\sigma_K$ -modules (prop 19.2  $\Rightarrow$  this) thus induces isomorphism

$$\theta: M, \pi \xrightarrow{\sim} M, \pi \text{ of } \sigma_K\text{-modules.}$$

$$\text{hence for } x \in M, \pi, \theta(\gamma_n(\sigma) \cdot_{F_f} x) = \gamma_n(\sigma) \cdot_{F_g} \theta(x)$$

but  $\theta \in \sigma_K[[X]]$  has coefficient in  $\sigma_K$ ,

$$\Rightarrow \theta(\sigma(x)) = \sigma(\theta(x)) \text{ (continuity) } \forall x \in M, \pi$$

$$\Rightarrow \theta(\gamma_n(\sigma) \cdot_{F_f} x) = \theta(\sigma(x)) = \sigma(\theta(x)) = \gamma_n'(\sigma) \cdot_{F_g} \theta(x)$$

$$\Rightarrow \gamma_n(\sigma) = \gamma_n'(\sigma)$$

Proof scheme: (fill later).

★ unfamiliar w/ this proof.

???



def  $K_{\pi, \infty}$

$$K_{\pi, \infty} := \bigcup_{n=1}^{\infty} K_{\pi, n}$$

$$\psi: \text{Gal}(K_{\pi, \infty}/K) \cong \varprojlim_n (\mathcal{O}_K/\pi^n)^{\times} \cong \mathcal{O}_K^{\times}$$

does not depend on the choice of Lubin Tate element

Thm (Generalized Kummer - Weber)

$$K^{ab} = K_{\pi, \infty} K^{ur}$$

pf: omit

Construction of the Artin map

recall  $\psi: \text{Gal}(K_{\pi, \infty}/K) \rightarrow \mathcal{O}_K^{\times}$

Art<sub>K</sub> is defined by:

$$K^{\times} \hookrightarrow \mathbb{Z} \times \mathcal{O}_K^{\times} \longrightarrow \text{Gal}(K^{ur}/K) \times \text{Gal}(K_{\pi, \infty}/K) \cong \text{Gal}(K^{ab}/K)$$

$$\pi^n u \longleftarrow (n, u) \longrightarrow \left( \text{Fr}_{K^{ur}/K}^n, \psi^{-1}(u) \right)$$

the image of Art<sub>K</sub> lands in  $W(K^{ab}/K)$ , so Art<sub>K}:  $K^{\times} \hookrightarrow W(K^{ab}/K)$</sub>

image of Art<sub>K</sub> equal to  $W(K^{ab}/K)$

Remark: independent of choice of  $\pi$ .

End of Examinable Materials.

## Local fields summary (important theorems)

- lemma: 4 equivalent conditions for  $V$  discrete:
  - ↳  $V$  is discrete
  - ↳  $\mathcal{O}_K$  PID
  - ↳  $\mathcal{O}_K$  Noetherian
  - ↳  $\mathfrak{m}$  is principal
- lemma: field to DVR, and DVR to field to  $\mathcal{O}_K$ .
- Prop:  $\mathcal{O}_K \cong \varprojlim_n \mathcal{O}_K / \pi^n \mathcal{O}_K$ , every  $x \in \mathcal{O}_K$  written uniquely as  $\sum_{i=0}^{\infty} a_i \pi^i$ ,  $a_i \in \mathcal{O}_K / \pi \mathcal{O}_K$ .
- Thm: Hensel's lemma
- Thm: lifting root version of Hensel's lemma.
- Thm: Teichmüller lift thm.
- Thm:  $L/K$  finite then  $v_L$  extends uniquely to absolute values on  $L$ .  
 $v_L: L \rightarrow \mathbb{R}$   $|y|_L = |N_{L/K}(y)|_K^{1/m}$ .  $L$  is complete w.r.t.  $v_L$ .
- Lem:  $\mathcal{O}_K^{m(L)} = \mathcal{O}_L$
- Prop:  $\mathcal{O}_K \cong \varprojlim_n \mathcal{O}_K / \pi^n$  is iso
- Prop: finite extension of local field is local
- Thm: Ostrowski's theorem: Any nontrivial abs val on  $\mathbb{Q}$  is equivalent to either  $v_{|\cdot|}$  or  $p$ -adic abs val for some  $p$ .
- Summary of classification of local fields: any LF is isomorphic to
  - 1)  $\mathbb{R}, \mathbb{C}$  (Arch)
  - 2)  $\mathbb{F}_p((t))$  (Non-arch, = char)
  - 2) finite ext of  $\mathbb{F}_p$  (non-arch, mixed char)
- Prop. Nearby polynomials define same extensions
- Thm. Local fields are completion of global fields.
- Thm: DVR  $\Leftrightarrow$  DDK dom w/ 1 prime ideal  
 DDK localised is DVR
- Lem: integral closure of DDK is DDK.

- $O_K$  DDK,  $(x) = \prod_{\mathfrak{p} \neq 0} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$
- The absolute values of  $L$  extending  $1/|\mathfrak{p}|$  is  $1/|\mathfrak{p}|$  where  $\mathfrak{p}$  lie over  $\mathfrak{p}$ .
- lem:  $L \otimes_K K_{\mathfrak{p}} \rightarrow L_{\mathfrak{p}}$  is surjective  
 $(\mathfrak{q}, i_K) \mapsto \mathfrak{q}K$
- Thm:  $L \otimes_K K_{\mathfrak{p}} \rightarrow \prod_{\mathfrak{p}|\mathfrak{p}} L_{\mathfrak{p}}$  is an iso
- cor:  $x \in L, N_{L/K}(x) = \prod_{\mathfrak{p}|\mathfrak{p}} N_{L_{\mathfrak{p}}/K_{\mathfrak{p}}}(x)$
- Thm:  $D_{L/K} = \prod_{\mathfrak{p}} D_{L_{\mathfrak{p}}/K_{\mathfrak{p}}}$
- cor:  $d_{L/K} = \prod_{\mathfrak{p}} d_{L_{\mathfrak{p}}/K_{\mathfrak{p}}}$
- Thm:  $\sum_{i=1}^r e_i f_i = [L:K]$
- Prop:  $\text{Gal}(L/K)$  acts on  $\mathfrak{p}$ : transitively
- Thm:  $0 \neq \mathfrak{p} \subset O_K$  prime.  
 if  $\mathfrak{p}$  ramifies in  $L$ ,  $\forall x_1, \dots, x_n \in L$ ,  $\mathfrak{p} \nmid \Delta(x_1, \dots, x_n)$   
 if  $\mathfrak{p}$  is unram in  $L$ ,  $\forall x_1, \dots, x_n \in L$ ,  $\mathfrak{p} \nmid \Delta(x_1, \dots, x_n)$
- Thm:  $N_{L/K}(D_{L/K}) = d_{L/K}$
- Thm: finite separable extensions of local fields split into unram and totally ram.
- Thm: 3 properties about higher ramification groups:
  - for  $s \geq 1$ ,  $G_s = \{ \sigma \in G_0 \mid v_L(\sigma(\pi_L) - \pi_L) \geq s+1 \}$ .
  - $\bigcap_{s=0}^{\infty} G_s = \{1\}$
  - $\exists z \in \mathbb{Z}, z > 0, \exists$  injective group hom  $G_s/G_{s+1} \hookrightarrow U_L^{(z)}/U_L^{(z+s)}$
- Cor. Galois ext of local fields is solvable and  $G_s/G_{s+1}$  has form  $(a, s)$ .
- Cor.  $L/K$  ext of number fields,  $\mathfrak{p} \in O_L, \mathfrak{p} \cap O_K = \mathfrak{p}, e(\mathfrak{p}/\mathfrak{p}) > 1 \Leftrightarrow$  iff  $\mathfrak{p} | D_{L/K}$
- Infinite Galois Theory (week 7 & onwards, review later)